

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3198/October 6, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16755

In the Matter of

SUCCESS TRADE, INC.,
SUCCESS TRADE SECURITIES, INC., AND
FUAD AHMED

ORDER FOLLOWING
PREHEARING CONFERENCE

An August 14, 2015, order of the Securities and Exchange Commission instructed that proceedings continue on the limited issue of certain remedial sanctions as to Respondent Fuad Ahmed. On October 2, 2015, I held a prehearing conference attended by counsel for the Division of Enforcement and Respondent to discuss the setting of procedural deadlines in this matter. This order memorializes the schedule that was agreed to during the prehearing conference.

- October 16, 2015: The parties shall file expert reports.
- October 30, 2015: The parties shall file witness and exhibit lists, and shall exchange, but should not file, pre-marked exhibits.
- November 9, 2015: Stipulations of facts and to the authenticity and admissibility of documents due.
- November 27, 2015: The Division shall file its prehearing brief.
- December 4, 2015: The parties shall file objections to witnesses or exhibits, and motions in limine; all requests for issuance of subpoenas are due.
- December 11, 2015: Respondent shall file his opposing prehearing brief.
- December 18, 2015: The Division shall file its reply brief.
- January 6, 2016: The parties shall participate in a final telephonic prehearing conference with the hearing officer at 2:00 p.m. EST.

January 20, 2016: Hearing to begin in Washington, D.C., at Hearing Room 2 located in Commission Headquarters.

The parties are reminded that in addition to serving each other and this Office electronically, they must file hard copies of all filings with the Office of the Secretary. *See* 17 C.F.R. §§ 201.151, .152. They are asked to always email courtesy copies of filings to alj@sec.gov in PDF text-searchable format. Electronic courtesy copies of exhibit lists provided to this Office should be in Microsoft Excel or Word format and contain columns with the following information: the exhibit number; a description of the exhibit; and the Bates-stamp numbers, if any.

Jason S. Patil
Administrative Law Judge