

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Administrative Proceedings Rulings  
Release No. 5188 / October 18, 2017

Administrative Proceeding  
File No. 3-18212

In the Matter of  
**Brian J. Keenan**

**Order Staying Proceeding**

On September 26, 2017, the Securities Exchange Commission issued an order instituting proceedings (OIP) against Respondent pursuant to Section 203(f) of the Investment Advisers Act of 1940. On October 17, 2017, the Division of Enforcement moved for a stay of the proceeding, pursuant to Commission Rule of Practice 161(c)(2), stating that it and Respondent have agreed in principle on all major terms of a settlement of the charges. *See* 17 C.F.R. § 201.161(c)(2). Although the motion is not styled as a joint motion as Rule 161(c)(2)(i) requires, I deem it as such based on Division counsel's representation and Respondent's state of incarceration.<sup>1</sup>

I GRANT the motion and STAY the proceeding. As required by the rule, the parties will notify me if the requirements of Rule 161 are not met. *See* 17 C.F.R. § 201.161(c)(2)(ii).

I FURTHER ORDER that the hearing scheduled to commence October 30, 2017, is POSTPONED.

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Brenda P. Murray  
Chief Administrative Law Judge

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<sup>1</sup> In addition, I find good cause for postponing the hearing under Rule 161(a), (b), 17 C.F.R. § 201.161(a), (b).