



April 8, 2025

VIA ELECTRONIC DELIVERY

Ms. Jeannette Jackson
U.S. Securities and Exchange Commission
100 F Street, NE
Mail Stop 7010
Washington, DC 20549-7010

Re: MEMX LLC – Form 1 Amendment

Dear Ms. Jackson:

On behalf of MEMX LLC (“MEMX”), and in connection with the MEMX Form 1 that is on file with the Securities and Exchange Commission (“Commission”), enclosed please find the Execution Page to Form 1 as well as the following exhibit:

- Exhibit C (updated to note changes to the Officers of MEMX Holdings LLC, Officers of MEMX Execution Services LLC, and Officers of MEMX Labs LLC)
- Exhibit J (updated to note changes to the Officers of MEMX LLC).

This amendment is filed in accordance with SEC Rule 6a-2 and is intended to replace Exhibit C to MEMX’s Form 1 currently on file with the Commission. Please do not hesitate to contact me at 551-370-1003 if you have any questions or require anything further.

Regards,

A handwritten signature in black ink, appearing to read "A Franzon".

Anders Franzon
General Counsel

Enclosures

MEMX LLC

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EXHIBIT C

For each subsidiary or affiliate of the applicant, and for any entity with whom the applicant has a contractual or other agreement relating to the operation of an electronic trading system to be used to effect transactions on the exchange (“System”), provide the following information:

- 1. Name and address of organization.**
- 2. Form of organization (e.g., association, corporation, partnership, etc.).**
- 3. Name of state and statute citation under which organized. Date of incorporation in present form.**
- 4. Brief description of nature and extent of affiliation.**
- 5. Brief description of business or functions. Description should include responsibilities with respect to operation of the System and/or execution, reporting, clearance, or settlement of transactions in connection with operation of the System.**
- 6. A copy of the constitution.**
- 7. A copy of the articles of incorporation or association including all amendments.**
- 8. A copy of existing by-laws or corresponding rules or instruments.**
- 9. The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions.**
- 10. An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association.**

MEMX Holdings LLC

1. *Name:* MEMX Holdings LLC

Address: 382 NE 191st St., Suite 92178, Miami, Florida 33179

2. *Form of organization:* Limited Liability Company

3. *Name of state, statute citation under which organized, and date of incorporation in present form:* Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on September 6, 2018, and amended and restated on January 22, 2019.

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4. *Brief description of nature and extent of affiliation:* MEMX LLC is a subsidiary of MEMX Holdings LLC. MEMX Holdings LLC directly holds 99.5% of the equity of MEMX LLC and indirectly holds the other 0.5% of the equity of MEMX LLC through its 100% ownership of MEMX SubCo LLC.

5. *Brief description of business or functions:* MEMX Holdings LLC is a holding company which directly holds 99.5% of the equity of MEMX LLC, 99.5% of MEMX Execution Services LLC, 100% of the equity of MEMX SubCo LLC (which owns 0.5% of MEMX LLC and 0.5% of MEMX Execution Services LLC), 100% of the equity of MX2 LLC, 100% of the equity of MEMX Technologies LLC, 100% of the equity of MEMX Digital Assets LLC, and 100% of the equity of MEMX Labs LLC. MEMX Holdings LLC is the entity through which the ultimate owners of the applicant indirectly hold their ownership interest in the applicant and its affiliates.

6. *A copy of the constitution:* Not applicable

7. *A copy of the articles of incorporation or association including all amendments:* The Exchange maintains the current Certificate of Formation of MEMX Holdings LLC, including all amendments, at <https://info.memxtrading.com/governance/>. These documents are accurate as of the date of this filing.

8. *A copy of existing by-laws or corresponding rules or instruments:* The Exchange maintains the current Limited Liability Company Agreement of MEMX Holdings LLC at <https://info.memxtrading.com/governance/>. This document is accurate as of the date of this filing.

