

Appendix A
Remarks at Open Commission Meetings Delivered by Commissioner Aguilar
SEC Commission Meetings
Washington, DC

122	"Enhancing the Transparency of Resource Extraction Revenue Pa	Resource Extraction re-proposal	http://www.sec.gov/news/statement/disclosure-of-payments-by-resource-extraction-issuers.html
121	"Protecting Investors through Proactive Regulation of Derivatives and Robust Fund Governance." SEC, Washington, DC. 11 December 2015.	Security-Based Swaps Trading Platforms proposal	http://www.sec.gov/news/statement/protecting-investors-through-proactive-regulation-derivatives.html
120	"The Continuing Work of Enhancing Small Business Capital Formation." SEC, Washington, DC. 19 November 2015.	Government-Business Forum on Small Business Capital Formation	http://www.sec.gov/news/statement/continuing-work-of-enhancing-small-business-capital-formation.html
119	"Shedding Light on Dark Pools." SEC, Washington, DC. 18 November 2015.	ATS Transparency proposal	http://www.sec.gov/news/statement/shedding-light-on-dark-pools.html
118	"Making Capital Formation Work for Smaller Companies and Investors." SEC, Washington, DC. 30 October 2015	Crowdfunding adoption; Rules 147 and 504 proposal	http://www.sec.gov/news/statement/aguilar-regulation-crowdfunding-147-504.html
117	"Evolving Equity Markets Require Constant Attention." SEC, Washington, DC. 27 October 2015	Advisory Committee on Equity Market Structure	http://www.sec.gov/news/statement/aguilar-emsac-10-2015.html
116	"How Can the Markets Best Adapt to the Rapid Growth of ETFs." SEC, Washington, DC. 15 October 2015	Investor Advisory Committee	http://www.sec.gov/news/statement/how-can-markets-adapt-to-rapid-growth-etfs.html
115	"Cybersecurity and Small and Emerging Companies." SEC, Washington, DC. 23 September 2015.	Advisory Committee on Small and Emerging Companies	http://www.sec.gov/news/statement/cybersecurity-and-small-and-emerging-companies.html
114	"The Importance of Being Earnest About Liquidity Risk Management." SEC, Washington, DC. 22 September 2015	Liquidity proposal	http://www.sec.gov/news/statement/aguilar-liquidity-risk-management.html
113	"The CEO Pay Ratio Rule: A Workable Solution For Both Issuers and Investors." SEC, Washington, DC. 5 August 2015	Pay Ratio adoption	http://www.sec.gov/news/statement/statement-on-open-meeting-on-pay-ratio-aguilar.html
112	"Establishing a Registration Framework for Dealers and Major Participants in the Derivatives Market." SEC, Washington, DC. 5 August 2015	SBS Entity Registration adoption; Rule 194 proposal	http://www.sec.gov/news/statement/establishing-a-registration-framework-for-dealers.html
111	"Statement at an Open Meeting on Dodd-Frank Act 'Clawback' Provision." SEC, Washington, DC. 1 July 2015	Clawback proposal	http://www.sec.gov/news/statement/making-executive-compensation-more-accountable.html
110	"Effective Regulatory Oversight and Investor Protection Requires Better Information." SEC, Washington, DC. 20 May 2015	IA Reporting Modernization proposal; Form ADV amendments	http://www.sec.gov/news/statement/aguilar-effective-regulatory-oversight-and-investor-protection.html
109	"Focusing on Dealer Conduct in the Derivatives Market." SEC, Washington, DC. 29 April 2015	Conduct Reproposal	http://www.sec.gov/news/statement/focusing-on-dealer-conduct-in-the-derivatives-market.html
108	"Statement at an Open Meeting on Pay Versus Performance Disclosures." SEC, Washington, DC. 29 April 2015	Pay v. Performance proposal	http://www.sec.gov/news/statement/improving-transparency-for-executive-pay-practices.html
107	"Enhancing Oversight of Our Equities and Options Markets." SEC, Washington, DC. 25 March 2015	Rule 15b9-1 Exemption amendments	http://www.sec.gov/news/statement/enhancing-oversight-of-our-equities-and-options-markets.html
