







Level 2

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance):

Project manager has been validated as qualified for this investment

Project manager qualification is under review for this investment

Project manager assigned to investment, but does not meet requirements

Project manager assigned but qualification status review has not yet started

No Project manager has yet been assigned to this investment

18. Is this investment identified as “high risk” on the Q4-FY2007 agency high risk report (per OMB’s ‘high risk’ memo)? YES

19. Is this a financial management system? NO

a. If “yes,” does this investment address a FFMIA compliance area? No

1. If “yes,” which compliance area: N/A

2. If “no” what does it address? Agency Wide Infrastructure - Mixed life-cycle investment

b. If “yes,” please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. N/A

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100% - enter as decimal, e.g., .25 = 25%)

Hardware .24 Software .11 Services .65 Other 0

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? N/A

22. Contact information of individual responsible for privacy related questions:

Name Barbara Stance

Phone Number: 202-551-7209

Title SEC Privacy Assessment Officer

E-mail : StanceB@SEC.Gov

Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration’s approval? Yes







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SECHQ1-04-A-0276	T&M	Yes	8/25/2004	8/25/2004	8/24/2009	\$ 1.153	No	Yes	Yes	N/A	No	Yes	Kim Davis	202-551-7311/DavisKH@SEC.gov	3	Yes
SECHQ1-04-A-0319	Firm Fixed Price	Yes	9/21/2004	9/21/2004	9/20/2009	\$ 0.769	No	Yes	Yes	N/A	No	Yes	Kim Davis	202-551-7311/DavisKH@SEC.gov	3	Yes
SECHQ1-04-H-0203	IAA	Yes	9/22/2004	10/1/2003	12/18/2006	\$ 2.615	Yes	Yes	No	N/A	No	Yes	Linda Sudhoff	202-551-7436/SudhoffL@SEC.gov	3	Yes
SECHQ1-04-H-0207	IAA	Yes	9/9/2004	10/1/2003	9/30/2007	\$ 0.480	Yes	Yes	No	N/A	No	Yes	Linda Sudhoff	202-551-7436/SudhoffL@SEC.gov	3	Yes
SECHQ1-04-H-0343	IAA	Yes	9/23/2004	9/1/2004	9/30/2007	\$ 0.900	Yes	Yes	No	N/A	No	Yes	Linda Sudhoff	202-551-7436/SudhoffL@SEC.gov	3	Yes
SECHQ1-05-A-0379	Firm Fixed Price	Yes	8/18/2005	8/18/2005	8/17/2010	\$ 0.195	No	No	Yes	N/A	No	Yes	Joanie Newhart	202-551-7303/NewhartJ@SEC.gov	3	Yes
SECHQ1-06-F-0174	Firm Fixed Price	Yes	4/17/2006	6/1/2006	5/31/2009	\$ 1.492	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0209	Firm Fixed Price	Yes	5/3/2006	8/1/2006	4/29/2008	\$ 0.003	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0226	Firm Fixed Price	Yes	5/23/2006	6/1/2006	5/31/2007	\$ 0.027	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0295	Firm Fixed Price	Yes	7/5/2006	8/1/2006	7/29/2007	\$ 0.025	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0296	Firm Fixed Price	Yes	7/5/2006	8/25/2006	8/24/2007	\$ 0.129	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-F-0303	Firm Fixed Price	Yes	7/17/2006	8/15/2006	8/30/2007	\$ 0.039	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-P-0176	Firm Fixed Price	Yes	5/5/2006	6/1/2006	5/31/2010	\$ 0.227	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-P-0196	Firm Fixed Price	Yes	4/22/2006	4/22/2006	4/21/2009	\$ 0.140	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-P-0225	Firm Fixed Price	Yes	6/21/2006	6/21/2006	7/20/2006	\$ 0.060	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-06-P-0239	Firm Fixed Price	Yes	5/24/2006	6/1/2006	5/31/2007	\$ 0.054	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-07-C-0057	Firm Fixed Price	Yes	12/1/2006	12/1/2006	11/30/2007	\$0.108	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-07-P-0016	Firm Fixed Price	Yes	11/22/2006	11/22/2006	11/21/2007	\$0.266	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-07-P-0275	Labor Hours	Yes	6/14/2007	6/13/2008	6/13/2012	\$0.17	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
SECHQ1-H-00447	Firm Fixed Price, Task Order	Yes	9/15/2007	9/15/2007	3/30/2009	\$2.789	No	Yes	Yes	N/A	No	Yes	Julie Whitmore-Sevin		2	Yes
FY 07 – Planned – Data Center Operations	Firm Fixed Price	No	9/15/2007	9/15/2007	12/7/2007	\$ .367.5	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes
FY 07 – Planned – Data Networks	Firm Fixed Price	No	9/30/2007	9/30/2007	4/28/2008	\$ .100	No	No	No	N/A	No	Yes	Linda Baier	202-551-7315/BaierL@SEC.gov	2	Yes