9. *The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:*

The following persons are the directors of MEMX Holdings LLC:

Directors and Alternate Directors

CEO Director: Jonathan Kellner

Nominating Member Directors:

| Nominating Member | Director Name | Alternate Director Name |
|---|-----------------|-------------------------|
| Banc of America Strategic Investments Corporation | Pankil Patel | Mitsu Narang |
| BLK SMI, LLC | Hubert De Jesus | Juinn Ho |

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| | | |
|--|------------------|--------------------|
| Citadel Securities Principal Investments LLC | Matt Culek | Donnie Phillips |
| Citicorp North America, Inc. | Michael Masone | Siris Singh |
| The Charles Schwab Corporation | Jeffrey Starr | Jason Clague |
| FMR LLC | Derrick Chan | Chris Gwozdz |
| Goldman Sachs PSI Global Holdings, LLC | Raj Mahajan | Jason Epstein |
| Jane Street Group, LLC | Brian Nigito | Andrew Upward |
| JPMC Strategic Investments I Corporation | Lily McInerney | Matthew Cramer |
| Optiver PSI B1 LLC | Rutger Brinkhuis | Liam Smith |
| Strategic Investments I, Inc. | Zheng Wang | Christopher Larkin |
| UBS Americas Inc. | Todd Lopez | Hyder Jaffrey |
| Virtu Investments, LLC | Douglas Cifu | Andrew Smith |
| Wells Fargo Central Pacific Holdings, Inc. | Inessa Even | Niall O'Brien |

Observers of MEMX Holdings LLC

Not applicable

Officers of MEMX Holdings LLC

The following persons are the officers of MEMX Holdings LLC:

| Title | Name | Commencement Date | Termination Date |
|---------------------------|---------------------|--------------------------|-------------------------|
| Chief Executive Officer | Jonathan Kellner | 2/27/2019 | |
| Chief Financial Officer | TBD* | TBD* | |
| Chief Information Officer | Dominick Paniscotti | 4/4/2024 | |
| Chief Operating Officer | Quito Zuba | 4/4/2024 | |

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| | | | |
|-------------------------------|---------------------|------------|--|
| Chief Technology Officer | Dominick Paniscotti | 6/3/2019 | |
| General Counsel and Secretary | Anders Franzon | 7/1/2019 | |
| Chief People Officer | Kerry Katz | 11/14/2022 | |

**The Exchange is currently evaluating candidates for the position of Chief Financial Officer of MEMX Holdings LLC.*

The following persons have served as officers of MEMX Holdings LLC during the previous year but no longer serve as officers of MEMX Holdings LLC:

| Title | Name | Commencement Date | Termination Date |
|-------------------------|-------------------|--------------------------|-------------------------|
| Chief Financial Officer | Louise Curbishley | 11/25/2019 | 3/31/2025 |

Committees of MEMX Holdings LLC

(1) Finance and Audit Committee of MEMX Holdings LLC

The following Directors are members of the Finance and Audit Committee of MEMX Holdings LLC:

Zheng Wang, Chair
Mitsu Narang
Matthew Cramer

(2) Technology and Operations Committee of MEMX Holdings LLC

The following Directors are members of the Technology and Operations Committee of MEMX Holdings LLC:

Andrew Smith, Chair
Brian Nigito
Liam Smith

(3) Compensation Committee of MEMX Holdings LLC

The following Directors are members of the Compensation Committee of MEMX Holdings LLC:

Doug Cifu, Chair

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Matt Culek
Brian Nigito
Zheng Wang

(4) Governance, Legal and Regulatory Committee of MEMX Holdings LLC

The following Directors are members of the Governance, Legal and Regulatory Committee of MEMX Holdings LLC:

Inessa Even
Liam Smith
Andrew Upward

(5) Equities Market Structure Committee of MEMX Holdings LLC

The following persons are members of the Equities Market Structure Committee of MEMX Holdings LLC:

Hubert De Jesus, Chair
Gregg Berman
Lily McInerney
Derrick Chan
Jessica D'Alton
Jason Epstein
Alex Gorodetsky
Chris Gwozdz
Peter Haas
Christopher Larkin
Todd Lopez
Raj Mahajan
Michael Masone
Joe Mecane
Brian Nigito
Niall O'Brien
Pankil Patel
Sapna Patel
Siris Singh
Andrew Smith
Jeffrey Starr
Igor Tsirlin
Andrew Upward

