
On February 6, 2015, this Office received Respondent’s Motion for Summary Disposition (Motion), to which was attached the Affidavit of Thrasos Tommy Petrou, which included one exhibit (Exhibit A). Exhibit A is a compilation of approximately 200 pages of financial data, including account statements, correspondence, federal and state income tax returns, and Statements of Financial Condition. Exhibit A is replete with sensitive financial and personally identifiable information.

Respondent requests that Exhibit A be subject to a protective order. Motion at 14 (citing 17 C.F.R. § 201.322). Although documents and testimony introduced in a public hearing are presumed to be public, I find that the harm resulting from the disclosure of Respondent’s financial information outweighs the benefits of public disclosure. See 17 C.F.R. § 201.322(b).

I therefore ORDER that Exhibit A to the Affidavit of Thrasos Tommy Petrou be subject to a protective order and SEALED. Unless otherwise ordered, Exhibit A shall be disclosed only to Commissioners, employees, and agents of the Commission.

SO ORDERED.

Cameron Elliot
Administrative Law Judge