



25007277

OFFICIAL USE

# Notice of Government Securities Broker or Government Securities Dealer Activities to be Filed by a Financial Institution under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934



1. Check appropriate regulatory agency (ARA):
- A.  Comptroller of the Currency
  - B.  Board of Governors of the Federal Reserve System
  - C.  Federal Deposit Insurance Corporation
  - D.  Securities and Exchange Commission
2. Conducts business as:
- A.  Government Securities Broker
  - B.  Government Securities Dealer
  - C.  Government Securities Broker and Dealer
3. Filing status of notice:
- A.  Notice
  - B.  Amendment

4. A. Full name of the financial institution Goldman Sachs Bank USA

B. Address of principal office of financial institution:

200 West Street  
Address  
New York NY 10282  
City State Zip Code

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

Address  
City State Zip Code

D. Mailing address if different from (B) or (C):

Address  
City State Zip Code

E. Name, title, and telephone number of contact person with respect to this notice:

Steve Elia Managing Director 212-902-9041  
Name Title Area Code / Phone Number

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A.  Yes B.  No

(If yes, provide addresses and describe activities.)

71 South Wacker Drive Chicago IL 60606 Sales  
Address City State Zip Code Describe Activities

555 California Street San Francisco CA 94104 Sales  
Address City State Zip Code Describe Activities

360 S Rosemary Ave West Palm Beach FL 33401 Sales  
Address City State Zip Code Describe Activities

2001 Ross Ave Dallas TX 75201 Sales  
Address City State Zip Code Describe Activities

6. Furnish the name and title of each person who is directly engaged in the management, direction, or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name

See Attachment

Last / First / Middle

Title

Last / First / Middle

Title

Last / First / Middle

Title

Last / First / Middle

Title

Last / First / Middle

Title

**NOTE: Attach a separate Form G-FIN-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in item 6.**

7. Has any "associated person" (see definition in paragraph A.7. of the instructions) responded "yes" to any question in Item 17 of Form G-FIN-4, or "yes" to one or more questions in Items 23 through 26 of Form MSD-4 or Item 22 on Form U-4?

A.  Yes B.  No

**NOTE: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. (See 17 C.F.R. § 400.4(c)). Similar requirements are applicable to Form MSD-4 and Form U-4.**

8. *The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current, and complete.*

Please print name and title of person executing this notice:

Steven Thomas Elia

Name (First, Middle, Last)

Managing Director

Title



Signature

10/28/25

Date

**Form G-FIN**

Attachment

6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:

<b>Name:</b>	<b>Title:</b>	<b>Amendment:</b>
Leo Biselman	Managing Director	
Michael Leister	Managing Director	
Paul Chmiel	Managing Director	
Michael Hamilton	Managing Director	
Jordan Kaufman	Managing Director	
Stacy Mohr	Managing Director	
Sasha Munn	Managing Director	
Joshua Banschick	Managing Director	
Ryan Kelly	Managing Director	
Iir Xholi	Managing Director	
Joseph Braik	Managing Director	
Arvind Giridhar	Managing Director	
Anshul Sehgal	Managing Director	
James Puccinelli	Managing Director	
Mike Mitchell	Managing Director	
Richard Chambers	Managing Director	
Chris Horvatin	Managing Director	
Muhammad Qubbaj	Managing Director	
Marc Getman	Managing Director	
Phillip Lee	Managing Director	
Andrew Mcillroy	Managing Director	