(6) Options Market Structure Committee of MEMX Holdings LLC

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The following persons are members of the Options Market Structure Committee of MEMX Holdings LLC:

Liam Smith, Chair
Steve Crutchfield
Shawn Cruz
Brendan Culligan
Andrew Curto
Chris Gwozdz
Paul Jiganti
Troy Kane
Ovi Montemayor
Nate Pomeroy
Sanjiv Prasad
Andrew Smith

(7) Strategy Committee of MEMX Holdings LLC

The following persons are members of the Strategy Committee of MEMX Holdings LLC:

Matt Culek
Christopher Larkin
Brian Nigito
Donnie Phillips
Andrew Smith
Andrew Upward
Zheng Wang

10. *An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association:* Not applicable

MEMX SubCo LLC

1. *Name:* MEMX SubCo LLC

Address: 382 NE 191st St., Suite 92178, Miami, Florida 33179

2. *Form of organization:* Limited Liability Company

3. *Name of state and statute citation under which organized, and date of incorporation in present form:* Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on May 7, 2019

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4. *Brief description of nature and extent of affiliation:* MEMX SubCo LLC directly owns 0.5% of the equity of MEMX LLC. In addition, MEMX SubCo LLC is a wholly-owned subsidiary of MEMX Holdings LLC, which directly holds 99.5% of the equity of MEMX LLC.

5. *Brief description of business or functions:* MEMX SubCo LLC holds 0.5% interests in MEMX LLC and MEMX Execution Services LLC. MEMX SubCo LLC is a wholly-owned subsidiary of MEMX Holdings LLC, which directly holds 99.50% of the equity of MEMX LLC. MEMX SubCo LLC is an intermediate holding company that does not have any operations or provide any services.

6. *A copy of the constitution:* Not applicable

7. *A copy of the articles of incorporation or association including all amendments:* Attached as Exhibit C-3 is the Certificate of Formation of MEMX SubCo LLC dated May 7, 2019.

8. *A copy of existing by-laws or corresponding rules or instruments:* Attached as Exhibit C-4 is the First Amended and Restated Limited Liability Company Agreement of MEMX SubCo LLC dated September 5, 2019.

9. *The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:* None. MEMX SubCo LLC's sole member and managing member is MEMX Holdings LLC.

10. *An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association:* Not applicable

MEMX Technologies LLC

1. *Name:* MEMX Technologies LLC

Address: 382 NE 191st St., Suite 92178, Miami, Florida 33179

2. *Form of organization:* Limited Liability Company

3. *Name of state and statute citation under which organized, and date of incorporation in present form:* Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on October 3, 2018

4. *Brief description of nature and extent of affiliation:* MEMX Technologies LLC is a wholly-owned subsidiary of MEMX Holdings LLC.

5. *Brief description of business or functions:* MEMX Technologies LLC provides technology and other related services to third parties. MEMX Technologies provides such technology and services to third parties on a contractual basis. Services included may include development

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services, infrastructure services, operational support and other functions. Depending on the scope of the contractual agreement, MEMX Technologies may be in possession of the Confidential Trading Information of other customers, including other customers regulated by the Securities and Exchange Commission. MEMX Technologies has implemented policies and procedures to ensure that such Confidential Trading Information is not available to personnel other than those providing services to third parties on behalf of MEMX Technologies and further that such Confidential Trading Information is handled appropriately by those who do have access to such information.

6. *A copy of the constitution:* Not applicable

7. *A copy of the articles of incorporation or association including all amendments:* Attached as Exhibit C-5 is the Certificate of Formation of MEMX Technologies LLC dated October 3, 2018 and the Amended and Restated Certificate of Formation of MEMX Technologies LLC dated January 22, 2019.

