SYSTEM NAME:
Visitor Badge and Employee Day Pass System.

SYSTEM LOCATION:
U.S. Securities and Exchange Commission, 100 F Street, NE.,
Washington, DC 20549.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:
Visitors from the public, other Federal agencies, Commission
employees who require a Day Pass and Commission employees who pre-
register or authorize visitors. The system also covers individuals or
organizations that send and/or deliver trackable mail to the Commission
(e.g., express mail, courier mail, or other forms of mail that is
tracked from the sender to the recipient).

CATEGORIES OF RECORDS IN THE SYSTEM:
Records may include name, photograph, signature, company name, the
number of the printed badge issued for each visit, visitor category,
business phone number, fax number, address, e-mail address, Web site if
available, other information from scanned business cards, and the
location, date, and time of entry to the secure Commission facility.
Records will also include the following information from scanned
driver's licenses: Date of birth, weight, height, color of hair and
eyes, date of expiration, and issuing jurisdiction (license numbers
will not be saved in the system). Further information contained within
the system will be the name and title of the person being visited, the
reason for the visit to the facility, notation of approved parking, and
the name, phone number and e-mail address of Commission personnel
requesting authorization for the visitor access. The system will
maintain check in and check out times, current status of visitor, and a
custom ID number assigned sequentially by the system software for each
visitor record. The software system and data base has a module for
tracking packages as well. Records include package check in time,
quantity of packages, name of employee to whom the package is
addressed, location of package, sender's name, type of package, added
description (if appropriate), carrier/agent delivering the package,
time and name of person to whom package is delivered (final destination
within the Commission), and a custom ID number assigned sequentially by
the system software for each visitor record.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:
5 U.S.C. 301 and Executive Order 13231 of October 16, 2001 on
Critical Infrastructure Protection.

PURPOSE(S):
This system was primarily designed to permit access to Commission
facilities by public visitors and representatives from other Federal
agencies. It is also used to issue day passes for Commission staff
members or contract employees who are not presently in possession of
their ID and need to enter the workplace to perform their duties. The
system is further used to record and monitor the status of trackable
(special handling) mail.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES
OF USERS AND THE PURPOSE OF SUCH USES:
These records and information contained in these records may be disclosed as follows:

(1) To the appropriate Federal, State or local agency responsible for investigating, prosecuting, enforcing, or implementing a statute, rule, regulation, or order, where the Commission or other appropriate Federal, State or local agency may be aware of an indication of an actual or potential health, safety or national security concern or a violation or potential violation of civil or criminal law or regulation;

(2) To another Federal agency, in connection with a court proceeding when the Government is party to a proceeding before the court or to an adjudicative tribunal when the Government is appearing in a proceeding before that tribunal;

(3) To a Federal, State, or local agency, in response to its requests, in connection with the hiring or retention of an employee, the issuance of a security clearance, or the conducting of a security or background investigation of an individual, to the extent that the information is relevant and necessary to the requesting agency;

(4) To the Office of the Inspector General for investigating allegations of abuse or misconduct, or to perform other functions within the jurisdiction of the Office of the Inspector General; and

(5) To the Commission Security Branch and/or the Office of the Inspector General in routine and ad hoc reports to review visitor and day pass activity and to assess compliance with established security procedures and policies.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:
Records are maintained in a computerized database and on paper. Paper documents are kept in filing cabinets in secured facilities.

RETRIEVABILITY:
By use of a database, records may be retrieved by the individual's name, date of visit and/or badge number (as printed in the form of a bar code on the badge).

SAFEGUARDS:
Records are safeguarded by restricted computer passwords, locked file cabinets, and safes.

RETENTION AND DISPOSAL:
Records are maintained in a computerized database and on paper. Printed badges, and returned passes (and corresponding electronic records) are destroyed three months after expiration, revocation, or return to issuing office, as provided in the National Archives and Records Administration's General Records Schedule No. 11, Item 4.

SYSTEM MANAGER(S) AND ADDRESS:
Chief of Security Branch, Office of Administrative Services, Security Branch, U.S. Securities and Exchange Commission, 100 F Street,
NOTIFICATION PROCEDURE:
All requests to determine whether this system of records contains a record pertaining to the requesting individual may be directed to the Privacy Act Officer, U.S. Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-7, Alexandria, VA 22312-2413.

RECORD ACCESS PROCEDURES:
Persons wishing to obtain information on the procedures for gaining access to or contesting the contents of this record may contact the Privacy Act Officer, U.S. Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-7, Alexandria, VA 22312-2413.

CONTESTING RECORDS PROCEDURES:
See record access procedures above.

RECORD SOURCE CATEGORIES:
Information is provided by the visitor seeking access to Commission facilities to meet with Commission employees or contractors, by Commission employees who pre-register visitors, and by Commission employees or badged contractors who do not have their ID and yet seek access to their workplace for official business. Additionally, information is provided by individuals sending trackable (special handling) mail. Information is further provided by carriers and/or agents that deliver such mail. Persons who decline to provide the requested information will be denied access.

EXEMPTIONS CLAIMED FOR THE SYSTEM:
None.