

**Via Electronic Mail**

November 10, 2022

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 7010  
Washington, DC 20549

**Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)**

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find the electronically signed Form 19b-4(e) with respect to the securities listed below.

**AXS Brendan Wood TopGun Index ETF (TGN)  
Innovator Equity Managed Floor ETF (SFLR)  
Angel Oak Income ETF (CARY)**



If you have any questions, please do not hesitate to call me at (212) 656-4166.

Sincerely,



**David De Gregorio**  
**Associate General Counsel**

New York Stock Exchange  
11 Wall Street  
New York, NY 10005  
Tel: +1 212.656.4166  
ice.com

|   |  |                               |   |
|---|--|-------------------------------|---|
| For Internal Use Only   |  | Submit 1 Original             | OMB Approval No.:   |
| Sec File No. 91 -   |  | and 9 Copies                  | Expires:  |
| Estimated average burden hours per response: 2.00   |  |                               |   |
| <b>UNITED STATES<br/>SECURITIES AND EXCHANGE COMMISSION</b><br>Washington, D.C. 20549<br><b>FORM 19b-4(e)</b>   |  |                               |   |
| <b>Information Required of a Self-Regulatory Organization Listing and Trading a New<br/>Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934</b>   |  |                               |   |
| <b>READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM</b>   |  |                               |   |
| <b>Part I</b>   |  | <b>Initial Listing Report</b> |   |
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  |  |                               |   |
| NYSE Arca, Inc.   |  |                               |   |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):   |  |                               |   |
| Open-end Management Investment Company  |  |                               |   |
| 3. Class of New Derivative Securities Product:  |  |                               |  |
| Exchange-Traded Fund Shares   |  |                               | 22005874  |
| 4. Name of Underlying Instrument:   |  |                               |   |
| n/a   |  |                               |   |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:   |  |                               |   |
| n/a   |  |                               |   |
| 6. Ticker Symbol(s) of New Derivative Securities Product:   |  |                               |   |
| TGN   |  |                               |   |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:   |  |                               |   |
| n/a   |  |                               |   |
| 8. Settlement Methodology of New Derivative Securities Product:   |  |                               |   |
| Regular way trades settle on T+2/Book entry only held in DTC.   |  |                               |   |
| 9. Position Limits of New Derivative Securities Product (if applicable):  |  |                               |   |
| Not applicable.   |  |                               |   |
| <b>Part II</b>  |  | <b>Execution</b>              |   |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. |  |                               |   |
| Name of Official Responsible for Form:  |  |                               |   |
| David De Gregorio   |  |                               |   |
| Title:  |  |                               |   |
| Associate General Counsel   |  |                               |   |
| Telephone Number:   |  |                               |   |
| (212) 656-4166  |  |                               |   |
| Manual Signature of Official Responsible for Form:  |  |                               |   |
|    |  |                               |   |
| November 10, 2022   |  |                               |   |