

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3977/July 11, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17253

In the Matter of :
:
JAMES A. WINKELMANN, SR., and : SCHEDULING ORDER
BLUE OCEAN PORTFOLIOS, LLC :

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings on May 19, 2016, pursuant to Section 8A of the Securities Act of 1933, Section 21C of the Securities Exchange Act of 1934, Sections 203(e), 203(f), and 203(k) of the Investment Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940.

With the agreement of the parties and consistent with 17 C.F.R. §§ 201.200(c), .222, the following schedule is adopted:

August 12, 2016: The parties exchange and file witness lists and expert disclosures, including expert reports.¹

September 14, 2016: The parties exchange and file exhibit lists and exchange pre-marked exhibits.

September 21, 2016: The parties file prehearing briefs, any motions in limine, and any objections to exhibits and witnesses; the parties identify rebuttal experts and exchange any rebuttal expert disclosures, including expert reports.

September 23, 2016: The parties file any written stipulations.

September 26, 2016: Final prehearing conference at 11:00 a.m. EDT.

October 4, 2016: Hearing commences in St. Louis, MO. Hearing sessions will be held October 4-7, 13-14.

IT IS SO ORDERED.

/S/ Carol Fox Foelak

Carol Fox Foelak
Administrative Law Judge

¹ Direct expert testimony will be by means of expert report, and the expert witness will be made available for cross examination.