Supplemental List of Non-Supervisory Associated Persons

<u>Name</u>	<u>Title</u>	<u>Amendment</u>
Manish Agarwal	Vice President	
Michael Battle	Vice President	
Colin McReynolds	Vice President	
Gabriel Parra	Vice President	
Virginia Xu	Vice President	
Shawn Du	Associate	
Cesar Gonzalez	Associate	
Ronnie Odum	Associate	
Marc Oraziatti	Associate	
Matthew Timo	Associate	
Kelly Zhang	Associate	
Julia Brown	Analyst	
Anjan Mani	Analyst	
Eli Pullaro	Analyst	
Mike Topp	Analyst	
Allison Cogan	Vice President	
Kevin Cousin	Vice President	
Mark Flood	Vice President	
Mike Gentile	Vice President	
Noam Liran	Vice President	
Michael Waterfield	Vice President	
Melissa Watt	Vice President	
Katie Calvo	Associate	
Brendan Carney	Associate	
Many Carter	Associate	
Kate DeWinter	Associate	
Will Hofer	Associate	
Karolina Laredo	Associate	
Laura Rathjen	Associate	
Olivia Rosenberg	Associate	
Madeline Angelides	Analyst	
Armin Charkhar	Analyst	
Nixon Chege	Analyst	
Dylan Clemens	Analyst	
Michaela Ford	Analyst	
Ruby Hernandez	Analyst	
Hunter Schluter	Analyst	
Alyssa Farella	Vice President	
Matt Joy	Vice President	
Jonah Kemp	Vice President	
William Lloyd	Vice President	
Rose Hall	Associate	
Austin Junger	Associate	
Josh Thompkins	Associate	

Karen Yang	Associate
Neha Nerevetla	Analyst
Nina Radman	Analyst
Andrew Reis	Analyst
Nicole Toft	Analyst
Leonardo Zapparoli	Analyst
Randall Crawford	Associate
Ross Harmon	Vice President
Ana Victoria Santanna De Otero	Vice President
Emmanuel Damas	Associate
Zakariya Ahmed	Analyst
Devin Warner	Vice President
Elizabeth Ecker	Vice President
Jeff O'Hare	Vice President
Ada Situ	Vice President
Cristina Tessari	Vice President
Julien Thomas	Vice President
Mitchell Cornell	Associate
Abigail Gorbett	Associate
Aryaman Jain	Associate
Patrick Limerick	Associate
Freddie Coffey	Analyst
Deen Hussain	Analyst
Santiago Velasco	Analyst
Erica Dembowski	Vice President
Jake Kanavy	Vice President
Carilynn Peyton	Vice President
Marina Testani	Associate
Michael Torre	Vice President
Maggie Burd	Associate
Sorato Doken	Associate
Radhe Melwani	Analyst
Ella Scholz	Analyst
Brian Bingham	Vice President
Christine Bernard	Associate
Cemre Ertas	Analyst
Selina Xia	Analyst
Brandon Brown	Vice President
April Li	Vice President
Andrew Mullen	Vice President
Kyle Peterson	Vice President
<b>Mandisa Msipa</b>	<b>Associate</b>
Audrey Laude	Associate
Esperanza Lee	Analyst
Jeremy Baileys	Vice President
Dhawal Mehta	Vice President
Geoffrey Molnar	Vice President
Sandy Fong	Associate

**Deletion (See Note)**

Allie Huang	Analyst	
Fatou Ndoye	Analyst	
Nicole Birkhold	Vice President	
Jonathan Coppola	Vice President	
Derek Kump	Vice President	
Vincent Mistretta	Vice President	
Alex Pompey	Vice President	
Aliya Saigol	Vice President	
Hayley Shaffer	Vice President	
Gerald Strabley	Vice President	
Duncan Webb	Vice President	
Kent Anzano	Associate	
John Barback	Associate	
Jenna Marget	Associate	
Johanna Mermer	Associate	
Andrew Moore	Associate	
Sarah Skidmore	Associate	
Eli Baker	Analyst	
Brian Bartal	Analyst	
Ishan Bhasin	Analyst	
Mike Cabra	Analyst	
Pedro Carvalho	Analyst	
Michael Delman	Analyst	
Rex Eshirov	Analyst	
Julie Griswold	Analyst	
<b>Margo Killey</b>	<b>Analyst</b>	<b>Deletion (See Note)</b>
Jake Kleinfeld	Analyst	
Nathalie Williams	Analyst	

Note: Goldman Sachs & Co. LLC., an affiliate of Goldman Sachs Bank USA, will continue to maintain the referenced employee's FINRA registration in accordance with regulatory requirements