8. *A copy of existing by-laws or corresponding rules or instruments:* Attached as Exhibit C-6 is the First Amended and Restated Limited Liability Company Agreement of MEMX Technologies LLC dated February 19, 2020.

9. *The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:* MEMX Technologies LLC's sole member and managing member is MEMX Holdings LLC. Jonathan Kellner is the CEO of MEMX Technologies LLC.

10. *An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association:* Not applicable

MEMX Execution Services LLC

1. *Name:* MEMX Execution Services LLC

Address: 382 NE 191st St., Suite 92178, Miami, Florida 33179

2. *Form of organization:* Limited Liability Company

3. *Name of state and statute citation under which organized, and date of incorporation in present form:* Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on May 7, 2019

4. *Brief description of nature and extent of affiliation:* MEMX Holdings LLC owns 99.5% of MEMX Execution Services LLC, and 99.5% of MEMX LLC. In addition, MEMX Holdings owns 100% of MEMX SubCo LLC, which owns 0.5% MEMX Execution Services LLC.

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5. *Brief description of business or functions:* MEMX Execution Services LLC will act as the routing broker for the exchange, MEMX LLC.

6. *A copy of the constitution:* Not applicable

7. *A copy of the articles of incorporation or association including all amendments:* Attached as Exhibit C-7 is the Certificate of Formation of MEMX Execution Services LLC dated May 7, 2019.

8. *A copy of existing by-laws or corresponding rules or instruments:* Attached as Exhibit C-8 is the First Amended and Restated Limited Liability Company Agreement of MEMX Execution Services LLC dated May 19, 2020.

9. *The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:*

The following persons are the directors of MEMX Execution Services LLC:

Jonathan Kellner
Lauren Strathman
Quito Zuba

The following persons are the officers of MEMX Execution Services LLC:

Jonathan Kellner (Chief Executive Officer)
Lauren Strathman (Chief Compliance Officer)
Anders Franzon (General Counsel and Secretary)
Megan Sauerwine (Controller and FINOP)
TBD* (Chief Financial Officer)
Quito Zuba (Head of Market Operations)

**The Exchange is currently evaluating candidates for the position of Chief Financial Officer of MEMX Execution Services LLC.*

10. *An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association:* Not applicable

MEMX Digital Assets LLC

1. *Name:* MEMX Digital Assets LLC

Address: 382 NE 191st St., Suite 92178, Miami, Florida 33179

MEMX LLC

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2. *Form of organization:* Exempted Limited Liability Company (LLC)

3. *Name of state and statute citation under which organized, and date of incorporation in present form:* Bermuda Limited Liability Company Act 2016, on March 10, 2022.

4. *Brief description of nature and extent of affiliation:* MEMX Digital Assets LLC is a wholly-owned subsidiary of MEMX Holdings LLC.

5. *Brief description of business or functions:* MEMX Digital Assets LLC is an entity formed to receive and provide financial market data.

6. *A copy of the constitution:* Not applicable

7. *A copy of the articles of incorporation or association including all amendments:* Attached as Exhibit C-9 is the Certificate of Filing of MEMX Digital Assets LLC dated March 11, 2022.

8. *A copy of existing by-laws or corresponding rules or instruments:* Attached as Exhibit C-10 is the Limited Liability Company Agreement of MEMX Digital Assets LLC dated February 28, 2022.

9. *The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:* MEMX Digital Assets LLC's sole member and manager is MEMX Holdings LLC.

Officers of MEMX Digital Assets LLC

The following persons are the officers of MEMX Digital Assets LLC:

Jonathan Kellner (Chief Executive Officer)

TBD* (Chief Financial Officer)

Anders Franzon (Secretary)

Megan Sauerwine (Controller)

**The Exchange is currently evaluating candidates for the position of Chief Financial Officer of MEMX Digital Assets LLC.*

10. *An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association:* Not applicable

MEMX Labs LLC

1. *Name:* MEMX Labs LLC

MEMX LLC

Date of Filing/Accurate as of: April 8, 2025

Address: 382 NE 191st St., Suite 92178, Miami, Florida 33179

2. *Form of organization:* Limited Liability Company

3. *Name of state and statute citation under which organized, and date of incorporation in present form:* Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on June 1, 2023

4. *Brief description of nature and extent of affiliation:* MEMX Labs LLC is a wholly-owned subsidiary of MEMX Holdings LLC.

