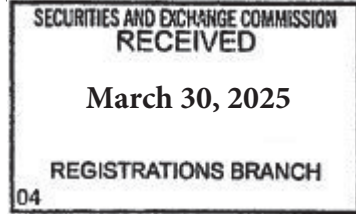


# Notice of Government Securities Broker or Government Securities Dealer Activities to be Filed by a Financial Institution under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934



1. Check appropriate regulatory agency (ARA):

- A.  Comptroller of the Currency
- B.  Board of Governors of the Federal Reserve System
- C.  Federal Deposit Insurance Corporation
- D.  Securities and Exchange Commission

2. Conducts business as:

- A.  Government Securities Broker
- B.  Government Securities Dealer
- C.  Government Securities Broker and Dealer

3. Filing status of notice:

- A.  Notice
- B.  Amendment



4. A. Full name of the financial institution Goldman Sachs Bank USA

B. Address of principal office of financial institution:

25007221

200 West Street

Address

New York

City

NY

State

10282

Zip Code

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

Address

City

State

Zip Code

D. Mailing address if different from (B) or (C):

Address

City

State

Zip Code

E. Name, title, and telephone number of contact person with respect to this notice:

Steve Elia

Name

Managing Director

Title

212-902-9041

Area Code / Phone Number

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above? A.  Yes B.  No

(If yes, provide addresses and describe activities.)

71 South Wacker Drive

Address

Chicago

City

IL

State

60606

Zip Code

Sales

Describe Activities

Sales

555 California Street

Address

San Francisco

City

CA

State

94104

Zip Code

Describe Activities

Sales

360 S Rosemary Ave

Address

West Palm Beach

City

FL

State

33401

Zip Code

Describe Activities

Address

City

State

Zip Code

Describe Activities

6. Furnish the name and title of each person who is directly engaged in the management, direction, or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name

See Attachment

|                       |       |
|-----------------------|-------|
| Last / First / Middle | Title |
| Last / First / Middle | Title |
| Last / First / Middle | Title |
| Last / First / Middle | Title |
| Last / First / Middle | Title |

**NOTE: Attach a separate Form G-FIN-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in item 6.**

7. Has any "associated person" (see definition in paragraph A.7. of the instructions) responded "yes" to any question in Item 16 of Form G-FIN-4, or "yes" to one or more questions in Items 20 through 25 of Form MSD-4 on Page 22 of Form U-4?

A.  Yes B.  No

**NOTE: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. (See 17 C.F.R. § 400.4(c)). Similar requirements are applicable to Form MSD-4 and Form U-4.**

8. **The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current, and complete.**

Please print name and title of person executing this notice:

Steven Thomas Elia

Name (First, Middle, Last)

Managing Director

Title

Signature

Date

2/26/25

**Form G-FIN**

Attachment

6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:

| <b>Name:</b>       | <b>Title:</b>     | <b>Amendment:</b> |
|--------------------|-------------------|-------------------|
| Paul Chmiel        | Managing Director |                   |
| Alison Flood       | Managing Director |                   |
| Michael Hamilton   | Managing Director |                   |
| Jordan Kaufman     | Managing Director |                   |
| Stacy Mohr         | Managing Director |                   |
| Sasha Munn         | Managing Director |                   |
| Steve Orr          | Managing Director |                   |
| Paul Antonios      | Managing Director |                   |
| Joshua Banschick   | Managing Director |                   |
| Leo Biselman       | Managing Director |                   |
| Jake Caldwell      | Managing Director |                   |
| Zachary Glasser    | Managing Director |                   |
| Nitin Jagga        | Managing Director |                   |
| Rajiv Kamilla      | Managing Director |                   |
| Michael Leister    | Managing Director |                   |
| Mark Romanczuk     | Managing Director |                   |
| Maheshwar Saireddy | Managing Director |                   |
| Ryan Kelly         | Managing Director |                   |
| Ikir Xholi         | Managing Director |                   |
| Joseph Braik       | Managing Director |                   |
| Arvind Giridhar    | Managing Director |                   |
| Anshul Sehgal      | Managing Director |                   |
| James Puccinelli   | Managing Director |                   |
| Mike Mitchell      | Managing Director |                   |
| Richard Chambers   | Managing Director |                   |
| Chris Horvatin     | Managing Director |                   |
| Muhammad Qubbaj    | Managing Director |                   |
| Keith Cynar        | Managing Director |                   |
| Marc Getman        | Managing Director |                   |
| Phillip Lee        | Managing Director |                   |
| Andrew Mcillroy    | Managing Director |                   |

