

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 4606/February 15, 2017

ADMINISTRATIVE PROCEEDING

File No. 3-17716

In the Matter of

ROBERT L. BAKER,  
JACOB B. HERRERA,  
MICHAEL D. BOWEN and  
TERRENCE A. BALLARD

ORDER TO SHOW CAUSE

On December 8, 2016, the Securities and Exchange Commission issued an order instituting proceedings (OIP) in this matter. I found that Respondent Terrence A. Ballard was personally served on January 10, 2017, and that his answer was due January 30, 2017. *Robert L. Baker*, Admin. Proc. Rulings Release No. 4563, 2017 SEC LEXIS 286, at \*1-2 (ALJ Jan. 27, 2017). To date, Respondent Ballard has not filed an answer. Counsel for the Division of Enforcement also informed my office that the Division has been unable to reach Ballard to discuss my order asking the parties to conduct a prehearing conference by February 21, 2017.

I ORDER Respondent Ballard to show cause by February 28, 2017, why he should not be found in default for failing to answer the OIP. If Ballard fails to respond to this order, answer the OIP, or participate in a prehearing conference with the other parties, I may find him in default and determine the proceeding against him. *See* OIP at 7; 17 C.F.R. §§ 201.155(a), .220(f), .221(f).

To give Respondent Ballard further opportunity to participate in a prehearing conference with the other parties, I extend the time for the parties to hold such a conference to February 28, 2017. The parties shall file a joint prehearing conference statement as described in my prior order by March 3, 2017. *Robert L. Baker*, 2017 SEC LEXIS 286, at \*2-3.

To ensure that Respondent Ballard receives this order in a timely fashion, the Division should engage a process server to personally serve him.

---

Jason S. Patil  
Administrative Law Judge