

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3030/August 12, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16349

In the Matter of

BARBARA DUKA

NOTICE OF STAY

The Securities and Exchange Commission issued an Order Instituting Administrative and Cease and Desist Proceedings against Respondent Barbara Duka on January 21, 2015, pursuant to Section 8A of the Securities Act of 1933, Sections 15E(d) and 21C of the Securities Exchange Act of 1934, and Section 9(b) of the Investment Company Act of 1940. A hearing is scheduled to begin on September 16, 2015.

On August 12, 2015, the United States District Court for the Southern District of New York entered an order in *Duka v. SEC*, No. 1:15-cv-357, preliminarily enjoining the Commission from:

in any way further pursuing the pending administrative proceeding against Plaintiff, captioned *In the Matter of Barbara Duka*, Admin. Proc. File No. 3-16349 (Jan. 21, 2015), including the hearing scheduled for September 16, 2015.

In accordance with the Court's order, the hearing scheduled to begin on September 16, 2015, and all other events in this proceeding, including the items scheduled in the March 4, 2015, Scheduling and General Prehearing Order, are CANCELED. See *Barbara Duka*, Admin. Proc. Rulings Release No. 2378, 2015 SEC LEXIS 881.

James E. Grimes
Administrative Law Judge