



26007802

OFFICIAL USE

Notice of Government Securities Broker or Government Securities Dealer Activities to be Filed by a Financial Institution under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934



1. Check appropriate regulatory agency (ARA):
- A. Comptroller of the Currency
 - B. Board of Governors of the Federal Reserve System
 - C. Federal Deposit Insurance Corporation
 - D. Securities and Exchange Commission
2. Conducts business as:
- A. Government Securities Broker
 - B. Government Securities Dealer
 - C. Government Securities Broker and Dealer
3. Filing status of notice:
- A. Notice
 - B. Amendment

4. A. Full name of the financial institution Goldman Sachs Bank USA

B. Address of principal office of financial institution:

200 West Street
Address
New York NY 10282
City State Zip Code

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

Address
City State Zip Code

D. Mailing address if different from (B) or (C):

Address
City State Zip Code

E. Name, title, and telephone number of contact person with respect to this notice:

Steve Elia Managing Director 212-902-9041
Name Title Area Code / Phone Number

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A. Yes B. No

(If yes, provide addresses and describe activities.)

<u>71 South Wacker Drive</u> Address	<u>Chicago</u> City	<u>IL</u> <input checked="" type="checkbox"/> State	<u>60606</u> Zip Code	<u>Sales</u> Describe Activities
<u>555 California Street</u> Address	<u>San Francisco</u> City	<u>CA</u> <input checked="" type="checkbox"/> State	<u>94104</u> Zip Code	<u>Sales</u> Describe Activities
<u>360 S Rosemary Ave</u> Address	<u>West Palm Beach</u> City	<u>FL</u> <input checked="" type="checkbox"/> State	<u>33401</u> Zip Code	<u>Sales</u> Describe Activities
<u>2001 Ross Ave</u> Address	<u>Dallas</u> City	<u>TX</u> <input checked="" type="checkbox"/> State	<u>75201</u> Zip Code	<u>Sales</u> Describe Activities

6. Furnish the name and title of each person who is directly engaged in the management, direction, or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name

See Attachment

Last / First / Middle	Title
See Attachment	
See Attachment	
See Attachment	
See Attachment	
See Attachment	

NOTE: Attach a separate Form G-FIN-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in item 6.

7. Has any "associated person" (see definition in paragraph A.7. of the instructions) responded "yes" to any question in Item 17 of Form G-FIN-4, or "yes" to one or more questions in Items 23 through 26 of Form MSD-4 or Item 22 on Form U-4?

A. Yes B. No

NOTE: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. (See 17 C.F.R. § 400.4(c)). Similar requirements are applicable to Form MSD-4 and Form U-4.

8. **The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current, and complete.**

Please print name and title of person executing this notice:

Steven Thomas Elia

Name (First, Middle, Last)

Managing Director

Title



Signature

1/2/2026

Date

Form G-FIN

Attachment

6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Name:	Title:	Amendment:
Leo Biselman	Managing Director	
Michael Leister	Managing Director	
Paul Chmiel	Managing Director	
Michael Hamilton	Managing Director	
Jordan Kaufman	Managing Director	
Stacy Mohr	Managing Director	
Sasha Munn	Managing Director	
Joshua Banschick	Managing Director	
Ryan Kelly	Managing Director	
Ikir Xholi	Managing Director	
Joseph Braik	Managing Director	
Arvind Giridhar	Managing Director	
Anshul Sehgal	Managing Director	
James Puccinelli	Managing Director	
Mike Mitchell	Managing Director	
Richard Chambers	Managing Director	
Chris Horvatin	Managing Director	
Muhammad Qubbaj	Managing Director	
Marc Getman	Managing Director	
Phillip Lee	Managing Director	
Andrew McIlroy	Managing Director	

