

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 5609 / February 15, 2018

Administrative Proceeding
File No. 3-15514

In the Matter of

**Donald J. Anthony, Jr.,
Frank H. Chiappone,
Richard D. Feldmann,
William P. Gamello,
Andrew G. Guzzetti,
William F. Lex,
Thomas E. Livingston,
Brian T. Mayer,
Philip S. Rabinovich, and
Ryan C. Rogers**

**Order Extending Date for
Determination**

On November 30, 2017, the Securities and Exchange Commission remanded this proceeding to me and allowed the parties to submit by January 5, 2018, any new evidence they deemed relevant. *Pending Admin. Proc.*, Securities Act of 1933 Release No. 10440, 2017 SEC LEXIS 3724. The order directed that I complete reconsideration of the record, including all substantive and procedural rulings, and issue an order stating that I had completed reconsideration and setting forth a determination on ratification by February 16, 2018. The order gave me authority to modify any of the deadlines for good cause. *Id.*

On January 2, 2018, I extended the filing date for new evidence and responsive briefs to January 19 and 31, 2018, respectively. *Donald J. Anthony, Jr.*, Admin. Proc. Rulings Release No. 5431, 2018 SEC LEXIS 4. The order noted that issuance of an order regarding ratification would depend on the complexity of the parties' filings.

The parties submitted nine filings on remand; the latest filing is dated February 13, 2018. It will take time to give this matter due consideration. For good cause shown, I extend the due date for a determination on ratification to March 30, 2018.

Brenda P. Murray
Chief Administrative Law Judge