

Securities and Exchange Commission (SEC) FY 15 List of Potential Competitive Contracting Opportunities List

Project Description	Estimated Award Amount (including options)	Estimated Start Date for Performance	Planned Acquisition Strategy	Office or Division
Temporary Services Contract (Research Associates)	> \$1M	TBD	GSA OASIS	DERA
Specialized Litigation Copying Contract	≥ SAT	TBD	TBD	ENF
Document Management Consultant	≤ SAT	TBD	TBD	ENF
Center for Risk and Quantitative Analytics (CRQS) Analytical Support	≤ SAT	TBD	TBD	ENF
Tips, Complaints, and Referrals (TCR) Data Improvement	≥ SAT	TBD	TBD	ENF
e-Discovery: Electronic Document Discovery	> \$1M	TBD	TBD	ENF
Trial/Hearing Transcripts	> \$1M	TBD	TBD	ENF
Translations/Interpretations Services	> SAT	TBD	TBD	ENF
Contractor Legal Support (CLS) - Office of Technical Services (OTS) Litigation Support Specialists	> SAT	TBD	TBD	ENF
Contractor Legal Support (CLS) - Chinese Forensic Accountant	> SAT	TBD	TBD	ENF
Contractor Legal Support (CLS) - Investigative Analysts	> SAT	TBD	TBD	ENF
Contractor Legal Support Services (CLS)	> SAT	TBD	TBD	OIEA
Public Outreach Campaign	> \$5M	TBD	TBD	OIEA
Office of the Inspector General (OIG) Program/Ops Audit Contractor Support	> \$1M	TBD	GSA	OIG

Data Analytics Software (DAS)	≤ SAT	TBD	TBD	OIG
Investigative Transcripts Contract	≤ SAT	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Office of the General Counsel- Operations and Maintenance - Nuix Data Analysis and eDiscovery Software	> \$1M	TBD	TBD	OIT
End User Equipment - Break/Fix (Excluding Copiers)	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Office of the General Counsel (OGC) - Operations and Miantenance - Nuix Data Analysis and eDiscovery Software	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Office of the General Counsel (OGC) - Operations and Miantenance - E Discovery Litigation Hold and ESI Collection System	> SAT	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Virtual Desktop Infrastructure (VDI)	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Third Party Maintenance Agreements Process Improvement Project	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Virtual Desktop Infrastructure (VDI) Licensing and Hardware	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Office of the General Counsel- Operations and Maintenance - OIT Program Support (Sharepoint, Oracle)	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Enterprise Servers Professional Services	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
VMWare Horizon Suite Licenses and Maintenance	< SAT	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Workstation License True-up	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Enterprise Storage Professional Services	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Dvision of Corporation Finance (CF) - Asset backed Security (ABS) (P2627)	> \$1M	Aug-15	NASA SEWP, GSA or any applicable GWACs	OIT
Dvision of Corporation Finance (CF) - Intranet Analysis	> \$1M	Aug-15	NASA SEWP, GSA or any applicable GWACs	OIT
Dvision of Corporation Finance (CF)- Intranet Redesign	> \$1M	Aug-15	NASA SEWP, GSA or any applicable GWACs	OIT

