

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 4265/October 17, 2016

ADMINISTRATIVE PROCEEDING  
File No. 3-17313

In the Matter of  
  
WILLIAM TIRRELL

ORDER SETTING  
PREHEARING SCHEDULE

On October 14, 2016, the parties filed a joint proposed prehearing schedule. Consistent with that proposal, I ORDER the following schedule in this matter:

- April 7, 2017:** Deadline for completion of fact depositions (the Division will provide witness statements called for under Rule of Practice 231 for any deponent for whom such statements are required seven days before the deponent's deposition)
- April 14, 2017:** Deadline for disclosing expert witnesses, making disclosures under Rule 222(b), and exchanging expert reports
- May 5, 2017:** Deadline for filing rebuttal expert reports, if any
- May 12, 2017:** Deadline for completion of expert depositions
- May 19, 2017:** Motions for summary disposition, if any, are due (to be contemporaneously filed with a motion for leave under Rule 250(c))
- May 26, 2017:** Deadline for exchanging and filing witness and exhibit lists, and exchanging pre-marked exhibits (exhibits are not filed until close of the hearing); any subpoenas of witnesses or the production of additional documents are due
- May 31, 2017:** The Division will provide any witness statements required to be produced under Rule of Practice 231 that have not already been provided for any witnesses identified on May 26, 2017, or earlier

- June 7, 2017:** Motions in limine and objections to witnesses or exhibits are due
- June 14, 2017:** Responses to motions in limine or objections are due
- June 16, 2017:** Prehearing briefs are due
- June 20, 2017:** Final telephonic prehearing conference at 10:00 a.m. EDT
- June 26, 2017:** Hearing begins in New York City at a location to be determined.

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James E. Grimes  
Administrative Law Judge