

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ANNUAL REPORTS
FORM X-17A-5
PART III

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FACING PAGE

Information Required Pursuant to Rules 17a-5, 17a-12, and 18a-7 under the Securities Exchange Act of 1934

FILING FOR THE PERIOD BEGINNING 01/01/21 AND ENDING 12/31/21
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF FIRM: TRINITY WEALTH SECURITIES, L.L.C.

TYPE OF REGISTRANT (check all applicable boxes):

- Broker-dealer Security-based swap dealer Major security-based swap participant
 Check here if respondent is also an OTC derivatives dealer

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use a P.O. box no.)

401 S. 12th Street, Unit 2

(No. and Street)

TAMPA

FL

33602

(City)

(State)

(Zip Code)

PERSON TO CONTACT WITH REGARD TO THIS FILING

Jason Mickool

(813) 333-1683

jason.mickool@floridafa.com

(Name)

(Area Code – Telephone Number)

(Email Address)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose reports are contained in this filing*

Holt & Patterson, LLC

(Name – if individual, state last, first, and middle name)

260 Chesterfield Industrial Blvd Chesterfield

MO

63005

(Address)

(City)

(State)

(Zip Code)

02/24/2009

3372

(Date of Registration with PCAOB)(if applicable)

(PCAOB Registration Number, if applicable)

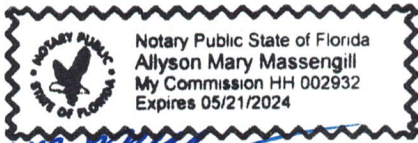
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* Claims for exemption from the requirement that the annual reports be covered by the reports of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis of the exemption. See 17 CFR 240.17a-5(e)(1)(ii), if applicable.

Persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

OATH OR AFFIRMATION

I, Jason Mickool, swear (or affirm) that, to the best of my knowledge and belief, the financial report pertaining to the firm of TRINITY WEALTH SECURITIES, L.L.C., as of December 31, 2021, is true and correct. I further swear (or affirm) that neither the company nor any partner, officer, director, or equivalent person, as the case may be, has any proprietary interest in any account classified solely as that of a customer.



Notary Public

Signature: [Handwritten Signature]
Title: CEO

This filing** contains (check all applicable boxes):

- (a) Statement of financial condition.
(b) Notes to consolidated statement of financial condition.
(c) Statement of income (loss) or, if there is other comprehensive income in the period(s) presented, a statement of comprehensive income...
(d) Statement of cash flows.
(e) Statement of changes in stockholders' or partners' or sole proprietor's equity.
(f) Statement of changes in liabilities subordinated to claims of creditors.
(g) Notes to consolidated financial statements.
(h) Computation of net capital under 17 CFR 240.15c3-1 or 17 CFR 240.18a-1, as applicable.
(i) Computation of tangible net worth under 17 CFR 240.18a-2.
(j) Computation for determination of customer reserve requirements pursuant to Exhibit A to 17 CFR 240.15c3-3.
(k) Computation for determination of security-based swap reserve requirements pursuant to Exhibit B to 17 CFR 240.15c3-3 or Exhibit A to 17 CFR 240.18a-4, as applicable.
(l) Computation for Determination of PAB Requirements under Exhibit A to § 240.15c3-3.
(m) Information relating to possession or control requirements for customers under 17 CFR 240.15c3-3.
(n) Information relating to possession or control requirements for security-based swap customers under 17 CFR 240.15c3-3(p)(2) or 17 CFR 240.18a-4, as applicable.
(o) Reconciliations, including appropriate explanations, of the FOCUS Report with computation of net capital or tangible net worth under 17 CFR 240.15c3-1, 17 CFR 240.18a-1, or 17 CFR 240.18a-2, as applicable, and the reserve requirements under 17 CFR 240.15c3-3 or 17 CFR 240.18a-4, as applicable, if material differences exist, or a statement that no material differences exist.
(p) Summary of financial data for subsidiaries not consolidated in the statement of financial condition.
(q) Oath or affirmation in accordance with 17 CFR 240.17a-5, 17 CFR 240.17a-12, or 17 CFR 240.18a-7, as applicable.
(r) Compliance report in accordance with 17 CFR 240.17a-5 or 17 CFR 240.18a-7, as applicable.
(s) Exemption report in accordance with 17 CFR 240.17a-5 or 17 CFR 240.18a-7, as applicable.
(t) Independent public accountant's report based on an examination of the statement of financial condition.
(u) Independent public accountant's report based on an examination of the financial report or financial statements under 17 CFR 240.17a-5, 17 CFR 240.18a-7, or 17 CFR 240.17a-12, as applicable.
(v) Independent public accountant's report based on an examination of certain statements in the compliance report under 17 CFR 240.17a-5 or 17 CFR 240.18a-7, as applicable.
(w) Independent public accountant's report based on a review of the exemption report under 17 CFR 240.17a-5 or 17 CFR 240.18a-7, as applicable.
(x) Supplemental reports on applying agreed-upon procedures, in accordance with 17 CFR 240.15c3-1e or 17 CFR 240.17a-12, as applicable.
(y) Report describing any material inadequacies found to exist or found to have existed since the date of the previous audit, or a statement that no material inadequacies exist, under 17 CFR 240.17a-12(k).
(z) Other:

