

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4728 /April 3, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17856

In the Matter of

JOHN AUSTIN GIBSON, JR.

ORDER FOLLOWING
PREHEARING CONFERENCE

On March 31, 2017, I held a prehearing conference at which counsel for the Division of Enforcement appeared. Respondent did not appear. By April 7, 2017, the Division will file a memorandum of law addressing the issue of service of the order instituting proceedings on Respondent. After considering this filing, I will rule on whether service was effected on March 4, 2017.

James E. Grimes
Administrative Law Judge