5. *Brief description of business or functions:* MEMX Labs LLC is an entity formed for the purpose of holding intellectual property (such intellectual property originally may be developed or licensed by MEMX Labs LLC or developed by MX2 LLC, MEMX LLC, MEMX Technologies LLC, or MEMX Holdings LLC (or any affiliate thereof) and assigned to MEMX Labs LLC). Such intellectual property relates to and may be used in the business and operations of MX2 LLC, MEMX LLC, MEMX Technologies LLC and/or MEMX Holdings LLC. MEMX Labs LLC will license such intellectual property to MX2 LLC, MEMX LLC, MEMX Technologies LLC, and MEMX Holdings LLC as applicable. MEMX Technologies LLC may further license such intellectual property to third party clients.

6. *A copy of the constitution:* Not applicable

7. *A copy of the articles of incorporation or association including all amendments:* Attached as Exhibit C-11 is the Certificate of Formation of MEMX Labs LLC dated June 1, 2023.

8. *A copy of existing by-laws or corresponding rules or instruments:* Attached as Exhibit C-12 is the Limited Liability Company Agreement of MEMX Labs LLC dated September 17, 2024.

9. *The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:* MEMX Labs LLC's sole member and managing member is MEMX Holdings LLC.

Officers of MEMX Labs LLC

The following persons are the officers of MEMX Labs LLC:

Jonathan Kellner (Chief Executive Officer)

TBD* (Chief Financial Officer)

Anders Franzon (Secretary)

Megan Sauerwine (Controller)

*The Exchange is currently evaluating candidates for the position of Chief Financial Officer of MEMX Labs LLC.

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10. *An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association:* Not applicable

MX2 LLC

1. *Name:* MX2 LLC

Address: 382 NE 191st St., Suite 92178, Miami, Florida 33179

2. *Form of organization:* Limited Liability Company

3. *Name of state and statute citation under which organized, and date of incorporation in present form:* Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on June 1, 2023.

4. *Brief description of nature and extent of affiliation:* MX2 LLC is a wholly-owned subsidiary of MEMX Holdings LLC.

5. *Brief description of business or functions:* MX2 LLC is an entity formed for the purpose of operating a national securities exchange registered with the Commission. MX2 LLC obtained Commission approval to register as a national securities exchange under Section 6 of the Securities Exchange Act of 1934 on March 14, 2025.

6. *A copy of the constitution:* Not applicable.

7. *A copy of the articles of incorporation or association including all amendments:* Attached as Exhibit C-13 is the Certificate of Formation of MX2 LLC dated June 1, 2023.

8. *A copy of existing by-laws or corresponding rules or instruments:* Attached as Exhibit C-14 is the Limited Liability Company Agreement of MX2 LLC dated June 1, 2023.

9. *The name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:* MX2 LLC's sole member and manager is MEMX Holdings LLC.

Directors of MX2 LLC

MX2 LLC has not yet appointed any directors but plans to do so in connection with the SEC's recent approval of its application to register as a national securities exchange. The following persons are the anticipated directors of MX2 LLC:

Erica Attonito

Matthew Brennan

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Barry Hochhauser
Jonathan Kellner
Deana Korby
Faris Matalaka
Montes Piard
Hal Scott
Amy Wong

Observers of MX2 LLC

Not applicable

Officers of MX2 LLC

MX2 LLC has not yet appointed any directors but plans to do so in connection with the SEC's recent approval of its application to register as a national securities exchange. The following persons are the anticipated officers of MX2 LLC:

Committees of MX2 LLC

MX2 LLC anticipates that it will establish the Exchange Board committees listed below, each of which shall be comprised of at least three members.