**To request confidential treatment of certain portions of this filing, see 17 CFR 240.17a-5(e)(3) or 17 CFR 240.18a-7(d)(2), as applicable.



REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

To the Board of Directors and Shareholders
of Trinity Wealth Securities, LLC

LLC
CERTIFIED
PUBLIC
ACCOUNTANTS

Opinion on the Financial Statements

We have audited the accompanying statement of financial condition of Trinity Wealth Securities, LLC as of December 31, 2021, the related statements of income, changes in members' equity and cash flows for the year then ended, and the related notes and supplemental information (collectively referred to as the financial statements). In our opinion, the financial statements present fairly, in all material respects, the financial position of Trinity Wealth Securities, LLC as of December 31, 2021, and the results of its operations and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

Basis for Opinion

These financial statements are the responsibility of Trinity Wealth Securities, LLC's management. Our responsibility is to express an opinion on Trinity Wealth Securities, LLC's financial statements based on our audit. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (PCAOB) and are required to be independent with respect to Trinity Wealth Securities, LLC in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audit in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud. Our audit included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audit also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. We believe that our audit provides a reasonable basis for our opinion.

Auditor's Report on Supplemental Information

The Schedule I, Computation of Net Capital Under SEC Rule 15c3-1, Schedule II, Computation for Determination of Reserve Requirements Pursuant to SEC Rule 15c3-3, and Schedule III, Information Relating to Possession or Control Requirements under SEC Rule 15c3-3 has been subjected to audit procedures performed in conjunction with the audit of Trinity Wealth Securities, LLC's financial statements. The supplemental information is the responsibility of Trinity Wealth Securities, LLC's management. Our audit procedures included determining whether the supplemental information reconciles to the financial statements or the underlying accounting and other records, as applicable, and performing procedures to test the completeness and accuracy of the information presented in the supplemental information. In forming our opinion on the supplemental information, we evaluated whether the supplemental information, including its form and content, is presented in conformity with 17 C.F.R. §240.17a-5. In our opinion, the supplemental information is fairly stated, in all material respects, in relation to the financial statements as a whole.

Holt & Patterson, LLC

We have served as Trinity Wealth Securities, LLC's auditor since 2018.

Chesterfield, MO

February 24, 2022

260 CHESTERFIELD INDUSTRIAL BLVD.

CHESTERFIELD, MO 63005

PHONE 636/530-1040

FAX 636/530-1101

Trinity Wealth Securities, LLC
Statement of Financial Condition
December 31, 2021

	<u>2021</u>
Assets	
Current Assets	
Cash in Bank	\$ 470,461
Prepaid Assets	14,061
Due to Brokers	-
	<hr/>
Total Assets	\$ 484,522
	<hr/> <hr/>
Liabilities and Members' Equity	
Current Liabilities	
Accounts Payable	\$ 350
Commissions Payable	37,029
	<hr/>
Total Liabilities	37,379
Members' Equity	<hr/> 447,143
	<hr/> <hr/>
Total Liabilities and Members' Equity	\$ 484,522

See Report of Independent Registered Public Accounting Firm, Accompanying Notes, and Supplemental Information

Trinity Wealth Securities, LLC
Statement of Income
December 31, 2021

	<u>2021</u>
Sales	
Commissions earned	<u>\$ 3,484,168</u>
Expenses	
Office Expense	27,309
Occupancy	10,800
Professional Fees	15,110
Salary/Compensation Expense	1,396,503
License and Permits	<u>53,147</u>
Total Expenses	<u>1,502,869</u>
Operating Income	1,981,299
Other Income	
Other Income	<u>-</u>
Total Other Income	-
Net Income	<u><u>\$ 1,981,299</u></u>

