

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 5230 / November 15, 2017

Administrative Proceeding
File No. 3-17950

In the Matter of
David Pruitt, CPA

**Protective Order
Regarding Documents
Identifying Certain Individuals**

Third party L3 Technologies, Inc., has moved for a protective order, pursuant to Commission Rule of Practice 322, 17 C.F.R. § 201.322, covering “documents that identify the L3 employee who submitted internal ethics reports at L3 relating to the conduct alleged in this proceeding and/or other L3 employees in whom this employee confided concerning the ethics reports.” Letter Mot. at 1. L3 represents that the parties do not object to its motion. *Id.*¹

I agree with L3 that “the harm resulting from disclosure” of the identities of the employee who submitted the internal ethics reports and other employees in whom that employee confided “would outweigh the benefits of disclosure.” 17 C.F.R. § 201.322(b).

¹ This proceeding is now stayed based on the parties’ agreement in principle to a settlement on all major terms. *David Pruitt, CPA*, Admin. Proc. Rulings Release No. 5229 (ALJ Nov. 15, 2017). However, it is possible that the parties may seek to file additional documents at a future time. I am therefore issuing this protective order out of an abundance of caution.

Therefore, it is ORDERED that, to the extent the parties seek to file any documents that identify the L3 employees described above, the parties (1) file those documents under seal or (2) redact identifying information from publicly filed versions of those documents.

James E. Grimes
Administrative Law Judge