

Appendix B
Speeches, Public Statements, and Presentations Delivered by Commissioner Aguilar

132	"Modernizing the Commission's Regulatory Structure for Transfer Agents." ¹ SEC, Washington, DC. 22 December 2015	http://www.sec.gov/news/statement/modernizing-regulatory-structure-for-transfer-agents.html
131	"Commissioner Aguilar: Looking Back at The SEC's Transformation (and a few other things)." SEC, Washington, DC. 21 December 2015.	http://www.sec.gov/news/statement/commissioner-aguilar-looking-back-at-sec-transformation.html
130	"Being a Responsible Steward: Ensuring that the SEC Implements Effective Cybersecurity Protocols for its Data Gathering Efforts." SEC, Washington, DC. 16 December 2015	http://www.sec.gov/news/statement/being-a-responsible-steward-cybersecurity.html
129	"Commissioner Aguilar's (Hopefully) Helpful Tips for New SEC Commissioners." SEC, Washington, DC. 30 November 2015	http://www.sec.gov/news/statement/helpful-tips-for-new-sec-commissioners.html
128	"Statement on Departure from the SEC." SEC, Washington, DC. 16 November 2015	http://www.sec.gov/news/statement/statement-on-departure-from-the-sec.html
127	"The Need for Greater Focus on the Cybersecurity Challenges Facing Small and Midsize Business." Article published in the Autumn 2015 edition of <i>Cyber Security Review</i> . 19 October 2015.	http://www.sec.gov/news/statement/cybersecurity-challenges-for-small-midsize-businesses.html
127	"The Important Work of Boards of Directors." 12th Annual Boardroom Summit and Peer Exchange, New York, NY. 14 October 2015	http://www.sec.gov/news/speech/important-work-of-boards-of-directors.html
126	"Statement on New Rulemaking Website." SEC, Washington, DC. 24 September 2015	http://www.sec.gov/news/statement/new-rulemaking-website.html
125	"Finishing the Work of Regulating Security-Based Derivatives." SEC, Washington, DC. 15 September 2015	http://www.sec.gov/news/statement/finishing-the-work-of-regulating-security-based-derivatives.html
124	"Enhancing the Commission's Waiver Process." SEC, Washington, DC. 27 August 2015	http://www.sec.gov/news/statement/aguilar-enhancing-commissions-waiver-process.html
123	"Statement on Edward D. Jones Enforcement Action." (Joint statement w/Commissioners Gallagher, Stein, and Piwowar). SEC, Washington, DC. 13 August 2015	http://www.sec.gov/news/statement/statement-on-edward-jones-enforcement-action.html
122	"Statement on the Importance of Clarity in Commission Orders." SEC, Washington, DC. 10 August 2015	http://www.sec.gov/news/statement/importance-of-clarity-in-commission-orders.html
121	"The Benefits of Shortening the Securities Settlement Cycle." SEC, Washington, DC. 16 July 2015	http://www.sec.gov/news/statement/benefits-of-shortening-the-securities-settlement-cycle.html
120	"Ere Misery Made Me Wise - The Need to Revisit the Regulatory Framework of the U.S. Treasury Market." SEC, Washington, DC. 14 July 2015	http://www.sec.gov/news/statement/need-to-revisit-regulatory-framework-us-treasury-market.html
119	"The Role of Chief Compliance Officers Must be Supported." SEC, Washington, DC. 29 June 2015	http://www.sec.gov/news/statement/supporting-role-of-chief-compliance-officers.html
118	"A Threefold Cord - Working Together to Meet the Pervasive Challenge of Cyber-Crime." SINET Innovation Summit, New York, NY. 25 June 2015	http://www.sec.gov/news/speech/threefold-cord-challenge-of-cyber-crime.html
117	"Statement Regarding the Need to Modernize the Commission's Transfer Agent Rules." (joint statement w/Commissioner Gallagher). Washington, DC. 11 June 2015	http://www.sec.gov/news/statement/modernize-sec-transfer-agent-rules.html
