UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 871/September 16, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15141

In the Matter of:

MOHAMMED RIAD and KEVIN TIMOTHY SWANSON

The hearing in this matter was closed on May 8, 2013. The parties have moved that several exhibits, in addition to those previously admitted, be admitted into the record of evidence. Accordingly, the record will be reopened, the following exhibits admitted, and the record closed:

26  Draft 4/23/08 FMO/HCE Board minutes  CLAY021957-70

183 7/18/2007 Draft Minutes of a Joint Meeting of the Audit Committees for the Fiduciary/Claymore MLP Opportunity Fund (FMO) and Dynamic Equity Fund (HCE)  CLAY021859-63

184 7/18/2007 Draft Minutes of a Joint Meeting of the Boards of Trustees for the Fiduciary/Claymore MLP Opportunity Fund (FMO) and Dynamic Equity Fund (HCE)  CLAY021864-72

185 10/15/2007 Draft Minutes of a Joint Meeting of the Boards of Trustees for the Fiduciary/Claymore MLP Opportunity Fund (FMO) and Dynamic Equity Fund (HCE)  CLAY021873-83

192 7/22/2008 Draft Minutes of a Joint Meeting of the Boards of Trustees for the Fiduciary/Claymore MLP Opportunity Fund (FMO) and Dynamic Equity Fund (HCE)  CLAY020951-65

266 11/11/2008 Draft Minutes of a Special Joint Telephonic Meeting of the Boards of Trustees for the Fiduciary/Claymore MLP Opportunity Fund (FMO)
and Dynamic Equity Fund (HCE) with handwritten comments

310  8/10/2007 Email from Jeffrey Grossman to Matt Patterson regarding ISDA documentation for the HCE Fund  FAM00131906-09

318  10/31/2007 Email from Matt Patterson to Jeffrey Grossman regarding an ISDA agreement for the HCE Fund  CLAY015731-70

360  10/14/2011 Portions of Sean Hughes Investigative Testimony Transcript

361  9/28/2011 Portions of Susan Steiner Investigative Testimony Transcript

365  10/3/2011 Portions of Timothy Swanson Investigative Testimony Transcript

366  10/6-7/2011 Portions of Mohammed Riad Investigative Testimony Transcript

371  7/6/2007 E-mail from Patty Delony to Timothy Swanson regarding HCE final approval and certification  FAM 00021432

IT IS SO ORDERED.

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Carol Fox Foelak
Administrative Law Judge