

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 5435 / January 5, 2018

Administrative Proceeding
File No. 3-18098

In the Matter of
Alfred C. Teran

**Order Regarding Respondent's
Email Submission**

Today, my office received an email from Mr. Teran containing his answer and affirmative defenses, which were due today. Mr. Teran also requested confirmation that the document was received by the deadline.

As noted in my scheduling order dated October 6, 2017, this proceeding is governed by the Securities and Exchange Commission's Rules of Practice.¹ These rules apply even when a respondent is not represented by counsel. Rules 150 through 153 explain the requirements for serving papers and filing papers with the Commission. 17 C.F.R. §§ 201.150-.153. The rules require parties to file an original and three copies of papers with the Commission's Secretary by personal service, U.S. Postal Service mail, a commercial courier service or express delivery service, or, with certain limitations, facsimile transmission.

Mr. Teran's email submission does not meet the Commission's requirements for filing of papers. However, in consideration of Mr. Teran's pro se status, I will grant him until January 12, 2018, to file his answer in

¹ A copy of the Rules of Practice is available online at <https://www.sec.gov/about/rules-of-practice-2016.pdf>. The Office of Administrative Law Judges has also prepared a page of instructions for respondents. These instructions are available at <https://www.sec.gov/alj/alj-instructions-for-respondents.pdf>.

accordance with the rules. *See* 17 C.F.R. § 201.161(a). I will consider an answer filed by January 12 to be timely.

Cameron Elliot
Administrative Law Judge