
Since the conclusion of the hearing on January 10, 2014, Respondent Ian O. Mausner (Mausner) has sent me and my Office a number of e-mail communications requesting reconsideration of an evidentiary ruling. The Commission’s Rules of Practice require that filings in a proceeding shall be made with the Secretary, which must include, among other requirements, a signed original and three copies, or if the filing is made by facsimile, a non-facsimile original with a manual signature transmitted contemporaneously; and a certification that copies have been served on the parties. See 17 C.F.R. §§ 201.151, .152, .153. Service must be made by one of the means specified in the Commission Rule of Practice 150(c). See 17 C.F.R. § 201.150(c). Any filing (or other form of purported pleading) that fails to meet these requirements may be rejected and shall not be part of the record. See 17 C.F.R. § 201.180(b). Mausner is a pro se litigant; however, his education and high-level business experience show him to be capable of understanding the Rules of Practice.

Order

I ORDER that Mausner’s e-mail communications are REJECTED and shall not be part of the record. Filings in formal administrative proceedings must be made in accordance with the Commission’s Rules of Practice. Courtesy copies of filings may be transmitted to this Office by e-mail to alj@sec.gov. However, e-mail does not substitute for a required filing with the Secretary, and I will disregard all e-mail communications that are noncompliant in this regard. Mausner shall not e-mail me and my Office, except to transmit courtesy copies of filings to alj@sec.gov only.