106	"Helping Small Business and Protecting Investors." SEC, Washington, DC. 25 March 2015	Reg A+ amendments	http://www.sec.gov/news/statement/helping-small-businesses-and-protecting-investors.html
105	"The Need for Greater Secondary Market Liquidity for Small Businesses." SEC, Washington, DC. 4 March 2015	Advisory Committee on Small and Emerging Companies	http://www.sec.gov/news/statement/need-for-greater-secondary-market-liquidity-for-small-businesses.html
104	"Ensuring the Proxy Process Works for Shareholders." SEC, Washington, DC. 19 February 2015	Proxy Voting Roundtable	http://www.sec.gov/news/statement/021915-psclaa.html
103	"Ensuring the Necessary Resources at the PCAOB." SEC, Washington, DC. 4 February 2015	PCAOB Budget	http://www.sec.gov/news/statement/ensuring-the-necessary-resources-at-the-pcaob.html
102	"Creating a Regulatory Infrastructure to Address the Lack of Transparency in the Security-Based Swap Market." SEC, Washington, DC. 14 January 2015	SDR adoption; SBSR adoption; SBSR proposal	http://www.sec.gov/news/statement/security-based-swap-market-transparency-commissioner-aguilar.html
101	"Revisiting the 'Accredited Investor' Definition to Better Protect Investors." SEC, Washington, DC. 17 December 2014	Advisory Committee on Small and Emerging Companies	http://www.sec.gov/news/statement/spch121714laa.html
100	"The Importance of Small Business Capital Formation." SEC, Washington, DC. 20 November 2014	Small Business Capital Formation Forum	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370543532516

Appendix A
Remarks at Open Commission Meetings Delivered by Commissioner Aguilar
SEC Commission Meetings
Washington, DC

99	"Protecting the Technological Infrastructure of Our Capital Markets." SEC, Washington, DC. 19 November 2014	Reg SCI adoption	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370543491121
98	"Skin in the Game: Aligning the Interests of Sponsors and Investors." SEC, Washington, DC. 22 October 2014	Credit Risk Retention adoption	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370543250034
97	"Restoring Integrity to the Credit Rating Process." SEC, Washington, DC. 27 August 2014	NRSRO Governance adoption	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542769492
96	"Correcting Some of the Flaws in the ABS Market." SEC, Washington, DC. 27 August 2014	Reg AB2 adoption	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542768870
95	"Strengthening Money Market Funds to Reduce Systemic Risk." SEC, Washington, DC. 23 July 2014	Money Market Fund adoption; Rule 10B-10 Exemptive Relief proposal; Reference Removal Rule 2a-7 reproposal	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542553625
94	"Combating the Financial Exploitation of Older Adults." SEC, Washington, DC. 10 July 2014	Investor Advisory Committee	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542555371
93	"Beginning to Shine a Light on the Opaque Derivatives Market: Defining Dealers and Major Participants in the Cross-Border Context." SEC, Washington, DC. 25 June 2014	Cross-Border Definitions adoption	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542555565
92	"The Commission's Role in Addressing the Growing Cyber-Threat." SEC, Washington, DC. 26 March 2014	Cybersecurity Roundtable	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370541287184
91	"Enhancing the Stability and Safety of Clearing Agencies." SEC, Washington, DC. 12 March 2014	Clearing Agency Standards proposal	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542555999
90	"Protecting Investors Requires a Strong PCAOB." SEC, Washington, DC. 5 February 2014	PCAOB Budget	http://www.sec.gov/news/statement/protecting-investors-requires-strong-pcaob.html
89	"Promoting Investor Protection in Small Business Capital Formation." SEC, Washington, DC. 18 December 2013	Reg A+ proposal	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542557949
88	"Looking at Proxy Advisory Firms from the Investor's Perspective." SEC, Washington, DC. 5 December 2013	Proxy Advisory Roundtable	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370543414133
87	"Harnessing the Internet to Promote Access to Capital for Small Businesses, While Protecting the Interests of Investors." SEC, Washington, DC. 23 October 2013	Crowdfunding proposal	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542558567
86	"Enhancing Oversight of Municipal Advisors to Prevent Further Abuses in the Municipal Finance Market and Protect Investors." SEC, Washington, DC. 18 September 2013	Muni Advisor adoption	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370543314761
85	"Providing Context for Executive Compensation Decisions." SEC, Washington, DC. 18 September 2013	Pay Ratio proposal	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370543314849
84	"Facilitating General Solicitation at the Expense of Investors." SEC, Washington, DC. 10 July 2013.	General Solicitation amendment adoption	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370543419272
83	"Limiting – But Not Eliminating – Bad Actors from Certain Offerings." SEC, Washington, DC. 10 July 2013.	Bad Actor amendments adoption	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370543418576
82	"Statement at Open Meeting." SEC, Washington, DC. 10 July 2013.	Reg D amendments proposal	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542566540
81	"Striving to Restructure Money Markets Funds to Address Potential System Risk." SEC, Washington, DC. 5 Jun 2013	Money Market Fund Proposal	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542566775
80	"Addressing Conflicts of Interest In the Credit Ratings Industry." SEC, Washington, DC. 14 May 2013	SEC Roundtable on Credit Ratings	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370543415481
79	"Working to Increase Transparency and Reduce Systemic Risks Caused by the Global Derivatives Market." SEC, Washington, DC. 1 May 2013.	Cross-Border proposal; SBS reopening of comment period	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542573918
78	"Keeping a Retail Investor Focus in Overseeing the Fixed Income Market." SEC, Washington, DC. 16 Apr 2013.	SEC Roundtable on Fixed Income Markets	http://www.sec.gov/News/Speech/Detail/Speech/1365171515252
77	"Promulgating Rules to Prevent Identity Theft." SEC, Washington, DC. 10 Apr 2013.	Identity Theft Red Flags adoption	http://www.sec.gov/News/PublicStmt/Detail/PublicStmt/1370542676493

Appendix A
Remarks at Open Commission Meetings Delivered by Commissioner Aguilar
SEC Commission Meetings
Washington, DC

76	"Developing Solutions to Ensure that the Automated Systems of Our Marketplace are Secure, Robust, and Reliable." SEC, Washington, DC. 7 Mar 2013	Reg SCI proposal	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370542575649
75	"Providing Needed Resources to the PCAOB." SEC, Washington, DC. 13 Feb 2013.	PCAOB Budget	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370542575788
74	"Fulfilling the Commission's Statutory Responsibility to Respond to IAC's Recommendations." SEC, Washington, DC. Washington, DC. 18 Jan 2013	Investor Advisory Committee	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370543417702
73	"Protecting our Economy Demands Adequate Capital and Margin Requirements for Security-Based Swaps." SEC, Washington, DC. 17 October 2012	Capital and Margin proposal	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370542576393
72	"Increasing the Vulnerability of Investors." SEC, Washington, DC. 29 Aug 2012.	506/144A General Solicitation proposal	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1365171491128
71	"Requiring Disclosure to Increase Transparency Regarding the Sourcing of Conflict Minerals." SEC, Washington, DC. 22 Aug 2012.	Conflict Minerals adoption	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1365171490980
70	"Facilitating Transparency of Resource Revenue Payments to Protect Investors." SEC, Washington, DC. 22 Aug 2012.	Resource Extraction adoption	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370542580723
69	"Smart Regulation: Keeping the Door Open for Effective Ideas." SEC, Washington, DC. 11 Jul 2012.	CAT proposal	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370542581481
68	"Investor Voices Renewed: The New Investor Advisor Committee." SEC, Washington, DC. 12 Jun 2012.	Investor Advisory Committee	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370542581572
67	"Defining Security-Based Swap Dealers and Major Security-Based Swap Participants." SEC, Washington, DC. 18 Apr 2012.	Intermediary definitions adoption	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370542581880
66	"Statement at Open Meeting to Approve PCAOB Budget." SEC, Washington, DC. 11 Jan 2012.	PCAOB Budget	http://www.sec.gov/News/PublicStmnt/Detail/PublicStmnt/1370542582074
65	"Facilitating Small Business Capital Formation Does Not Need to Be at the Expense of Protecting Investors." SEC, Washington, DC. 17 Nov 2011.	SEC Government-Business Forum on Small Business Capital Formation	http://www.sec.gov/news/speech/2011/spch1117111aa.htm
64	"Obtaining Reliable Information Regarding Private Fund Advisers." SEC, Washington, DC. 26 Oct 2011.	Form PF adoption	http://www.sec.gov/news/speech/2011/spch1026111aa.htm
63	"Protecting the Financial System and Aligning the Interests of Banks and Their Customers." SEC, Washington, DC. 12 Oct 2011.	Volcker Rule proposal	http://www.sec.gov/news/speech/2011/spch1012111aa-pt.htm
62	"Regulating Key Players in Security-Based Swaps." SEC, Washington, DC. 12 Oct 2011.	SBS Intermediary Registration proposal	http://www.sec.gov/news/speech/2011/spch1012111aa-sbs.htm
61	"Prohibiting Firms from Designing Transactions to Fail." SEC, Washington, DC. 19 Sep 2011.	ABS Conflicts SSROCM proposal	http://www.sec.gov/news/speech/2011/spch0919111aa.htm
60	"A Step toward Enhanced Market Surveillance." SEC, Washington, DC. 26 Jul 2011.	Large Trader Reporting adoption	http://www.sec.gov/news/speech/2011/spch0726111aa-item1.htm
59	"Continued Efforts to Improve the Regulations of Asset-Backed Securities." SEC, Washington, DC. 26 Jul 2011.	ABS Re-Proposal	http://www.sec.gov/news/speech/2011/spch0726111aa-item3.htm
58	"Re-designing the Eligibility Criteria for Short-Form Registration." SEC, Washington, DC. 26 Jul 2011.	S-3 amendments adoption	http://www.sec.gov/news/speech/2011/spch0726111aa-item2.htm
57	"Bringing Transparency and Fair Dealing to the Market for Security-Based Swaps." SEC, Washington, DC. 29 Jun 2011.	External Business Conduct Rules proposal	http://www.sec.gov/news/speech/2011/spch0629111aa.htm
56	"Moving from Proposal to Implementation: The Changing Adviser Landscape." SEC, Washington, DC. 22 Jun 2011.	DFA Investment Adviser Redistribution and Registration adoption	http://www.sec.gov/news/speech/2011/spch0622111aa-items-1-2.htm
55	"Protecting Investors and Their Assets." SEC, Washington, DC. 15 Jun 2011.	BD Custody Rule amendments proposal	http://www.sec.gov/news/speech/2011/spch0615111aa.htm
54	"Incentivizing Whistleblowers to Bring Fraud to Light." SEC, Washington, DC. 25 May 2011.	Whistleblower Adopting Release	http://www.sec.gov/news/speech/2011/spch0525111aa-item2.htm
53	"Excluding Established Wrongdoers from Engaging in Rule 506 Transactions." SEC, Washington, DC. 25 May 2011.	Bad Actors proposal	http://www.sec.gov/news/speech/2011/spch0525111aa-item1.htm

Appendix A
Remarks at Open Commission Meetings Delivered by Commissioner Aguilar
SEC Commission Meetings
Washington, DC

52	<i>"Continuing to Improve the Quality, Transparency, and Reliability of Credit Ratings."</i> SEC, Washington, DC. 18 May 2011.	NRSRO Proposal	http://www.sec.gov/news/speech/2011/spch051811aa.htm
51	<i>"Defining the Perimeter of Swap Regulation to Ensure Effective Overhaul."</i> SEC, Washington, DC. 27 Apr 2011.	Swap Definition Proposal	http://www.sec.gov/news/speech/2011/spch042711aa-item1.htm
50	<i>"Danger Ahead – Reducing Protections on Our Net Capital Rule."</i> SEC, Washington, DC. 27 Apr 2011.	Rule 15C3-1 Amendments Proposal	http://www.sec.gov/news/speech/2011/spch042711aa-item2.htm
49	<i>"Improving Executive Compensation Decision Making."</i> SEC, Washington, DC. 30 Mar 2011.	Comp Committee Proposal	http://www.sec.gov/news/speech/2011/spch033011aa-item-2.htm
48	<i>"Realigning Incentives in the Securitization Market."</i> SEC, Washington, DC. 30 Mar 2011.	Risk Retention Proposal	http://www.sec.gov/news/speech/2011/spch033011aa-item-1.htm
47	<i>"Allow the Regulators to Regulate."</i> SEC, Washington, DC. 2 Mar 2011.	Removal of Credit Ratings/Rule 2a-7 proposal	http://www.sec.gov/news/speech/2011/spch030211aa-ratings.htm
46	<i>"The Search for an Appropriate Substitute to Credit Ratings."</i> SEC, Washington, DC. 9 Feb 2011.	Removal of Credit Ratings/Form S-3 proposal	http://www.sec.gov/news/speech/2011/spch020911aa.htm
45	<i>"Bringing Trading in Security-Based Swaps Under Regulatory Oversight."</i> SEC, Washington, DC. 2 Feb 2011.	Security-Based Swaps to Regulated Trading Platforms proposal	http://www.sec.gov/news/speech/2011/spch020211aa.htm
44	<i>"Effecting Real Due Diligence in the Asset-Backed Securities Markets."</i> SEC, Washington, DC. 20 Jan 2011.	Asset-Backed Securities Adoption	http://www.sec.gov/news/speech/2011/spch012011aa.htm
43	<i>"Requiring that Derivatives Be Centrally Cleared is the Centerpiece of Reform."</i> SEC, Washington, DC. 15 Dec 2010.	Derivatives Clearing/Exceptions to Clearing Process proposal	http://www.sec.gov/news/speech/2010/spch121510aa.htm
42	<i>"Defining Dealers and Major Participants in the Swap Market Taking into Account the Lessons Learned in the Financial Crisis."</i> SEC, Washington, DC. 3 Dec 2010.	Defining Dealers in Swap Market proposal	http://www.sec.gov/news/speech/2010/spch120310aa.htm
41	<i>"Implementing Dodd-Frank: The Changing Investment Adviser Regulatory Landscape."</i> SEC, Washington, DC. 19 Nov 2010.	Investment Advisers/Venture Capital Definition proposal	http://www.sec.gov/news/speech/2010/spch111910aa-items1-2.htm
40	<i>"Combating the Lack of Transparency in the Security-Based Swaps Market."</i> SEC, Washington, DC. 19 Nov 2010.	Swap-Data Repositories/Reporting of Security-Based Swaps Transactions proposals	http://www.sec.gov/news/speech/2010/spch111910aa-sbsdata.htm
39	<i>"Enlisting Whistleblowers in the Battle Against Securities Fraud."</i> SEC, Washington, DC. 3 Nov 2010.	Whistleblower proposal	http://www.sec.gov/news/speech/2010/spch110310aa-whistleblowers.htm
38	<i>"Effective Antifraud Rules Must Address Cross-Market Activity."</i> SEC, Washington, DC. 3 Nov 2010.	Security-Based Swaps Antifraud Rules proposal	http://www.sec.gov/news/speech/2010/spch110310aa-swapsfraud.htm
37	<i>"Strengthening Equity Market Structure and Protecting Investors."</i> SEC, Washington, DC. 3 Nov 2010.	Sponsored Access adoption	http://www.sec.gov/news/speech/2010/spch110310aa-marketaccess.htm
36	<i>"The More Things Change, The More It Seems They Stay the Same: Investors Deserve Better."</i> SEC, Washington, DC. 13 Oct 2010.	Asset-Backed Securities Proposal	http://www.sec.gov/news/speech/2010/spch101310aa-abs.htm
35	<i>"Clearinghouses for Security-Based Swaps Must Place the Public Interest Above Commercial Interests."</i> SEC, Washington, DC. 13 Oct 2010.	Conflicts of Interest for Security-Based Swaps proposal	http://www.sec.gov/news/speech/2010/spch101310aa-regmc.htm
34	<i>"Effective Regulatory Oversight for Security-Based Swaps Requires Access to Transaction Date."</i> SEC, Washington, DC. 13 Oct 2010.	Reporting of Security-Based Swaps adoption	http://www.sec.gov/news/speech/2010/spch101310aa-interimrule.htm
33	<i>"Rules Alone Will Not Stop Obfuscation of the Balance Sheet."</i> SEC, Washington, DC. 17 Sep 2010.	Short-Term Borrowing proposal	http://www.sec.gov/news/speech/2010/spch091710aa.htm
32	<i>"A Measured Approach to Facilitating Director Nominations by Shareholders."</i> SEC, Washington, DC. 25 Aug 2010.	Proxy Access adoption	http://www.sec.gov/news/speech/2010/spch082510aa.htm

Appendix A
Remarks at Open Commission Meetings Delivered by Commissioner Aguilar
SEC Commission Meetings
Washington, DC

31	"Better Arming Investors and the SEC with the Ability to Evaluate and Assess Investment Advisers." SEC, Washington, DC. 21 Jul 2010.	Form ADV amendments	http://www.sec.gov/news/speech/2010/spch072110laa-adv.htm
30	"Working Toward Fairness and Transparency in Distribution Costs." SEC, Washington, DC. 21 Jul 2010.	Rule 12B-1 Fees proposal	http://www.sec.gov/news/speech/2010/spch072110laa-12b1.htm
29	"Assessing the Proxy Voting System to Ensure It Serves Investors." SEC, Washington, DC. 14 Jul 2010.	Concept Release on U.S. Proxy System	http://www.sec.gov/news/speech/2010/spch071410laa.htm
28	"Preventing Investor Harm Should be SEC Priority Number One." SEC, Washington, DC. 30 Jun 2010.	Pay to Play adoption	http://www.sec.gov/news/speech/2010/spch063010laa.htm
27	"Combating Investors' Misrepresentations by Requiring Higher-Quality Disclosure." SEC, Washington, DC. 16 Jun 2010.	Target Date Funds proposal	http://www.sec.gov/news/speech/2010/spch061610laa.htm
26	"The Market Structure Must Work for the American Public." SEC, Washington, DC. 2 June 2010	Market Structure Roundtable	http://www.sec.gov/news/speech/2010/spch060210laa.htm
25	"Improving Municipal Securities Disclosure: Our Work is Not Yet Done." SEC, Washington, DC. 26 May 2010.	Municipal Securities Disclosure adoption	http://www.sec.gov/news/speech/2010/spch052610laa-municipal.htm
24	"Effective Capital Markets Regulation Depends on Access to Real-Time Data." SEC, Washington, DC. 26 May 2010.	Consolidated Audit Trail proposal	http://www.sec.gov/news/speech/2010/spch052610laa-audit.htm
23	"Analysis of Regulatory Issues and Market Reforms Should Be Focused on Enhancing Product Capital Allocation." SEC, Washington, DC. 24 May 2010	Joint CFTC-SEC Advisory Committee on Emerging Regulatory Issues	http://www.sec.gov/news/speech/2010/spch052410laa.htm
22	"Amplifying the Voices of Investors: The Work of the Investor Advisory Committee." SEC, Washington, DC. 17 May 2010	Investor Advisory Committee	http://www.sec.gov/news/speech/2010/spch051710laa.htm
21	"The Need for Effective Surveillance." SEC, Washington, DC. 14 Apr 2010.	Large Trader Reporting System proposal	http://www.sec.gov/news/speech/2010/spch041410laa-trader.htm
20	"Ensuring Fair, Transparent, and Efficient Options Markets." SEC, Washington, DC. 14 Apr 2010.	Access to Listed Options proposal	http://www.sec.gov/news/speech/2010/spch041410laa-regnms.htm
19	"Addressing the Information Asymmetry in the Securitization Market to Put Investors and the Economy on Safer Footing." SEC, Washington, DC. 7 Apr 2010.	Asset-Backed Securities proposal	http://www.sec.gov/news/speech/2010/spch040710laa.htm
18	"A Path Toward Global Accounting Standards that Puts Investors First." SEC, Washington, DC. 24 Feb 2010.	IFRS policy statement and work plan	http://www.sec.gov/news/speech/2010/spch022410laa-accounting.htm
17	"Reforming Short Sales: Striking a Balance that can Work for Investors." SEC, Washington, DC. 24 Feb 2010.	Short Sales Price Test Rule adoption	http://www.sec.gov/news/speech/2010/spch022410laa-shortsales.htm
16	"Answering the Call to Public Service on Behalf of Investors." SEC, Washington, DC. 22 February 2010	Investor Advisory Committee	http://www.sec.gov/news/speech/2010/spch022210laa.htm
15	"Responding to Investors' Requests for SEC Guidance on Disclosures of Risks Related to Climate Change." SEC, Washington, DC. 27 Jan 2010.	Climate Change Disclosure interpretive release	http://www.sec.gov/news/speech/2010/spch012710laa-climate.htm
14	"Fortifying the Money Market Framework Upon Which Investors and Issuers Reply." SEC, Washington, DC. 27 Jan 2010.	Money Market Fund Reform adoption	http://www.sec.gov/news/speech/2010/spch012710laa-mmf.htm
13	"Assuring Securities Markets that are Fair, Transparent and Efficient." SEC, Washington, DC. 13 Jan 2010.	Equity Market Structure concept release	http://www.sec.gov/news/speech/2010/spch011310laa.htm
12	"Moving Toward More Informed Shareholder Voting." SEC, Washington, DC. 16 Dec 2009.	Compensation and Corporate Governance Reforms adoption	http://www.sec.gov/news/speech/2009/spch121609laa-1.htm
11	"The Need to Strengthen Custodial Practices to Protect Investors and Their Assets." SEC, Washington, DC. 16 Dec 2009.	Investment Adviser Custody Rule adoption	http://www.sec.gov/news/speech/2009/spch121609laa-2.htm
10	"Market Structure Reform Should be Guided by Values of Fairness, Transparency and Efficiency." SEC, Washington, DC. 21 Oct 2009.	Dark Pools proposal	http://www.sec.gov/news/speech/2009/spch102109laa.htm
9	"Reinvigorating Credit Rating Agencies to Maintain a Vital Investor Protection." SEC, Washington, DC. 17 Sep 2009.	Credit Rating Agencies	http://www.sec.gov/news/speech/2009/spch091709laa-nrsros.htm
8	"Restoring Investors' Voices -- The Launch of the Investor Advisory Committee." SEC, Washington, DC. 27 July 2009.	Investor Advisory Committee	http://www.sec.gov/news/speech/2009/spch072709laa.htm

Appendix A
Remarks at Open Commission Meetings Delivered by Commissioner Aguilar
SEC Commission Meetings
Washington, DC

7	<i>“Reducing the Temptation of Advisers to Misuse Political Contributions.”</i> SEC, Washington, DC. 22 Jul 2009.	Pay to Play proposal	http://www.sec.gov/news/speech/2009/spch072209laa.htm
6	<i>“A Step Forward in Protecting Investors in Municipal Securities.”</i> SEC, Washington, DC. 15 Jul 2009.	Municipal Securities Disclosure proposal	http://www.sec.gov/news/speech/2009/spch071509laa.htm
5	<i>Statement at SEC Open Meeting.”</i> SEC, Washington, DC. 1 Jul 2009.	Shareholder Approval of Executive Compensation of TARP Recipients proposal	http://www.sec.gov/news/speech/2009/spch070109laa.htm
4	<i>“Strengthening the Money Market Framework with Investors in Mind.”</i> SEC, Washington, DC. 24 Jun 2009.	Money Market Fund Reform proposal	http://www.sec.gov/news/speech/2009/spch062409laa.htm
3	<i>“Facilitating Shareholder Director Nominations.”</i> SEC, Washington, DC. 20 May 2009.	Proxy Access proposal	http://www.sec.gov/news/speech/2009/spch052009laa.htm
2	<i>“Reforming Short Sales: Striking a Balance that Can Work for Investors.”</i> SEC, Washington, DC. 8 Apr 2009.	Short Sales Price Test Rule proposal	http://www.sec.gov/news/speech/2009/spch040809laa.htm
1	<i>“Remarks Regarding Interactive Data Releases.”</i> SEC, Washington, DC. 17 Dec 2008.	Interactive Data Releases adoption	http://www.sec.gov/news/speech/2008/spch121708laa.htm