See Report of Independent Registered Public Accounting Firm, Accompanying Notes, and Supplemental Information

Trinity Wealth Securities, LLC
Statement of Changes in Members' Equity
December 31, 2021

	<u>2021</u>
Equity at Beginning of Year	\$ 215,844
Net Income	\$ 1,981,299
Capital Withdrawn	(1,750,000)
Capital Contributed	<u>-</u>
Equity at End of Year	<u><u>\$ 447,143</u></u>

See Report of Independent Registered Public Accounting Firm, Accompanying Notes, and Supplemental Information

Trinity Wealth Securities, LLC
Statement of Cash Flows
December 31, 2021

2021

Cash Flows From Operating Activities

Net Income \$ 1,981,299

Adjustments to reconcile net income to net cash
(used by) operating activities:

Commissions receivable -
Prepaid Expenses (5)
Accounts Payable (7,300)
Commissions payable (27,807)

Net Cash Provided by Operating Activities 1,946,187

Cash Flows Used by Financing Activities

Capital contributions -
Capital Distributions (1,750,000)

Net Cash Used by Financing Activities (1,750,000)

Net Cash Increase for Period 196,187

Cash at Beginning of Year 274,274

Cash at End of Year \$ 470,461

Supplemental Disclosures of Cash Flow Information

No Cash was paid for interest or taxes in 2021

**See Report of Independent Registered Public Accounting Firm, Accompanying Notes, and Supplemental
Information**

Trinity Wealth Securities, LLC
NOTES TO FINANCIAL STATEMENTS
December 31, 2021

NOTE A - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Business Activities: The Company was organized on June 12, 2000 and provides services as a registered broker and dealer. Revenues and expenses consist primarily of commissions received and paid on mutual fund and variable annuity transactions.

Security Trading: On security trades by customers, the Company acts as the introducing broker on a fully disclosed basis. Customer accounts are maintained on the books of the carrying broker.

Basis of Accounting: The Company uses the accrual basis of accounting, which is consistent with generally accepted accounting principles. Revenue is recognized as earned and expenses are reported as incurred.

Commissions Receivable and Payable: Commissions receivable and payable are accrued monthly from the daily sales blotter maintained by the Company. At December 31, 2021 commissions receivable totaled \$0.00 and commissions payable was \$37,029. An allowance for doubtful accounts is not deemed necessary.

Use of estimates: Generally accepted accounting principles require that use of estimates in the preparation of financial statements. Actual amounts may differ from estimated amounts.

Personnel Policies: The Company currently has no salaried or hourly employees, with the exception of registered representatives, who are paid directly through The Company.

Cash and Cash Equivalents: The Company considers all highly liquid investments with a maturity of three months or less to be cash equivalents. Currently the Company does not have deposits in excess of the federally insured limits.

Trinity Wealth Securities, LLC
NOTES TO FINANCIAL STATEMENTS
December 31, 2021

NOTE B - RELATED PARTY TRANSACTIONS

On September 30, 2019 Jason Mickool purchased TWS from Jim Evens, Alan Smith and Eric Steiner (former owners of TWS). Jason Mickool is also the owner of Florida Financial Advisors, Inc. Florida Financial Advisors and Trinity Wealth Securities LLC are affiliated companies through common ownership. Trinity Wealth Securities LLC has an expense sharing agreement with Florida Financial Advisors as outlined below.

Exhibit A

Description	Total Firm Monthly Expense	BD Allocation %	BD ESA Allocation	Rationale
Rent	6,000	15%	900	ESA allocation is based on the fact that the BD occupies 15% of the square footage of the firm's office space
Office Supplies	3,000	10%	300	ESA allocation is based on the fact that the BD will use approximately 10% of the firm's office supplies
Telephone	2,000	8%	150	The ESA allocation is based on the amount of time BD personnel will spend on the phone
Internet	750	10%	75	ESA allocation is based on the fact that the BD will use approximately 10% of the firm's Internet use
<small>List all other expenses covered in your pro-forma</small>				
Total			1,425	

See Report of Independent Registered Public Accounting Firm and Supplemental Information

Trinity Wealth Securities, LLC
NOTES TO FINANCIAL STATEMENTS - Cont'd
December 31, 2021

NOTE C - ASC 606 REVENUE RECOGNITION

A. Significant accounting policy

Revenue is measured based on a consideration specified in a contract with a customer, and excludes any sales incentives and amounts collected on behalf of third parties. The Company recognizes revenue when it is satisfied a performance obligation by transferring control over a product or service to a customer.

