

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 5680 / April 11, 2018

Administrative Proceeding  
File No. 3-18404

In the Matter of  
**David Gray**

**Order Scheduling  
Prehearing Conference**

On April 11, 2018, the Division of Enforcement submitted evidence that the order instituting this proceeding (OIP) was received at the Miami Federal Detention Center, where Respondent is being held, on April 3, 2018. Division counsel also represents that she received an email from Respondent's ex-wife forwarding a message from Respondent confirming his receipt of the OIP.

I ORDER that a telephonic prehearing conference will be held on April 27, 2018, at 11:00 a.m. Eastern. The Division should work with the detention center to ensure that Respondent is able to participate in the conference.

---

James E. Grimes  
Administrative Law Judge