

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 4451/December 14, 2016

ADMINISTRATIVE PROCEEDING  
File No. 3-17657

In the Matter of  
GREGORY J. SMITH

ORDER ON  
PREHEARING SCHEDULE

On December 12, 2016, the parties submitted a proposed prehearing schedule providing that Respondent Gregory J. Smith was served with the order instituting proceedings by process server on November 17, 2016. *See* 17 C.F.R. § 201.141(a)(2)(i). The joint submission further provides, among other deadlines, an answer due date of December 21, 2016, but notes that the parties anticipate filing a joint motion to stay the proceeding in light of an expected settlement offer.

I ADOPT the parties' proposed answer deadline of December 21, 2016. If the parties file a joint motion to stay the proceeding pursuant to 17 C.F.R. § 201.161(c)(2) before December 21—as the joint submission seems to indicate—then Respondent need not file his answer by that date. I will consider the remainder of the parties' proposed schedule at a later date, if necessary.

I remind counsel for Respondent of the obligation to file with the Commission, and keep current, a notice of appearance in accordance with Rule of Practice 102(d)(2), 17 C.F.R. § 201.102(d)(2).

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Jason S. Patil  
Administrative Law Judge