UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 5409/December 19, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17115

In the Matter of:

LOUIS V. SCHOOLER:

ORDER

The Securities and Exchange Commission instituted this proceeding with an Order
Instituting Proceedings on February 12, 2016, pursuant to Section 15(b) of the Securities Exchange
Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940. On August 23, 2016, an
Initial Decision barred Louis V. Schooler from the securities industry. Louis V. Schooler, Initial

The parties’ attention is directed to the Commission’s November 30, 2017, order concerning
2017 SEC LEXIS 3724 (Remand Order). The Remand Order ratifies the appointment of the
undersigned as an Administrative Law Judge and directs her to “[r]econside the record, including
all substantive and procedural actions taken by an administrative law judge” in proceedings, such as
this one, pending before the Commission in which she has issued an initial decision. Examination
of the record discloses that the Chief Administrative Law Judge has ratified her designation of the
undersigned as the presiding administrative law judge in this proceeding.1 Pursuant to the Remand
Order, any party may submit any new evidence relevant to the undersigned’s reexamination of the
record by January 5, 2018. If a party submits new evidence, the other party may comment on it by

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge