

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Administrative Proceedings Rulings
Release No. 4894 / June 27, 2017

Administrative Proceeding
File No. 3-14880

In the Matter of
John Jantzen

**Order Regarding Modification
of Associational Bar**

On November 6, 2012, I issued an initial decision in this proceeding. *John Jantzen*, Initial Decision Release No. 472, 2012 WL 5422022 (Nov. 6, 2012). On December 10, 2012, the Commission issued a finality notice, in which it barred Respondent from associating with “a broker, dealer, investment adviser, municipal securities dealer, transfer agent, or NRSRO for five years.” *John Jantzen*, Securities Exchange Act of 1934 Release No. 68396, 2012 WL 6101866 (Dec. 10, 2012).

On June 27, 2017, this Office received a letter from Respondent “requesting written clarification from the SEC that the Bar has been removed.” I construe this letter as a motion, directed to the Commission, to modify Respondent’s associational bar. Inasmuch as the letter seeks relief from me, I lack the authority to grant such relief.

So ordered.

Cameron Elliot
Administrative Law Judge