

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4286/October 20, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-16349

In the Matter of

BARBARA DUKA

ORDER REGARDING HEARING
LOCATION

I ORDER that the portions of the hearing in this matter occurring November 21-22 and December 5-6 and 19-22, 2016, shall begin at 9:30 a.m. Eastern time at the following location:

United States District Court
Southern District of New York
500 Pearl Street
New York, New York 10007-1312
Courtroom 17C

If necessary, the location for the portion of the hearing occurring January 3-6, 2017, will be determined in a future order.

James E. Grimes
Administrative Law Judge