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SECHQ1-07-F-0084	Firm Fixed Price	Yes	12/29/2006	06/01/2007	4/30/2008	\$0.040	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
SECHQ1-07-F-0274	Firm Fixed Price	Yes	06/12/2007	07/12/2001	10/27/2007	\$0.042	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
SECHQ1-07-F-0285	Firm Fixed Price	Yes	06/15/2007	07/15/2007	11/30/2007	\$1.111	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
SECHQ1-07-F-0324	Firm Fixed Price	Yes	06/30/2007	07/31/2007	11/30/2007	\$0.092	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
SECHQ1-07-P-0204	Firm Fixed Price	Yes	04/16/2007	05/31/2008	5/31/2008	\$1.498	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
SECHQ1-07-F-0255	Firm Fixed Price	Yes	06/05/2007	06/05/2007	06/05/2007	\$0.043	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
SECHQ1-06-C-0266 Option Year I - Planned	Firm Fixed Price	No	9/30/2007	9/30/2007	9/30/2008	\$2.200	No	Yes	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
SECHQ1-07-F-2314	Firm Fixed Price	Yes	8/22/2007	9/15/2007	11/15/2007	\$0.047	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
SECHQ1-07-F-0231	Firm Fixed Price	Yes	5/17/2007	7/15/2007	12/31/2008	\$2.273	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
SECHQ1-07-F-0384	Firm Fixed Price	Yes	8/16/2007	9/16/2007	9/16/2008	\$0.045	No	No	Yes	N/A	No	Yes	Linda Baier	202-551-7315 BaierL@SEC.gov	2	Yes
FY 08 – Infrastructure Support Steady State Planned	Firm Fixed Price, Multiple Task Orders, Various Contracts	No	10/1/2007	10/1/2007	9/30/2008	\$49.660	No	Yes	Yes	N/A	No	Yes	Julie Whitmore- Sevin			
FY 08 – Infrastructure Support DME	Firm Fixed Price, Multiple Task Orders and Various Contracts	No	10/1/2007	10/1/2007	9/30/2008	\$14.600	No	Yes	Yes	N/A	No	Yes	Linda Baier	202-551- 7315/BaierL@SEC.gov	1	No
FY 09 – Infrastructure Support – Steady State	Firm Fixed Price Multiple Task Orders and Contracts	No	Oct 2008 – Jun 2009										TBD			
FY 09– Infrastructure Support – DME	Firm Fixed Price Multiple Task Orders and Contracts	No	Jan 2009 – Aug 2009										TBD			
FY 10 – Infrastructure Support – Steady State	Firm Fixed Price Multiple Task Orders and Contracts	No	Oct 2009 Jun 2010										TBD			

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FY 10- Infrastructure Support – DME	Firm Fixed Price Multiple Task Orders and Contracts	No	Jan 2010 – Aug 2010										TBD			
FY 11 – Infrastructure Support – Steady State	Firm Fixed Price Multiple Task Orders and Contracts	No	Oct 2010 – Jun 2011										TBD			
FY 11- Infrastructure Support – DME	Firm Fixed Price Multiple Task Orders and Contracts	No	Jan 2011 – Aug 2011										TBD			
FY 12 – Infrastructure Support – Steady State	Firm Fixed Price, Multiple Task Orders and Contracts	No	Jan 2012 – Aug 2012										TBD			
FY 12 – Infrastructure Support - DME	Firm Fixed Price, Multiple Task Orders and Contracts	No	Jan 2012- Aug 2012										TBD			





























































































