

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4567/ January 30, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17749

In the Matter of

JASON B. SMITH

ORDER REGARDING AVAILABILITY
FOR PREHEARING CONFERENCE

On January 27, 2017, the Division of Enforcement filed a notice regarding service of the order instituting proceedings (OIP) on Respondent. It appears that Respondent has been transferred to a new facility since the OIP was sent to him. I therefore request that the Division contact Respondent's case manager to inquire about Respondent's availability for a telephonic prehearing conference during the week of February 6. The Division may inform my office of the results by e-mail by February 3 and need not formally file a response with the Office of the Secretary.

Brenda P. Murray
Chief Administrative Law Judge