Supplemental List of Non-Supervisory Associated Persons

<u>Name</u>	<u>Title</u>	<u>Amendment</u>
Manish Agarwal	Vice President	
Michael Battle	Vice President	
Colin McReynolds	Vice President	
Gabriel Parra	Vice President	
Virginia Xu	Vice President	
Shawn Du	Associate	
Cesar Gonzalez	Associate	
Ronnie Odium	Associate	
Marc Oraziatti	Associate	
Matthew Timo	Associate	
Kelly Zhang	Associate	
Julia Brown	Analyst	
Anjan Mani	Analyst	
Eli Pullaro	Analyst	
Mike Topp	Analyst	
Allison Cogan	Vice President	
Kevin Cousin	Vice President	
Mark Flood	Vice President	
Mike Gentile	Vice President	
Noam Liran	Vice President	
Michael Waterfield	Vice President	
Melissa Watt	Vice President	
Katie Calvo	Associate	
Brendan Carney	Associate	
Many Carter	Associate	
Kate DeWinter	Associate	
Will Hoefler	Associate	
Karolina Laredo	Associate	
Laura Rathjen	Associate	Deletion (See Note)
Olivia Rosenberg	Associate	
Madeline Angelides	Analyst	
Armin Charkhar	Analyst	
Nixon Chege	Analyst	
Dylan Clemens	Analyst	
Michaela Ford	Analyst	
Ruby Hernandez	Analyst	
Hunter Schluter	Analyst	
Alyssa Farella	Vice President	
Matt Joy	Vice President	
Jonah Kemp	Vice President	
William Lloyd	Vice President	
Rose Hall	Associate	
Austin Junger	Associate	
Josh Thompkins	Associate	

Karen Yang	Associate	
Neha Nerevetla	Analyst	
Nina Radman	Analyst	
Andrew Reis	Analyst	
Nicole Toft	Analyst	
Leonardo Zapparoli	Analyst	
Randall Crawford	Associate	
Ross Harmon	Vice President	
Ana Victoria Santanna De Otero	Vice President	
Emmanuel Damas	Associate	Deletion (See Note)
Zakariya Ahmed	Analyst	
Devin Warner	Vice President	
Elizabeth Ecker	Vice President	
Jeff O'Hare	Vice President	
Ada Situ	Vice President	
Cristina Tessari	Vice President	
Julien Thomas	Vice President	
Mitchell Cornell	Associate	
Abigail Gorbett	Associate	
Aryaman Jain	Associate	
Patrick Limerick	Associate	
Freddie Coffey	Analyst	
Deen Hussain	Analyst	
Santiago Velasco	Analyst	
Erica Dembowski	Vice President	
Jake Kanavy	Vice President	
Carilynn Peyton	Vice President	
Marina Testani	Associate	
Michael Torre	Vice President	
Maggie Burd	Associate	Deletion (See Note)
Sorato Doken	Associate	
Radhe Melwani	Analyst	
Ella Scholz	Analyst	
Brian Bingham	Vice President	
Christine Bernard	Associate	
Cemre Ertas	Analyst	
Selina Xia	Analyst	
Brandon Brown	Vice President	
April Li	Vice President	
Andrew Mullen	Vice President	
Kyle Peterson	Vice President	
Audrey Laude	Associate	
Esperanza Lee	Analyst	
Jeremy Baileys	Vice President	
Dhawal Mehta	Vice President	
Geoffrey Molnar	Vice President	
Sandy Fong	Associate	
Allie Huang	Analyst	

Fatou Ndoye	Analyst
Nicole Birkhold	Vice President
Jonathan Coppola	Vice President
Derek Kump	Vice President
Vincent Mistretta	Vice President
Alex Pompey	Vice President
Aliya Saigol	Vice President
Hayley Shaffer	Vice President
Gerald Strabley	Vice President
Duncan Webb	Vice President
Kent Anzano	Associate
John Barback	Associate
Jenna Marget	Associate
Johanna Mermer	Associate
Andrew Moore	Associate
Sarah Skidmore	Associate
Eli Baker	Analyst
Brian Bartal	Analyst
Ishan Bhasin	Analyst
Mike Cabra	Analyst
Pedro Carvalho	Analyst
Michael Delman	Analyst
Rex Eshirov	Analyst
Julie Griswold	Analyst
Jake Kleinfeld	Analyst
Nathalie Williams	Analyst

Note: Goldman Sachs & Co. LLC., an affiliate of Goldman Sachs Bank USA, will continue to maintain the referenced employee's FINRA registration in accordance with regulatory requirements