

# Notice of Government Securities Broker or Government Securities Dealer Activities to be Filed by a Financial Institution under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934



25007272

- 1. Check appropriate regulatory agency (ARA):
  - A.  Comptroller of the Currency
  - B.  Board of Governors of the Federal Reserve System
  - C.  Federal Deposit Insurance Corporation
  - D.  Securities and Exchange Commission
- 2. Conducts business as:
  - A.  Government Securities Broker
  - B.  Government Securities Dealer
  - C.  Government Securities Broker and Dealer
- 3. Filing status of notice:
  - A.  Notice
  - B.  Amendment

4. A. Full name of the financial institution Credit Agricole Corporate and Investment Bank

B. Address of principal office of financial institution:  
12 PLACE DES ETATS-UNIS - CS 70052  
 Address  
MONTROUGE CEDEX  
 City State Zip Code  
92547

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):  
1301 AVENUE OF THE AMERICAS  
 Address  
NEW YORK  
 City State Zip Code  
NY 10019

D. Mailing address if different from (B) or (C):  
 Address  
 City State Zip Code

E. Name, title, and telephone number of contact person with respect to this notice:  
MAY YU Compliance Generalist 212-261-3206  
 Name Title Area Code / Phone Number

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A.  Yes B.  No  
 (If yes, provide addresses and describe activities.)

Address	City	State	Zip Code	Describe Activities
Address	City	State	Zip Code	Describe Activities
Address	City	State	Zip Code	Describe Activities
Address	City	State	Zip Code	Describe Activities

6. Furnish the name and title of each person who is directly engaged in the management, direction, or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name

**Please refer to attached list**

\_\_\_\_\_  
Last / First / Middle

\_\_\_\_\_  
Title

\_\_\_\_\_  
Last / First / Middle

\_\_\_\_\_  
Title

\_\_\_\_\_  
Last / First / Middle

\_\_\_\_\_  
Title

\_\_\_\_\_  
Last / First / Middle

**GFIN-4s have been previously filed**

\_\_\_\_\_  
Title

\_\_\_\_\_  
Last / First / Middle

\_\_\_\_\_  
Title

**NOTE: Attach a separate Form G-FIN-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in item 6.**

7. Has any "associated person" (see definition in paragraph A.7. of the instructions) responded "yes" to any question in Item 16 of Form G-FIN-4, or "yes" to one or more questions in Items 20 through 25 of Form MSD-4 on Page 22 of Form U-4?

A.  Yes    B.  No

**NOTE: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. (See 17 C.F.R. § 400.4(c)). Similar requirements are applicable to Form MSD-4 and Form U-4.**

8. ***The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current, and complete.***

Please print name and title of person executing this notice:

**May Yu**

\_\_\_\_\_  
Name (First, Middle, Last)

**Compliance Generalist**

\_\_\_\_\_  
Title  
**YU, May**

Digitally signed by YU, May  
Date: 2025.09.10 13:39:15 -04'00'

**9/10/2025**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

<b>Credit Agricole Corporate and Investment Bank</b>		
<b>Form G-FIN Section 6 Addendum</b>		<b>9/5/2025</b>
<b>#</b>	<b>LAST/FIRST NAME</b>	<b>TITLE</b>
1	Ambrosi, Francis	Managing Director
2	Garg, Antara	Associate
3	Benichou, Florent	Managing Director
4	Bleu, Roland	Director
5	Burkat, David	Director
6	<b>Castagnedoli, Emmanuel</b>	<b>Managing Director</b>
7	Chapis, Jason	Analyst
8	Cherman, Alan	Analyst
9	Darsses, Jean	Director
10	Droge, Brendan	Director
11	Earnhardt, Madison	Associate
12	Fabrizi, Christine	Analyst
13	Feketie, John (Jack)	Director
14	Galindo, Carlos	Managing Director
15	Gartlan, Fergal	Director
16	Gaur, Giselle	Vice President
17	Gesk, Eric	Director
18	Guttman, Gilad	US Analyst
19	Hayes, Gregory	Director
20	Ho, Joseph	Associate
21	Kaplan, Russel	Director
22	Khan, Farjad	Analyst
23	King, Daniel	Managing Director
24	Lechner, Tatjana	Analyst
25	Marks, Asher	Vice President
26	McFeely, Heather	Managing Director
27	O'Callaghan, John	Managing Director

<b>Credit Agricole Corporate and Investment Bank</b>		
<b>Form G-FIN Section 6 Addendum</b>		<b>9/5/2025</b>
<b>#</b>	<b>LAST/FIRST NAME</b>	<b>TITLE</b>
28	<b>Oh, Hannah</b>	<b>US Analyst</b>
29	Orczyk, Karen	Managing Director
30	Peltier, Matthew	Vice President
31	<b>Rachidi, Manale</b>	<b>Associate</b>
32	Rogg, Oskar	Managing Director
33	Sansone, Austin	Associate
34	Siala, Hela	Associate
35	Smith, Michael	Analyst
36	Tilove, Matthew	Managing Director
37	Wanecq, Adrien	Vice President
38	Wirth, Mark	Director
39	Zafar, Kashif	Managing Director

<b>REMOVED - Lionel PRUNIER</b>	<b>Managing Director</b>
<b>REMOVED - Birchenough, Jack</b>	<b>Analyst</b>