

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 3484 / October 11, 2012

Admin. Proc. File No. 3-14610

In the Matter of TERRY HARRIS

NOTICE THAT INITIAL DECISION HAS BECOME FINAL

The time for filing a petition for review of the initial decision in this proceeding has expired. On March 19, 2012, an administrative law judge issued an Initial Decision in this proceeding. The Initial Decision stated that the respondent, Terry Harris, was entitled to file a petition for review within twenty-one days of service of the Initial Decision. Harris requested an enlargement of time to file a petition for review. On June 19, 2012, the Commission granted Harris' request for an extension of time to file a petition for review, and ordered that any such petition be filed within forty-five days of the date of service of that order. No such petition has been filed by Terry Harris. The Commission has not chosen to review the decision on its own initiative.

Accordingly, notice is hereby given, pursuant to Rule 360(d) of the Commission's Rules of Practice,¹ that the initial decision of the administrative law judge² has become the final decision of the Commission with respect to Terry Harris. The order contained in that decision is hereby declared effective. The initial decision ordered that, pursuant to Section 203(f) of the Investment Advisers Act of 1940, Terry Harris is barred from association with an investment adviser, broker, dealer, municipal securities dealer, or transfer agent.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Elizabeth M. Murphy
Secretary

¹ 17 C.F.R. § 201.360(d).

² *Terry Harris*, Initial Decision Release No. 455 (Mar. 19, 2012), 103 SEC Docket 52418.