Filer Support Knowledge Management	> \$1M	Jun-15	NASA SEWP, GSA or any applicable GWACs	OIT
Business Intelligence Consultant	> \$1M	Jun-15	NASA SEWP, GSA or any applicable GWACs	OIT
Document Scanning and Tracking	> \$1M	Jun-15	NASA SEWP, GSA or any applicable GWACs	OIT
Small Web Intake Project	< SAT	Jun-15	NASA SEWP, GSA or any applicable GWACs	OIT
Document Management (J drive replacement)	> \$1M	Sep-15	NASA SEWP, GSA or any applicable GWACs	OIT
Business Process Analysis/Program Management Support	> \$50M	May-15	NASA SEWP, GSA or any applicable GWACs	OIT
Barcode Scanners	≤ SAT	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Desktop Scanners	≤ SAT	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Storage solution for the Digitized Commission Meeting Tape files	> SAT	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Enhancement and consolidation of OS MS Access Applications	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Office of the Secretary (OS) - Document Management Solution	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Self Regulatory Organizations Rule Tracking System (SRTS)/ Electronic Form Filing System (EFFS) Re-Engineering - Phase II Solution Development	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Conversion of paper forms to electronic forms	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Trading and Markets (TM) Document Management Solution	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
System for Enforcement Case Tracking and Routing (SECTR) Application Modernization	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Broker-Dealers Risk Assessment (BDRA) - Solution	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Asset Verification	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT

TCP Lab & Analytic Support	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Business Process Re-engineering	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Mobile Device Tablets	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
New Licenses Renewals and Maintenance (56)	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Exam Staffing/Planning/Tracking/Scheduling System	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Broker-Dealer Net Capital	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Enterprise Search Solution	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Dodd-Frank Reporting Implementation and Support	>\$5M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Managed File Transfer	> \$1M	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Enterprise Data Warehouse/EDW & FDM Planning and Requirements Gathering Services Only (P2372) (Carryover)	> SAT	TBD	NASA SEWP, GSA or any applicable GWACs	OIT
Comprehensive Furniture Management Services (CFMS) Enterprise Wide	>\$10M	Jul-15	GSA	OSO
Facilities Assest and Conference Room Management Contractor Support	>\$5M	TBD	GSA	OSO
Magnetometer software maintenance	≤ SAT	TBD	TBD	OSO
Freedom of Information Act (FOIA) Office - Contractor Support Services	> \$1M	TBD	TBD	OSO
SEC Security Radio System	>\$5M	TBD	TBD	OSO
Mail Metering System	> \$1M	Sep-15	GSA	OSO
Automated external defibrillator (AED)/ Cardiopulmonary resuscitation (CPR) Training Courses	> SAT	Jan-15	GSA	OHR

Sign Language Intrepreters Support Services	> \$1M	Mar-15	GSA	OHR
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Note: This information is subject to change as requirements emerge over the fiscal year. The information is based on available budget information and SEC's Office of Acquisitions (OA) best assessment of competitive opportunities over FY 2015. All projected items on this list are subject to revision or cancellation. The forecast does not represent a request for information or a pre-solicitation synopsis, does not constitute an invitation for bid, a request for quote or a request for proposal, and is not a commitment by the SEC to purchase the desired products or services.

The SEC will provide to the maximum extent practicable opportunities in acquisitions to small business, veteran-owned small business, small disadvantaged business, service disabled veteran-owned small business, and women-owned small business concerns.

In cases where the SEC is initiating a new contracting action during FY 15, the SEC may choose to:

- Use the Federal Prison Industries (UNICOR) for the procurement of supplies;
- Use Qualified Nonprofit Agencies for the Blind and other Severely Disabled for the procurement of products and services;
- Use an existing Government Wide Acquisition Contract (GWAC), an existing SEC Enterprise Wide contract vehicle, or an Interagency Agreement; or
- Use Commercial sources in the open market.

Note: When satisfying requirements from non-mandatory sources, the SEC may set aside the opportunity for a specific socio-economic category of businesses (e.g., small businesses, veteran-owned small businesses, service-disabled veteran-owned small businesses, HUB Zone small businesses, small disadvantaged businesses (including 8(a) participants), and women-owned small business concerns).

FORECAST DEFINITIONS

SAT - Simplified Acquisition Threshold. The current SAT is \$150,000

DERA - Division of Economic and Risk Analysis

ENF - Division of Enforcement

OIEA - Office of Investor Education and Advocacy

OIG - Office of the Inspector General

OIT - Office of Information Technology

OSO - Office of Support Operations

OHR - Office of Human Resources