Supplemental List of Non-Supervisory Associated Persons

| <u>Name</u>        | <u>Title</u>          | <u>Amendment</u>             |
|--------------------|-----------------------|------------------------------|
| Manish Agarwal     | Vice President        |                              |
| Colin McReynolds   | Vice President        |                              |
| Robert Zhang       | Vice President        |                              |
| Shawn Du           | Associate             |                              |
| Kevin Cousin       | Vice President        |                              |
| Mark Flood         | Vice President        |                              |
| Mike Gentile       | Vice President        |                              |
| Jennifer Gust      | Vice President        |                              |
| Noam Liran         | Vice President        |                              |
| Michael Waterfield | Vice President        |                              |
| Melissa Watt       | Vice President        |                              |
| Katie Calvo        | Associate             |                              |
| Many Carter        | Associate             |                              |
| Kate DeWinter      | Associate             |                              |
| Will Hoefer        | Associate             |                              |
| Karolina Laredo    | Associate             |                              |
| <b>Ian Mooers</b>  | <b>Associate</b>      | <b>Deletion (See Note 2)</b> |
| Marc Oraziatti     | Associate             |                              |
| Laura Rathjen      | Associate             |                              |
| Olivia Rosenberg   | Associate             |                              |
| Madeline Angelides | Analyst               |                              |
| Nixon Chege        | Analyst               |                              |
| Michaela Ford      | Analyst               |                              |
| Michael Battle     | Vice President        |                              |
| Rebecca Bayard     | Vice President        |                              |
| Natalie Byun       | Vice President        |                              |
| Alyssa Farella     | Vice President        |                              |
| Joseph Grathwohl   | Vice President        |                              |
| Drew Hardt         | Vice President        |                              |
| Caroline Hayes     | Vice President        |                              |
| Emily Jiang        | Vice President        |                              |
| Matt Joy           | Vice President        |                              |
| Sameer Kamble      | Vice President        |                              |
| Jonah Kemp         | Vice President        |                              |
| William Lloyd      | Vice President        |                              |
| Gabriel Parra      | Vice President        |                              |
| <b>JD Peak</b>     | <b>Vice President</b> | <b>Addition (See Note 1)</b> |
| Cayley Schafer     | Vice President        |                              |
| Nan Su             | Vice President        |                              |
| Virginia Xu        | Vice President        |                              |
| Sarah Brady        | Associate             |                              |
| Renato Curak       | Associate             |                              |
| Jordan Edwards     | Associate             |                              |
| Brian Forness      | Associate             |                              |

