

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 5037 / September 8, 2017

Administrative Proceeding
File No. 3-17699

In the Matter of

**Shervin Neman and
Neman Financial, Inc.**

**Order Regarding
Respondent's Letter**

On September 7, 2017, my office received a two-page letter from Respondent Shervin Neman that in its first paragraph purports to be a response to the Division of Enforcement's motion for summary disposition. However, the letter does not comply with my order directing Respondents to (1) identify with particularity claimed inaccuracies in the Division's motion and provide supporting evidence, and (2) address how the public interest factors weigh against imposing sanctions against Respondents. *Shervin Neman*, Admin. Proc. Rulings Release No. 5002, 2017 SEC LEXIS 2641 (ALJ Aug. 25, 2017).

In the event that Respondents intend to file a supplemental response that complies with my order, they are ORDERED to make a filing stating such intention by September 22, 2017, and to file a compliant response by October 13, 2017. If no statement of intention is received by September 22, I will consider the briefing closed and the Division's motion ripe for consideration.

Jason S. Patil
Administrative Law Judge