(1) Appeals Committee of MX2 LLC

Arianne Adams
Barry Hochhauser
Deana Korby

(2) Nominating Committee of MX2 LLC

Dan Weingarten
Diana Didia
Kenneth Grossfield

(3) Member Nominating Committee of MX2 LLC

Lauren Arbid
Brian Suth
Matthew Healey

(4) Regulatory Oversight Committee of MX2 LLC

Hal Scott
Montes Piard

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Amy Wong

10. *An indication of whether such business or organization ceased to be associated with the applicant during the previous year, and a brief statement of the reasons for termination of the association:* Not applicable

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EXHIBIT J

A list of the officers, governors, members of all standing committees, or persons performing similar functions, who presently hold or have held their offices or positions during the previous year, indicating the following for each:

1. Name.

2. Title.

3. Dates of commencement and termination of term of office or position.

4. Type of business in which each is primarily engaged (e.g., floor broker, specialist, odd lot dealer, etc.).

Directors of MEMX LLC

The Exchange Board will be composed of at least 10 directors. The Board shall consist of the Chief Executive Officer of the Exchange, and sufficient numbers of (A) Non-Industry Directors (including Independent Directors), (B) Industry Directors (including Investor Directors) and (C) Member Representative Directors (which shall not include Investor Directors) to meet the following composition requirements:

(A) the number of Non-Industry Directors, including at least two (2) Independent Directors, shall equal or exceed the sum of the number of Industry Directors (including Investor Directors) and Member Representative Directors (which shall not include Investor Directors);

(B) at least two (2) of the Non-Industry Directors shall also qualify as Independent Directors;

(C) at least one of the Non-Industry Directors shall be representative of issuers and investors and not associated with an Exchange Member, a broker, or a dealer; and

(D) the number of Member Representative Directors (which shall not include Investor Directors) shall be at least twenty percent (20%) of the Board.

The following persons are directors of MEMX LLC:

| Name | Classification | Commencement Date | Title/Business |
|----------------|-----------------------|--------------------------|--|
| Erica Attonito | Member Representative | May 19, 2020 | Head of Sales, Business Development Hudson River Trading |
| Arianne Adams | Member Representative | January 15, 2025 | Chief Strategy Officer, Webull US |

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|------------------|--------------------------|--------------------|---|
| Barry Hochhauser | Non-Industry/Independent | September 11, 2024 | Consultant; Former Deputy Director, Central Review Group, Preliminary Investigations, FINRA |
| Jonathan Kellner | Industry/ CEO | May 19, 2020 | CEO, MEMX |
| Faris Matalka | Industry/ Investor | September 22, 2022 | Managing Director, Trading Operations, Charles Schwab & Co. |
| Deana Korby | Non-Industry/Independent | May 19, 2020 | Vice President Finance, Investor Relations, IBM Corporation |
| Matthew Brennan | Industry/ Investor | December 4, 2020 | Head of U.S. ETF Secondary Market Trading, BlackRock |
| Montes Piard | Non-Industry/Independent | May 19, 2020 | Vice President/Global Controller – Finance/Accounting, General Atlantic |
| Hal Scott | Non-Industry/Independent | May 19, 2020 | Emeritus Nomura Professor of International Financial Systems, Harvard Law School |
| Amy Wong | Non-Industry/Independent | May 19, 2020 | Former Chief Operating Officer, PDT Partners |

The following persons have served as directors of MEMX LLC but no longer serve on the Board:

| Name | Classification | Commencement Date - Termination Date | Title/Business |
|----------------|--------------------------|---|--|
| JJ Kinahan | Industry/ Investor | May 19, 2020 – April 12, 2022 | Managing Director, Chief Market Strategist, TD Ameritrade |
| Crystal Reilly | Non-Industry/Independent | May 19, 2020 – July 12, 2024 | Former Chief Corporate Compliance Officer, Bats Global Markets |

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Officers of MEMX LLC

Officers shall serve until their successors are appointed by the Board in accordance with the Exchange LLC Agreement. Officers of the Exchange will serve at the direction of the Board of Directors. The current officers of the Exchange are listed below.