|                                |                |                              |
|--------------------------------|----------------|------------------------------|
| Anna Gildea                    | Associate      |                              |
| Carli Gogol                    | Associate      |                              |
| Ben Grossman                   | Associate      |                              |
| Rose Hall                      | Associate      |                              |
| Austin Junger                  | Associate      |                              |
| Ronnie Odlum                   | Associate      |                              |
| Harsh Shah                     | Associate      |                              |
| Ebun Sofela                    | Associate      |                              |
| Josh Thompkins                 | Associate      |                              |
| Matthew Timo                   | Associate      |                              |
| Karen Yang                     | Associate      |                              |
| Kelly Zhang                    | Associate      |                              |
| <b>Matthew Cozzi</b>           | <b>Analyst</b> | <b>Addition (See Note 1)</b> |
| Jake Greenwald                 | Analyst        |                              |
| Cole Jones                     | Analyst        |                              |
| Anjan Mani                     | Analyst        |                              |
| Juliet Marchesani              | Analyst        |                              |
| Daniel McCutchen               | Analyst        |                              |
| Neha Nerevetla                 | Analyst        |                              |
| Eli Pullaro                    | Analyst        |                              |
| Nina Radman                    | Analyst        |                              |
| Andrew Reis                    | Analyst        |                              |
| Nicole Toft                    | Analyst        |                              |
| Leonardo Zapparoli             | Analyst        |                              |
| Brendan Carney                 | Associate      |                              |
| Ayush Sharma                   | Associate      |                              |
| Ross Harmon                    | Vice President |                              |
| Ana Victoria Santanna De Otero | Vice President |                              |
| Emmanuel Damas                 | Associate      |                              |
| Devin Warner                   | Vice President |                              |
| Elizabeth Ecker                | Vice President |                              |
| Felipe Jaworski                | Vice President |                              |
| Jeff O'Hare                    | Vice President |                              |
| Ada Situ                       | Vice President |                              |
| Cristina Tessari               | Vice President |                              |
| Julien Thomas                  | Vice President |                              |
| Mitchell Cornell               | Associate      |                              |
| Abigail Gorbett                | Associate      |                              |
| Aryaman Jain                   | Associate      |                              |
| Deen Hussain                   | Analyst        |                              |
| <b>Santiago Velasco</b>        | <b>Analyst</b> | <b>Addition (See Note 1)</b> |
| Erica Dembowski                | Vice President |                              |
| Jake Kanavy                    | Vice President |                              |
| Marina Testani                 | Associate      |                              |
| Michael Torre                  | Vice President |                              |
| Maggie Burd                    | Associate      |                              |
| Sorato Doken                   | Associate      |                              |
| Radhe Melwani                  | Analyst        |                              |

|                    |                  |                              |
|--------------------|------------------|------------------------------|
| Evan Kim           | Analyst          |                              |
| Brian Bingham      | Vice President   |                              |
| Christine Bernard  | Associate        |                              |
| <b>Corinne Shi</b> | <b>Associate</b> | <b>Deletion (See Note 2)</b> |
| Cemre Ertas        | Analyst          |                              |
| Selina Xia         | Analyst          |                              |
| Brandon Brown      | Vice President   |                              |
| April Li           | Vice President   |                              |
| Andrew Mullen      | Vice President   |                              |
| Kyle Peterson      | Vice President   |                              |
| Mandisa Msipa      | Associate        |                              |
| Audrey Laude       | Associate        |                              |
| Jeremy Baileys     | Vice President   |                              |
| Dhawal Mehta       | Vice President   |                              |
| Geoffrey Molnar    | Vice President   |                              |
| <b>Sandy Fong</b>  | <b>Associate</b> | <b>Addition (See Note 1)</b> |
| Fatou Ndoye        | Analyst          |                              |
| Nicole Birkhold    | Vice President   |                              |
| Jonathan Coppola   | Vice President   |                              |
| Derek Kump         | Vice President   |                              |
| Vincent Mistretta  | Vice President   |                              |
| Alex Pompey        | Vice President   |                              |
| Mariana Pylypiw    | Vice President   |                              |
| Aliya Saigol       | Vice President   |                              |
| Hayley Shaffer     | Vice President   |                              |
| Gerald Strabley    | Vice President   |                              |
| Duncan Webb        | Vice President   |                              |
| Kent Anzano        | Associate        |                              |
| John Barback       | Associate        |                              |
| Jenna Marget       | Associate        |                              |
| Johanna Mermer     | Associate        |                              |
| Erin Orourk        | Associate        |                              |
| Sarah Skidmore     | Associate        |                              |
| Eli Baker          | Analyst          |                              |
| Brian Bartal       | Analyst          |                              |
| Ishan Bhasin       | Analyst          |                              |
| Mike Cabra         | Analyst          |                              |
| Pedro Carvalho     | Analyst          |                              |
| Rex Eshirov        | Analyst          |                              |
| Margo Killey       | Analyst          |                              |
| Jake Kleinfeld     | Analyst          |                              |
| Dillon John        | Analyst          |                              |

Note 1: Form U-4 Provided

Note 2: Goldman Sachs & Co. LLC., an affiliate of Goldman Sachs Bank USA, will continue to maintain the referenced employee's FINRA registration in accordance with regulatory requirements