

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4372/November 18, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17661

In the Matter of

HARVEY ALTHOLTZ

ORDER POSTPONING HEARING
AND SCHEDULING A
PREHEARING CONFERENCE

On November 3, 2016, the Securities and Exchange Commission issued an order instituting proceedings (OIP) under Section 203(f) of the Investment Advisers Act of 1940. Respondent submitted an answer on November 16, 2016. A hearing is currently scheduled for November 28, 2016.

I ORDER that the hearing scheduled for November 28, 2016, is postponed. A telephonic prehearing conference shall be held on December 8, 2016, at 3:00 p.m. Eastern. Unless Respondent stipulates to the date of service, I FURTHER ORDER that the Division shall file a declaration regarding the date of service of the OIP on Respondent before the prehearing conference is held.

James E. Grimes
Administrative Law Judge