

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

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SECURITIES ACT OF 1933
Release No. 9753 / April 21, 2015

SECURITIES EXCHANGE ACT OF 1934
Release No. 74776 / April 21, 2015

INVESTMENT COMPANY ACT OF 1940
Release No. 31561 / April 21, 2015

Admin. Proc. File No. 3-15613

In the Matter of

JULIEANN PALMER MARTIN

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Julieann Palmer Martin and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge has become the final decision of the Commission with respect to Julieann Palmer Martin.² The orders contained in that decision are hereby declared effective. The initial decision ordered that, a) pursuant to Section 8A of the Securities Act of 1933 and Section 21C of the Securities Exchange Act of 1934, Julieann Palmer Martin shall cease and desist from committing or causing any violations or future violations of Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933, and Sections 10(b) and 15(a) of the Securities Exchange Act and Rule 10b-5 thereunder; b) pursuant to Section 15(b)(6) of the Exchange Act, Julieann Palmer Martin is barred from associating with a broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization; and from participating in an offering of penny stock, which includes acting as a promoter, finder, consultant, agent or other person who engages in activities with a broker, dealer, or issuer for purposes of the issuance or trading in any

¹ 17 C.F.R. § 201.360(d).

² *Julieann Palmer Martin*, Initial Decision Release No. 751 (Mar. 9, 2015), 111 SEC Docket 01, 2015 WL 1004876.

penny stock, or inducing or attempting to induce the purchase or sale of any penny stock; c) pursuant to Section 9(b) of the Investment Company Act of 1940, Julieann Palmer Martin is permanently prohibited from serving or acting as an employee, officer, director, member of an advisory board, investment adviser or depositor of, or principal underwriter for, a registered investment company or affiliated person of such investment adviser, depositor, or principal underwriter; d) pursuant to Section 8A(e) of the Securities Act and Sections 21B(e) and 21C(e) of the Exchange Act, Julieann Palmer Martin shall disgorge \$329,000, plus prejudgment interest; e) pursuant to Section 21B of the Exchange Act and Section 9(d) of the Investment Company Act, Julieann Palmer Martin shall pay a civil money penalty in the amount of \$1,050,000; and f) pursuant to 17 C.F.R. § 201.1100, any funds recovered by way of disgorgement, prejudgment interest, or penalties shall be placed in a fair fund for the benefit of investors harmed by the violations.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields
Secretary