

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 5584 / February 13, 2018

Administrative Proceeding
File No. 3-17699

In the Matter of

**Shervin Neman and
Neman Financial, Inc.**

Order Ratifying Prior Actions

The Securities and Exchange Commission remanded this case to me following the issuance of an initial decision. *See Pending Admin. Proc.*, Securities Act of 1933 Release No. 10440, 2017 SEC LEXIS 3724 (Nov. 30, 2017). Consistent with the Commission's remand order, the parties were given the opportunity to submit new evidence that they deemed relevant to my reexamination of the record. *See Shervin Neman*, Admin. Proc. Rulings Release No. 5284, 2017 SEC LEXIS 3887 (ALJ Dec. 6, 2017). The Division of Enforcement submitted a letter urging that I ratify my prior rulings, including the initial decision. No other responsive submissions have been received.

Upon reconsideration of the record, I find nothing that requires revision. Therefore, I RATIFY all prior actions taken by an administrative law judge in this proceeding.¹ The process contemplated by the Commission's November 30 order is complete.

Jason S. Patil
Administrative Law Judge

¹ My designation as the presiding administrative law judge in this proceeding has already been ratified. *Pending Admin. Proc.*, Admin. Proc. Rulings Release No. 5247, 2017 SEC LEXIS 3780 (ALJ Dec. 4, 2017).