116	"Dissenting Statement on the Final Interagency Policy Statement: Failing to Advance Diversity and Inclusion." SEC, Washington, DC. 9 June 2015	http://www.sec.gov/news/statement/dissent-interagency-policy-statement-diversity.html
115	"U.S. Equity Market Structure: Making Our Markets Work Better for Investors." SEC, Washington, DC. 11 May 2015	http://www.sec.gov/news/statement/us-equity-market-structure.html
114	"Regulators Working Together to Serve Investors." Annual NASAA/SEC 19(d) Conference. Washington, DC. 14 April 2015	http://www.sec.gov/news/speech/regulators-working-together-to-serve-investors.html
113	"Preparing for the Regulatory Challenges of the 21st Century." Georgia Law Review Annual Symposium - Financial Regulation: Reflections and Projections, Athens, GA. 20 March 2015	http://www.sec.gov/news/speech/preparing-for-regulatory-challenges-of-21st-century.html
112	"Setting Forth Goals for 2015: Address to Practising Law Institute's SEC Speaks in 2015 Program." Practising Law Institute's SEC Speaks in 2015, Washington, DC. 20 February 2015	http://www.sec.gov/news/speech/022015-speech-claa.html
111	"Statement on Making the Municipal Securities Market More Transparent, Liquid, and Fair." SEC, Washington, DC. 13 February 2015	http://www.sec.gov/news/statement/making-municipal-securities-market-more-transparent-liquid-fair.html
110	"Aligning the Interests of Company Executives and Directors with Shareholders." SEC, Washington, DC. 9 February 2015	http://www.sec.gov/news/statement/020915-ps-claa.html
109	"Advocating for Investors Saving for Retirement." American Retirement Initiative Winter Summit hosted by Commissioner Aguilar at SEC. Washington, DC. 5 February 2015	http://www.sec.gov/news/speech/advocating-for-investors-saving-for-retirement.html

Appendix B
Speeches, Public Statements, and Presentations Delivered by Commissioner Aguilar

108	"Dissenting Statement in the Matter of Oppenheimer & Co., Inc." (joint statement w/Commissioner Stein). Washington, DC. 4 February 2015	http://www.sec.gov/news/statement/dissenting-statement-oppenheimer-inc.html
107	"Statement on the Commission's Issuance of a Risk Alert Related to the Results of a Recent Cybersecurity Sweep." Washington, DC. 3 February 2015	http://www.sec.gov/news/statement/statement-on-risk-alert-for-cybersecurity-sweep.html
106	"The Importance to the Capital Markets of Updating the Rules Regarding Transfer Agents." Excerpts from an interview given to Sarah Lynch (Reuters). Washington, DC. 17 December 2014	http://www.sec.gov/news/statement/spch121714-2laa.html
105	"Dissenting Statement In the Matter of Lynn R. Blodgett and Kevin R. Kyser, CPA, Respondents." SEC, Washington, DC. 28 August 2014	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542787855
104	"Evaluating Pension Fund Investment Through The Lens Of Good Corporate Governance." Hispanic Heritage Foundation's Latinos on Fast Track (LOFT) Investors Forum, Washington, DC. 27 June 2014	http://www.sec.gov/News/Speech/Detail/Speech/1370542193403
103	"Board of Directors Corporate Governance and Cyber-Risks: Sharpening the Focus." NYSE Cyber Risks and the Boardroom Conference, New York, NY. 10 June 2014	http://www.sec.gov/News/Speech/Detail/Speech/1370542057946
102	"Looking at Corporate Governance from the Investor's Perspective." Emory University School of Law Corporate Governance Lecture Series, Atlanta, GA. 21 April 2014	http://www.sec.gov/News/Speech/Detail/Speech/1370541547078
101	"NASAA and the SEC: Presenting a United Front to Protect Investors." NASAA/SEC 19(d) Conference, Washington, DC. 8 April 2014	http://www.sec.gov/News/Speech/Detail/Speech/1370541436767
100	"Taking An Informed Approach To Issues Facing The Mutual Fund Industry." Mutual Fund Directors Forum's Annual Policy Conference. Washington, DC. 2 April 2014	http://www.sec.gov/News/Speech/Detail/Speech/1370541390232
99	"Addressing Known Risks to Better Protect Investors." Practising Law Institute's SEC Speaks in 2014, Washington, DC. 21 February 2014	http://www.sec.gov/News/Speech/Detail/Speech/1370540828740
98	"Protecting the Financial Future of Seniors and Retirees." The American Retirement Initiative's Winter 2014 Summit hosted by Commissioner Aguilar at SEC, Washington, DC. 4 February 2014	http://www.sec.gov/News/Speech/Detail/Speech/1370540744550
97	"Making A Difference Through Public Service." Latinos on Fast Track (LOFT) Symposium Hispanic Heritage Foundation, Washington, DC. 15 January 2014	http://www.sec.gov/News/Speech/Detail/Speech/1370540634250
96	"Statement on the Commission's Issuance of Certain Exemptive Orders Related to Rule 17q-5(c)(1)." SEC, Washington, DC. 8 January 2014	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370540595013
95	"Statement on Volcker Rule: Reducing Systemic Risk By Banning Excessive Proprietary Trading with Depositors' Money." SEC, Washington, DC. 10 December 2013	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370540478214
94	"Seeing Capital Markets Through Investor Eyes." Consumer Federation of America's 26 th Annual Conference, Washington, DC. 5 December 2013.	http://www.sec.gov/News/Speech/Detail/Speech/1370540451723
93	"A Stronger Enforcement Program to Enhance Investor Protection." 20 th Annual Securities Litigation and Regulatory Enforcement Seminar, Atlanta, GA. 25 October 2013.	http://www.sec.gov/News/Speech/Detail/Speech/1370540071677
92	"Celebrating National Hispanic Heritage Month by Recognizing Achievements and Looking Ahead." Federal Trade Commission's National Hispanic Heritage Month Celebration, Washington, DC. 17 September 2013.	http://www.sec.gov/News/Speech/Detail/Speech/1370539811083
91	"Statement: The Need for Effective Regulation of the Asset-Backed Securities Market." SEC, Washington, DC. 28 August 2013	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370539794553
90	"Statement: Strengthening Oversight of Broker-Dealers by Instituting a Framework to Prevent Another Madoff." SEC, Washington, DC. 31 July 2013	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370539742041
89	"Statement: Addressing Investor Risk in the Retail Forex Business." SEC, Washington, DC. 11 July 2013.	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370539704543
88	"Merely Cracking the Glass Ceiling is Not Enough: Corporate America Needs More than Just a Few Women in Leadership." Women's Executive Circle of New York Diversity Luncheon and Panel Discussion. New York, NY. 22 May 2013.	http://www.sec.gov/News/Speech/Detail/Speech/1365171515760
87	"The Important Role of Immigrants in Our Economy." Georgia Hispanic Chamber of Commerce's 2013 Gala, Atlanta, GA. 18 May 2013.	http://www.sec.gov/News/Speech/Detail/Speech/1365171515736
85	"Georgia Southern University Commencement Address: Preparing for Challenges and Opportunities." Georgia Southern University, Statesboro, GA. 11 May 2013.	http://www.sec.gov/News/Speech/Detail/Speech/1365171515594
84	"The Need for Robust SEC Oversight of SROs." SEC, Washington, DC. 8 May 2013.	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1365171515546

Appendix B
Speeches, Public Statements, and Presentations Delivered by Commissioner Aguilar

83	<i>"Institutional Investors: Power and Responsibility."</i> Georgia State University – J. Mack Robinson College of Business Center for the Economic Analysis of Risk (CEAR) – Department of Finance CEAR Workshop – Institutional Investors: Control, Liquidity, and Systemic Risks, Atlanta, GA. 19 Apr 2013	http://www.sec.gov/News/Speech/Detail/Speech/1365171515808
82	<i>"Doing the Right Thing: Compliance That Works for Investors."</i> The Regulatory Compliance Association Regulation, Operations & Compliance (ROC) 2013, New York, NY. 18 Apr 2013	http://www.sec.gov/News/Speech/Detail/Speech/1365171515784
81	<i>"Outmanned and Outgunned: Fighting on Behalf of Investors Despite Efforts to Weaken Investor Protections."</i> NASAA/SEC 19(D) Conference, Washington, DC. 16 Apr 2013.	http://www.sec.gov/News/Speech/Detail/Speech/1365171515400
80	<i>"Inclusion is a Strength: Corporate America and the SEC Should Reflect America."</i> Compilation of remarks. 21 Mar 2013. (Greenlining Institute's Diversity Summit, Washington, DC on March 5, 2013); SEC's Unconscious Bias Training Seminar, Washington, DC on March 5, 2103; Renaissance Gathering Dinner, Atlanta, GA on March 16, 2103; and Chicago United Annual Meeting on Chicago, IL, March 19, 2013).	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1365171515498
79	<i>"Wall Street Should Reflect Main Street: Remarks to the Greenlining Institute Opportunity Summit."</i> The Greenlining Institute Opportunity Summit, Washington, DC. 5 Mar 2013.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
78	<i>"Addressing Market Instability through Informed and Smart Regulation."</i> Practising Law Institute's SEC Speaks in 2013, Washington, DC. 22 Feb 2013.	http://www.sec.gov/News/Speech/Detail/Speech/1365171492386
77	<i>"Statement: Shareholders Need Robust Disclosure to Exercise Their Voting Rights as Investors and Owners."</i> SEC, Washington, DC. 20 Feb 2013	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1365171492322
76	<i>"Statement on Money Market Funds as to Recent Developments."</i> SEC, Washington, DC. 5 Dec 2012.	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1365171491946
75	<i>"Capital Formation from the Investor's Perspective."</i> AICPA Conference on Current SEC and PCAOB Developments, Washington, DC. 3 Dec 2012.	http://www.sec.gov/News/Speech/Detail/Speech/1365171491900
74	<i>"Taking the No-Nonsense Approach to Enforcing the Federal Securities Laws."</i> Securities Enforcement Forum 2012, Washington, DC. 18 Oct 2012.	http://www.sec.gov/News/Speech/Detail/Speech/1365171491510
73	<i>"Working to Achieve the American Dream."</i> Hispanic Bar Association of the District of Columbia, Washington, DC. 19 Sep 2012.	http://www.sec.gov/News/Speech/Detail/Speech/1365171491216
72	<i>"Statement Regarding Money Market Funds."</i> SEC, Washington, DC. 23 Aug 2012.	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1365171491044
71	<i>"Pension Funds as Owners and Investors: A Voice for Working Families."</i> NAPPA 2012 Legal Education Conference, Philadelphia, PA. 27 Jun 2012.	http://www.sec.gov/News/Speech/Detail/Speech/1365171490686
70	<i>"Statement concerning publication by IOSCO on April 27, 2012 of the "Consultation Report of the IOSCO Standing Committee 5 on Money Market Funds: Money Market Fund Systemic Risk analysis and Reform Options."</i> Joint statement by Commissioners Aguilar, Gallagher, and Paredes. SEC, Washington, DC. 11 May 2012	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1365171494142
69	<i>"Advocating for Greater Federal and State Securities Regulatory Cooperation and Collaboration."</i> NASAA/SEC 19(d) Conference, Washington, DC. 7 May 2012.	http://www.sec.gov/News/Speech/Detail/Speech/1365171490410
68	<i>"Defrauded Investors Deserve Their Day in Court."</i> SEC, Washington, DC. 11 Apr 2012.	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1365171490204
67	<i>"Investor Protection is Needed for True Capital Formation: Views on the JOBS Act."</i> SEC, Washington, DC. 16 Mar 2012.	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1365171490120
66	<i>"Why Seniors Are More Vulnerable Now As Targets for Financial Abuse."</i> American Retirement Initiative Spring Summit, Washington, DC. 15 Mar 2012.	http://www.sec.gov/News/Speech/Detail/Speech/1365171490074
65	<i>"Shining a Light on Expenditures of Shareholder Money."</i> Practising Law Institute's SEC Speaks 2012 Program, Washington, DC. 24 Feb 2012.	http://www.sec.gov/News/Speech/Detail/Speech/1365171489916
64	<i>"Statement by SEC Commissioner Regarding New Appointment to the PCAOB Board."</i> SEC, Washington, DC. 3 February 2012	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1365171489804
63	<i>"Improving the Quality and Outcomes of Retirement Planning."</i> American Retirement Summit, Washington DC. 3 November 2011	http://www.sec.gov/news/speech/2011/spch110311laa.htm
62	<i>"Informed Decisions Require Meaningful Information."</i> University of Tennessee Corporate Governance Center, Knoxville, TN. 23 Sep 2011.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
61	<i>"Status Report on the SEC's Corporate Governance Activities and the Impact of the Dodd-Frank Act."</i> Roundtable on Board Service (via teleconference). 19 Sep 2011	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.

Appendix B
Speeches, Public Statements, and Presentations Delivered by Commissioner Aguilar

60	"Transformation of Hispanics in Communications/Diversity in Corporate America." Panelist on Diversity Task Force Summit, 2011 CHCI Public Policy Conference, Convention Center, Washington, DC. 13 Sep 2011.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
59	"Statement Regarding the Urgent Need to Re-establish the Investor Advisory Committee." SEC, Washington, DC. 13 Sep 2011.	http://www.sec.gov/news/speech/2011/spch091311laa.htm
58	"Panel Moderated by Byron Pitts." Black Corporate Directors Conference, Laguna Beach, CA. No prepared speech. 9 Sep 2011.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
57	"Statement Regarding Interim Final Temporary Rule 15b12-IT – Brokers or Dealers engaged in a Retail Forex Business." SEC, Washington, DC. 13 Jul 2011.	http://www.sec.gov/news/speech/2011/spch071311laa.htm
56	"An Inflection Point: The SEC and the Current Financial Reform Landscape." Social Investment Forum 2011 Conference. 10 Jun 2011.	http://www.sec.gov/news/speech/2011/spch061011laa.htm
55	"An Evening with the SEC." Diversity Recruitment Event. SEC Auditorium, Washington, DC. 8 Jun 2011.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
54	"SEC 101." 2011 U.S.-Spain Council's Annual Forum. City of Oviedo Convention Center, Oviedo, Spain. 4 Jun 2011.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
53	"Statement by SEC Commissioner: The Abysmal Lack of Diversity in Corporate Boardrooms is Growing Worse." SEC, Washington, DC. 2 May 2011.	http://www.sec.gov/news/speech/2011/spch050211laa.htm
52	"An Update on Diversity and Financial Literacy." 2011 Hispanic Association of Corporate Responsibility- Corporate Directors Summit. Mandarin Oriental Hotel, Washington, DC. 30 Apr 2011.	http://www.sec.gov/news/speech/2011/spch043011laa.htm
51	"Still Fighting for Inclusion and Equal Opportunity." Latin American Association 22 nd Annual Compañeros Award Luncheon. Georgia Aquarium, Atlanta, GA. 21 Apr 2011.	http://www.sec.gov/news/speech/2011/spch042111laa.htm
50	"Facilitating Real Capital Formation." Council of Institutional Investors Spring Meeting. Omni Shoreham Hotel, Washington, DC. 4 Apr 2011.	http://www.sec.gov/news/speech/2011/spch040411laa.htm
49	"Exemplifying Fundamentals – Back to Basics." NASAA/SEC 19(d) Conference. Washington Court Hotel, Washington, DC. 28 Mar 2011.	http://www.sec.gov/news/speech/2011/spch032811laa.htm
48	"Setting Forth Aspirations for 2011." SEC Speaks 2011. Ronald Reagan Building and International Trade Center, Washington, DC. 4 Feb 2011.	http://www.sec.gov/news/speech/2011/spch020411laa.htm
47	"The SEC and Corporate Governance – An Overview in the Wake of Dodd-Frank." 2010 New America Alliance Latino Economic Forum. Washington, DC. 18 Nov 2010.	http://www.sec.gov/news/speech/2010/spch111810laa.htm
46	"A Conversation with Commissioner Aguilar: An Overview of the SEC and its Recent Initiatives." American Business Conference Fall Meeting. Washington, DC. 16 Nov 2010.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
45	"Board Diversity: Why it Matters and How to Improve It." Agenda Luncheon Program. New York, NY. 4 Nov 2010.	http://www.sec.gov/news/speech/2010/spch110410laa.htm
44	"An Insider's View of the SEC: Principles to Guide Reform." Berkeley Center for Law, Business and the Economy. University of California at Berkeley, Berkeley, CA. 15 Oct 2010	http://www.sec.gov/news/speech/2010/spch101510laa.htm
43	"The Mandate for Fair Inclusion and Opportunity for All." Black Economic Council 5 th Annual Urban Economic Conference. Oakland, CA. 14 Oct 2010.	http://www.sec.gov/news/speech/2010/spch101410laa.htm
42	"A Conversation with Commissioner Aguilar: An Overview of the SEC and its Recent Initiatives." GALEO Latino Community Development Fund & the Georgia Hispanic Bar Association 2010 Hispanic Heritage Month Luncheon. Gwinnett Technical College, Lawrenceville, GA. 8 Oct 2010.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
41	"Changing the Dialogue on Diversity to Achieve Results." The National Association of Minority & Women Owned Law Firms 2010 Annual Meeting & Gala. National Harbor, MD. 4 Oct 2010.	http://www.sec.gov/news/speech/2010/spch100410laa.htm
40	"Furthering Opportunity and Diversity at the SEC: A Positive-Sum Game." An Evening at the SEC: ALPFA Panel Discussion and Networking Event. SEC Auditorium, Washington, DC. 28 Sep 2010.	http://www.sec.gov/news/speech/2010/spch092810laa.htm
39	"Greed, Recklessness and the Meltdown, Will Financial Reform Save Us From the Meltdown." University of Denver, Denver, CO. 23 Sep 2010.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.

Appendix B
Speeches, Public Statements, and Presentations Delivered by Commissioner Aguilar

38	"The Importance of Corporate Governance." Latino Leaders Corporate Governance Roundtable. Dallas, TX. 22 Sep 2010.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
37	"Financial Regulatory Reform: The SEC Moving Forward." Loyola Marymount University Center for Accounting Ethics, Governance, and the Public Interest, Distinguished Speaker Series. Loyola Marymount University, Los Angeles, CA. 21 Sep 2010.	http://www.sec.gov/news/speech/2010/spch092110laa.htm
36	"Diversity in the Boardroom is Important and, Unfortunately, Still Rare." Closing the Gender Gap: Global Perspectives on Women in the Boardroom. Johns Hopkins University Paul H. Nitze School of Advanced International Studies, Washington, DC. 16 Sep 2010.	http://www.sec.gov/news/speech/2010/spch091610laa.htm
35	"Understanding the SEC: A Current Snapshot." Florida International School of Law, Miami, FL. 19 Aug 2010.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
34	"A Discussion of Recent SEC Activities." A Luncheon with Luis A. Aguilar, Commissioner. Atlanta Chapter of the Federal Bar Association, Atlanta, GA. 4 Aug 2010.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
33	"An Overview of the SEC and its Recent Initiatives." DC Minority Attorney Networking Series. Washington, DC. 26 Jul 2010.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
32	"How the SEC Works and the Current Policy Priorities." Spain – US Latino Business Forum. Washington, DC. 9 Jun 2010.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
31	"Market Upheaval and Investor Harm Should Not be the New Normal." Compliance Week 2010. Washington, DC. 24 May 2010.	http://www.sec.gov/news/speech/2010/spch052410laa-1.htm
30	"Statement in Support of Extending a Fiduciary Duty to Broker-Dealers who Provide Investment Advice." SEC, Washington, DC. 11 May 2010	http://www.sec.gov/news/speech/2010/spch051110laa.htm
29	"Recruiting the Best and the Brightest Means Striving for a Diverse Applicant Pool." Attorney Career Roundtable. SEC Auditorium, Washington, DC. 3 May 2010.	http://www.sec.gov/news/speech/2010/spch050310laa.htm
28	"A Dialogue with SEC Commissioner Luis Aguilar." Federal Bar Association, Federal Securities Committee. Washington DC. 3 May 2010.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
27	"Protecting Investors by Requiring that Advice-Givers Stay True to the Fiduciary Framework." Investment Adviser Association Annual Conference. Trump International Hotel and Tower, Chicago, IL. 29 Apr 2010.	http://www.sec.gov/news/speech/2010/spch042910laa.htm
26	"Regulatory Reform that Optimizes the Regulation of Systemic Risk." American Bar Association Spring Meeting. New York, NY. 16 Apr 2010.	http://www.sec.gov/news/speech/2010/spch041610laa.htm
25	"A Shared Responsibility: Preserving the Fiduciary Standard." IA Compliance Best Practices Summit 2010. Washington, DC. 26 Mar 2010.	http://www.sec.gov/news/speech/2010/spch032610laa.htm
24	"Making Sure Investors Benefit from Money Market Fund Reform." Investment Company Institute and Federal Bar Association Mutual Funds and Investment Management Conference. Phoenix, AZ. 15 Mar 2010.	http://www.sec.gov/news/speech/2010/spch031510laa.htm
23	"Sustainable Reform Prioritizing Long-Term Investors Requires the Right Orientation." SEC Speaks 2010. Ronald Reagan Building and International Trade Center, Washington, DC. 5 Feb 2010.	http://www.sec.gov/news/speech/2010/spch020510laa.htm
22	"Investors Deserve Sustainable Reform – Not More of the Same." George Washington University Law School and Institute for Law and Economic Policy. Washington, DC. 6 Nov 2009.	http://www.sec.gov/news/speech/2009/spch110609laa.htm
21	"The Power of the Shareholder & the Rise of Corporate Democracy." 2009 New America Alliance Latino Economic Forum. New York, NY. 29 Oct 2009.	http://www.sec.gov/news/speech/2009/spch102909laa.htm
20	"A Conversation with Commissioner Aguilar: An Overview of the SEC and its Recent Initiatives." US Chamber of Commerce Center for Capital Markets Competitiveness Leadership Board Meeting. US Chamber of Commerce, Washington, DC. 15 Oct 2009.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
19	"Creating Reform That is Sustainable for Investors." Hofstra University School of Law Journal of International Business and Law Investment Management Law Conference. Hofstra University, Hempstead, NY. 9 Oct 2009.	http://www.sec.gov/news/speech/2009/spch100909laa.htm

Appendix B
Speeches, Public Statements, and Presentations Delivered by Commissioner Aguilar

18	"Diversity in the Boardroom Yields Dividends." Diversity on Corporate Boards: When Diversity Makes a Difference. Stanford Law School, Palo Alto, CA. 10 Sep 2009.	http://www.sec.gov/news/speech/2009/spch091009laa.htm
17	"Harmonizing SEC and CFTC Regulations to Improve Investor Protection, Fair and Competitive Markets, and Vigorous Law Enforcement." Joint Meeting of the SEC and CFTC. Washington, DC. 2 September 2009.	http://www.sec.gov/news/speech/2009/spch090209laa.htm
