

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1826/September 22, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-16013

In the Matter of

NICHOLAS D. SKALTSOUNIS

ORDER FOLLOWING PREHEARING
CONFERENCE

On August 13, 2014, the Securities and Exchange Commission issued an Order Instituting Proceedings (OIP) against Nicholas D. Skaltsounis, pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940.

A telephonic prehearing conference was held on September 19, 2014, attended by the Division of Enforcement and Mr. Skaltsounis. Mr. Skaltsounis agreed that service of the OIP had occurred on August 15, 2014. The parties then agreed to the following briefing schedule for motions for summary disposition:

October 20, 2014: Motions for summary disposition are due;

November 20, 2014: Oppositions are due; and

December 4, 2014: Replies, if any, are due.

SO ORDERED.

James E. Grimes
Administrative Law Judge