

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 4755/April 19, 2017

ADMINISTRATIVE PROCEEDING  
File No. 3-17699

In the Matter of

SHERVIN NEMAN and  
NEMAN FINANCIAL, INC.

ORDER FOLLOWING  
PREHEARING CONFERENCE

Yesterday, I held a telephonic prehearing conference. In attendance were the Division of Enforcement and Shervin Neman, on behalf of himself and Neman Financial, Inc. During the prehearing conference I set the following briefing schedule for a motion for summary disposition:

- May 19, 2017: Division's motion for summary disposition is due. The Division should include a copy of Neman's investigative testimony as an exhibit to the motion.
- July 3, 2017: Neman's opposition to the Division's motion is due.
- July 17, 2017: Division's optional reply is due.

The Division agreed to continue sending its filings to Respondents by overnight mail to mitigate any delays Neman may encounter in receiving filings due to his incarceration.

Also during the prehearing conference, I found that Respondents currently lack the means to pay for copies of the transcripts for the two prehearing conferences held to date. Accordingly, the Division is ORDERED to file and serve the prehearing conference transcript dated March 2, 2017, along with the transcript from yesterday's prehearing conference, as soon as it becomes available, on Respondents. The Division should notify my office if it is unable to comply with this request.

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Jason S. Patil  
Administrative Law Judge