

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 4916 / July 13, 2017

Administrative Proceeding
File No. 3-17883

In the Matter of
Warren D. Nadel

Notice of Filing

On July 7, 2017, my office received an e-mail from Respondent, attaching his response to the Division of Enforcement's motion for summary disposition and the exhibits to his response. Because it did not appear that Respondent filed hard copies with the Office of the Secretary,¹ I have caused Respondent's email and attachments to be filed with that office. The parties are reminded of their obligation to comply with the Commission's Rules of Practice, including Rules 151 to 154, which govern the filing of any papers. The Rules can be found at <https://www.sec.gov/about/rules-of-practice-2016.pdf>.

Cameron Elliot
Administrative Law Judge

¹ Although the response includes a "Cc" notation indicating that a copy was sent to the Office of the Secretary, it does not include a certificate of service stating when and how it was filed. *See* 17 C.F.R. § 201.151(d). To date, the Office of the Secretary has not received a copy.