

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4785/May 3, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17907

In the Matter of

ANTHONY C. ZUFELT

ORDER FOLLOWING
PREHEARING CONFERENCE

Yesterday, I held a telephonic prehearing conference, at which Respondent and counsel for the Division of Enforcement appeared. Respondent confirmed that he is proceeding *pro se*. Based on our discussions, I determined that Respondent was served with the order instituting proceedings (OIP) on April 8, 2017. Because he is representing himself, I have given Respondent an extension until May 22, 2017, to file his answer to the OIP. I informed Respondent that he may be found in default if he does not file an answer.

I also set a briefing schedule for the Division's dispositive motion, which it should file by June 12, 2017. Respondent's response to the Division's motion is due July 5, 2017, and the Division's reply is due July 17, 2017. The motion shall include legal analysis and evidentiary support for the allegations and requested sanction in accordance with *Rapoport v. SEC*, 682 F.3d 98, 215 (D.C. Cir. 2012), and *Ross Mandell*, Securities Exchange Act of 1934 Release No. 71668, 2014 SEC LEXIS 849, at *7-9 (Mar. 7, 2014), *vacated in part on other grounds*, Exchange Act Release No. 77935, 2016 SEC LEXIS 1886 (May 26, 2016).

In addition, the parties agreed to serve each other via e-mail and to e-mail my office (at alj@sec.gov) courtesy copies of any filings, in PDF text-searchable format if possible, as well as complying with the rules regarding service contained in the Commission's Rules of Practice. Exhibits should be e-mailed as separate attachments, not as a combined PDF file, and accompanied by a declaration of counsel.

James E. Grimes
Administrative Law Judge