

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4729/April 3, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17699

In the Matter of

SHERVIN NEMAN and
NEMAN FINANCIAL, INC.

SCHEDULING ORDER

Following a telephonic prehearing conference held on March 2, I extended Respondents' deadline for filing an answer to March 24 and set a briefing schedule for summary disposition, with the Division of Enforcement's motion due by April 7, 2017. *Shervin Neman*, Admin. Proc. Rulings Release No. 4649, 2017 SEC LEXIS 656 (ALJ Mar. 3, 2017).

On March 31, 2017, counsel for the Division withdrew, and since then, new counsel for the Division has filed a notice of appearance. Today, my office received Respondents' answer to the order instituting proceedings (OIP). In addition to responding to the allegations in the OIP, Respondents requested a second telephonic prehearing conference.

Given the Division's new representation and Respondents' request, it would be helpful to hold a second prehearing conference. The Division should confer with Respondent Shervin Neman's counselor at CI Taft to determine dates and times when Neman is available to participate in a telephonic prehearing conference during the weeks of April 17 and 24, 2017. The Division need not file its motion for summary disposition by April 7, 2017. I will set a new schedule for summary disposition briefing during the prehearing conference.

Jason S. Patil
Administrative Law Judge