

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES ACT OF 1933  
Release No. 9742 / April 7, 2015

SECURITIES EXCHANGE ACT OF 1934  
Release No. 74670 / April 7, 2015

INVESTMENT ADVISERS ACT OF 1940  
Release No. 4056 / April 7, 2015

INVESTMENT COMPANY ACT OF 1940  
Release No. 31550 / April 7, 2015

Admin. Proc. File No. 3-15858

In the Matter of

STANLEY JONATHAN FORTENBERRY  
(A/K/A S.J. FORTENBERRY, JOHN  
FORTENBERRY, AND JOHNNY  
FORTENBERRY)

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. No such petition has been filed by Stanley Jonathan Fortenberry (a/k/a S.J. Fortenberry, John Fortenberry, and Johnny Fortenberry) and the Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,<sup>1</sup> that the initial decision of the administrative law judge has become the final decision of the Commission with respect to Stanley Jonathan Fortenberry (a/k/a S.J. Fortenberry, John Fortenberry, and Johnny Fortenberry).<sup>2</sup> The orders contained in that decision are hereby declared effective. The initial decision ordered that: a) pursuant to Section 8A of the Securities

<sup>1</sup> 17 C.F.R. § 201.360(d).

<sup>2</sup> *Stanley Jonathan Fortenberry (a/k/a S.J. Fortenberry, John Fortenberry, and Johnny Fortenberry)*, Initial Decision Release No. 748 (Mar. 2, 2015), 110 SEC Docket 20, 2015 WL 860715.

Act of 1933, Section 21C of the Securities Exchange Act of 1934, and Section 203(k) of the Investment Advisers Act of 1940, Stanley Jonathan Fortenberry shall cease and desist from committing or causing any violations or future violations of Section 17(a) of the Securities Act, Section 10(b) of the Exchange Act, and Rule 10b-5 thereunder, and Section 206(1), (2), and (4) of the Advisers Act, and Rule 206(4)-8 thereunder; b) pursuant to Section 203(f) of the Advisers Act, Stanley Jonathan Fortenberry is barred from associating with a broker, dealer, investment adviser, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization; c) pursuant to Section 9(b) of the Investment Company Act of 1940, Stanley Jonathan Fortenberry is permanently prohibited from serving or acting as an employee, officer, director, member of an advisory board, investment adviser or depositor of, or principal underwriter for, a registered investment company or affiliated person of such investment adviser, depositor, or principal underwriter; d) pursuant to Section 8A(g) of the Securities Act, Section 21B of the Exchange Act, and Section 203(i) of the Advisers Act, Stanley Jonathan Fortenberry shall pay a civil money penalty in the amount of \$900,000; and e) pursuant to Section 8A(e) of the Securities Act, Section 21C(e) of the Exchange Act, and Section 203(j) and (k)(5) of the Advisers Act, Stanley Jonathan Fortenberry shall disgorge \$146,500, plus prejudgment interest.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields  
Secretary