

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4375/November 18, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17577

In the Matter of

ROBERT SEIBERT

:
:

ORDER TO SHOW CAUSE

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP) on September 26, 2016, pursuant to Section 15(b) of the Securities Exchange Act of 1934. The proceeding is a follow-on proceeding based on *SEC v. Seibert*, No. 15-cv-9331 (C.D. Cal. Jul. 8, 2016), in which Respondent Robert Seibert was enjoined against violations of the federal securities laws.

Seibert was served with the OIP in accordance with 17 C.F.R. § 201.141(a)(2)(i) on September 30, 2016. His Answer was due within twenty days of service on him. *See* OIP at 3; 17 C.F.R. § 201.220(b). Seibert was warned that if he failed to file an Answer within the time provided, he would be deemed to be in default, and the undersigned would enter an order barring him from the securities industry. *See Robert Seibert*, Admin. Proc. Rulings Release No. 4294, 2016 SEC LEXIS 3974 (A.L.J. Oct. 21, 2016); OIP at 3; 17 C.F.R. §§ 201.155(a), .220(f).

Accordingly, Seibert is ORDERED TO SHOW CAUSE, by December 2, 2016, why he should not be deemed to be in default and be barred from associating with any broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization and from participating in an offering of penny stock.¹ *See* OIP at 3; 17 C.F.R. §§ 201.155(a), .220(f). The telephonic prehearing conference scheduled for December 2, 2016, will be postponed and held on January 19, 2017, at noon EST (9:00 a.m. PST), if the proceeding has not been resolved by then.

/s/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge

¹ Thus, he would be barred from acting as a promoter, finder, consultant, or agent; or otherwise engaging in activities with a broker, dealer, or issuer for purposes of the issuance or trading in any penny stock, or inducing or attempting to induce the purchase or sale of any penny stock, pursuant to Exchange Act Section 15(b)(6)(A), (C).