

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 74843 / April 29, 2015

Admin. Proc. File No. 3-15873

In the Matter of

THOMAS R. DELANEY II and  
CHARLES W. YANCEY

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Thomas R. Delaney II or Charles W. Yancey and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,<sup>1</sup> that the initial decision of the administrative law judge has become the final decision of the Commission with respect to Thomas R. Delaney II and Charles W. Yancey.<sup>2</sup> The orders contained in that decision are hereby declared effective. The initial decision ordered that, a) pursuant to Section 21C of the Securities Exchange Act of 1934, Thomas R. Delaney II shall cease and desist from causing any violations or future violations of Rule 204(a) of Regulation SHO; b) pursuant to Section 21B of the Exchange Act, Thomas R. Delaney II shall pay a civil money penalty in the amount of \$20,000; and c) that the proceeding against Charles W. Yancey is dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields  
Secretary

<sup>1</sup> 17 C.F.R. § 201.360(d).

<sup>2</sup> *Thomas R. Delaney II and Charles W. Yancey*, Initial Decision Release No. 755 (Mar. 18, 2015), 111 SEC Docket 02, 2015 WL 1223971.