| Title | Name | Commencement Date | Termination Date |
|------------------------------------|---------------------|--------------------------|-------------------------|
| Chief Executive Officer | Jonathan Kellner | 2/27/2019 | |
| Chief Compliance Officer | Lauren Strathman | 10/1/2019 | |
| Chief Financial Officer | TBD* | TBD* | |
| Chief Information Officer | Dominick Paniscotti | 4/4/2024 | |
| Chief Operating Officer | Quito Zuba | 4/4/2024 | |
| Chief Regulatory Officer | Adam Schwartz | 4/1/2025 | |
| Chief Risk Officer | Crystal Reilly | 7/15/2024 | |
| Chief Technology Officer | Dominick Paniscotti | 6/3/2019 | |
| Chief People Officer | Kerry Katz | 11/14/2022 | |
| General Counsel and Secretary | Anders Franzon | 7/1/2019 | |
| Chief Information Security Officer | Jamie Voto | 3/30/2020 | |

**The Exchange is currently evaluating candidates for the position of Chief Financial Officer of MEMX LLC.*

The following persons have served as officers of the Exchange during the previous year but no longer serve as officers of the Exchange:

| Title | Name | Commencement Date | Termination Date |
|--------------------------|-------------------|--------------------------|-------------------------|
| Chief Regulatory Officer | Scott Palmer | 11/18/2019 | 10/18/2024 |
| Chief Financial Officer | Louise Curbishley | 11/25/2019 | 3/31/2025 |

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| Interim Chief Regulatory Officer | Crystal Reilly | 10/21/2024 | 4/1/2025 |
|-------------------------------------|----------------|------------|----------|

Committees of MEMX LLC

The Exchange's current committees are listed below.

Appeals Committee of MEMX LLC

| Name | Classification | Commencement Date | Title/Business |
|------------------|------------------------------|------------------------------|---|
| Arianne Adams | Member Representative | January 15, 2025 | Chief Strategy Officer, Webull US |
| Deana Korby | Non-Industry/ Independent | August 11, 2020 | Vice President Finance, Investor Relations, IBM Corporation |
| Barry Hochhauser | Non-Industry/ Independent | September 11, 2024 | Consultant; Former Deputy Director, Central Review Group, Preliminary Investigations, FINRA |

Nominating Committee

| Name | Classification | Commencement Date | Title/Business |
|--------------------|------------------------------|------------------------------|--|
| Dan Weingarten | Industry | May 19, 2020 | Managing Director, Equities Prime Services / Correspondent Clearing, Oppenheimer & Co. Inc. |
| Diana Didia | Non-Industry/ Independent | May 19, 2020 | Chief Information and Innovation Officer, AAA-ICDR |
| Kenneth Grossfield | Non-Industry/ Independent | May 19, 2020 | Chief Administration Officer and GC, Strategic Investment Group |

Member Nominating Committee

| Name | Classification | Commencement Date | Title/Business |
|-------------|-----------------------|------------------------------|-----------------------|
|-------------|-----------------------|------------------------------|-----------------------|

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| | | | |
|----------------|-----------------------|--------------------|---|
| Lauren Arbid | Member Representative | September 11, 2024 | Director, U.S. Head of Relationship Management, ABN AMRO Clearing USA |
| Brian Suth | Member Representative | May 19, 2020 | Head of Electronic Trading, Evercore |
| Matthew Healey | Member Representative | November 4, 2024 | Head of Equities, Webull Financial |

Regulatory Oversight Committee

| Name | Classification | Commencement Date | Title/Business |
|--------------|------------------------------|--------------------------|--|
| Montes Piard | Non-Industry/ Independent | July 12, 2024 | Vice President/Global Controller – Finance/Accounting, General Atlantic |
| Amy Wong | Non-Industry/ Independent | June 11, 2020 | Retired; Former Chief Operating Officer, PDT Partners |
| Hal Scott | Non-Industry/ Independent | June 11, 2020 | Emeritus Nomura Professor of International Financial Systems, Harvard Law School |