16	"The Globalization of Investment Advisers – How will Regulators Respond?" International Institute for the Regulation and Inspection of Investment Advisers Conference. SEC Auditorium, Washington, DC. 23 Jun 2009.	http://www.sec.gov/news/speech/2009/spch062309laa.htm
15	"Hedge Fund Regulation on the Horizon – Don't Shoot the Messenger." Spring 2009 Hedgeworld Fund Services Conference. New York, NY. 18 Jun 2009.	http://www.sec.gov/news/speech/2009/spch061809laa.htm
14	"Reversing Course – Putting Investors First in Regulatory Reform." Compliance Week 2009. Washington DC. 3 Jun 2009.	http://www.sec.gov/news/speech/2009/spch060309laa.htm
13	"Combating Securities Fraud at Home and Abroad." Georgia Southern University's Third Annual Fraud and Forensic Accounting Education Conference. Atlanta, GA. 28 May 2009.	http://www.sec.gov/news/speech/2009/spch052809laa.htm
12	"Prioritizing Investors in the Regulatory Reform Agenda." 41 st Annual Rocky Mountain Securities Conference. Denver, CO. 8 May 2009.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
11	"SEC's Oversight of the Adviser Industry Bolsters Investor Protection." Investments Advisers Association Annual Conference. San Diego, CA. 7 May 2009.	http://www.sec.gov/news/speech/2009/spch050709laa.htm
10	"NASAA Members and the SEC – United in the Public Interest and Making Investors a Priority." 2009 North American Securities Administrators Association Public Policy Conference. Washington, DC. 28 Apr 2009.	http://www.sec.gov/news/speech/2009/spch042809laa.htm
9	"Equalizing Opportunities for All Americans to Participate in Financial Services." NYSE Euronext Roundtable on Financial Literacy in Minority Communities. New York, NY. 27 Apr 2009.	http://www.sec.gov/news/speech/2009/spch042709laa.htm
8	"Making Investors a Priority in Regulatory Reform." 2009 Independent Directors Conference Workshop. Boston, MA. 17 Apr 2009.	http://www.sec.gov/news/speech/2009/spch041709laa.htm
7	"Reinvigorating the Enforcement Program to Restore Investor Confidence." The District of Columbia Bar Conference Center, Washington, DC. 18 Mar 2009.	http://www.sec.gov/news/speech/2009/spch031809laa.htm
6	"The Regulatory Needs of a Changing Financial Market – It's About Investors." 31 st Annual Conference on Securities Regulation and Business Law. Dallas, TX. 13 Feb 2009.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.
5	"Increasing Accountability and Transparency to Investors." SEC Speaks 2009. Ronald Reagan Building and International Trade Center, Washington, DC. 6 Feb 2009.	http://www.sec.gov/news/speech/2009/spch020609laa.htm
4	"Remarks at the Investment Company Institute's Board of Governor's Winter Meeting" Investment Company Institute Board of Governors Winter Meeting. Washington, DC. 26 Jan 2009.	http://www.sec.gov/news/speech/2009/spch012609laa.htm
3	"Empowering the Markets Watchdog to Effect Real Results." North American Securities Administrators Association Winter Enforcement Conference. San Diego, CA. 10 Jan 2009.	http://www.sec.gov/news/speech/2009/spch011009laa.htm
2	"Remarks Before the 14th Annual Securities Litigation and Regulatory Practice Seminar" Institute of Continuing Legal Education in Georgia. Atlanta, GA. 31 Oct 2008.	http://www.sec.gov/news/speech/2008/spch103108laa.htm
1	"Getting Involved: Our Families, Our Communities, Our Nation." Hispanic Heritage Month Event. SEC Chicago Regional Office, Chicago, IL. 16 Sep 2008.	No link available. Commissioner Aguilar spoke extemporaneously without a formal speech prepared.

¹ This statement was issued on December 22, 2015 and has been added to Appendix B to provide a complete and final list of speeches, public statements, and presentations delivered by Commissioner Aguilar in his official capacity.