

POST EMPLOYMENT QUICK REFERENCE GUIDE

If you are:	Then you are restricted from:	For this long:
A former SEC Employee	Making, with the intent to influence, any communication to or appearance before an employee of the U.S. on behalf of any other person in connection with a particular matter involving specific parties, in which you participated personally and substantially as an employee.	Permanent
A former SEC Employee	Making, with the intent to influence, any communication to or appearance before an employee of the U.S. on behalf of any other person in connection with a particular matter involving specific parties, which was pending under your official supervision within one year of your termination	2 Years from termination of Government Service
A former SEC Employee	Appearing before the Commission on behalf of a client UNLESS you file -- and receive clearance on -- a Notice of Appearance (8b letter)	2 years from termination of Government Service
A former Senior Officer of the SEC	Making, with the intent to influence, any communication to or appearance before an employee of the SEC on behalf of any other person	1 year from termination of Senior Officer service
A former Senior Officer of the SEC	Representing a foreign government entity before any department or agency of the U.S. or aid or advise a foreign entity	1 year from termination of Senior Officer service