Taxes and regulatory fees assessed by a government authority or agency that are both imposed on and concurrent with a specified revenue-producing transactions, that are collected by the Company from a customer, are excluded from revenue.

B. Nature of Services

The following is a description of activities from which the Company generates revenue, per the FINRA Membership Agreement issued in September 2019: Mutual fund retailer (application way) and broker selling variable life insurance or annuities.

NOTE D - COMMITMENTS AND CONTINGENCIES

- a. Each commitment, contingency or guarantee that might result in a loss or a future obligation;
- b. Any claim against the firm of which the firm is aware of as of the audit opinion date that might be asserted against it; and
 - c. With respect to (a) and (b), the firm recognizes no commitments, loss contingencies or guarantees as financial statement liabilities, reductions of net worth in its net capital computation or components of aggregate indebtedness.

NOTE E - INCOME TAXES

Trinity Wealth Securities, LLC has three prior fiscal years open for examination by taxing authorities. In addition to the current year, the years ended December 31, 2020, 2019 and 2018 remain open to examination. Management is not aware of any uncertain tax provisions claimed on prior year returns.

Trinity Wealth Securities, LLC, which is wholly owned by Jason Mickool, has elected to be taxed as an "S" corporation. As such, there is no provision for federal income taxes because the owner is taxed on the Company's income.

The Company's policy for reporting interest and penalties related to income taxes is to expense them as they are incurred. The total penalties and interest the Company paid for 2021 was \$0.

NOTE F - SUBSEQUENT EVENTS

Subsequent events have been evaluated through February 24, 2022. There are no events or transactions occurring after the balance sheet date required to be reported.

NOTE G - NET CAPITAL REQUIREMENTS

The Company is required to maintain a minimum net capital pursuant to Rule 15c3-1. Net capital required under the rule is the greater of \$5,000 or 6 2/3% of the Company's aggregate indebtedness. On December 31, 2021, the Company had net capital of \$433,082 (\$428,082 in excess of the minimum required amount). The percentage of aggregate indebtedness to net capital was .0864 to 1 on December 31, 2021.

See Report of Independent Registered Public Accounting Firm and Supplemental Information

Trinity Wealth Securities, LLC
Schedule I
Computation of Net Capital Pursuant to Rule 15c3-1(1)
December 31, 2021

	<u>2021</u>
Net Capital	
Ownership equity	\$ 447,143
Less non-allowable assets	<u>(14,061)</u>
Total Allowable Capital	433,082
Less haircuts on investments (none)	<u>-</u>
Total Net Capital	433,082
Statutory Minimum Net Capital	\$5,000
6 2/3% of Aggregate Indebtedness	\$2,492
Minimum Net Capital Requirement	<u>(5,000)</u>
Excess Over Minimum Net Capital Requirement	<u>\$ 428,082</u>
Total Aggregate Indebtedness	<u>\$ 37,379</u>
Ratio of Aggregate Indebtedness to Net Capital	<u>8.63%</u>
Schedule of Aggregate Indebtedness	
Commission Payable	\$ 37,029
Accounts Payable	350
Due to Related Party	<u>-</u>
	<u>\$ 37,379</u>
Reconciliation With Company's Computation	
Net Capital as reported in Company's Part II (Unaudited)	
FOCUS Report	\$ 433,082
Net audit adjustments	<u>-</u>
	<u>\$ 433,082</u>

There is no material difference between the net capital stated above and the net capital reported on the December 31 2021 FOCUS Report submitted on 01/26.2022

See Report of Independent Registered Public Accounting Firm and Accompanying Notes

**TRINITY WEALTH SECURITIES, LLC
COMPUTATION FOR DETERMINATION OF
RESERVE REQUIREMENTS UNDER RULE 15c3-3
DECEMBER 31, 2021**

The Company was exempt from the provisions of Rule 15c3-3 (k)(1) under the Securities and Exchange Act of 1934 for the year ended December 31, 2021.

TRINITY WEALTH SECURITIES, LLC
INFORMATION RELATING TO THE
POSSESSION OR CONTROL REQUIREMENTS UNDER RULE 15c3-3
DECEMBER 31, 2021

The Company was exempt from the provisions of Rule 15c3-3(k)(1) under the Securities and Exchange Act of 1934 for the year ended December 31, 2021.



REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM LLC

To the Board of Directors and Shareholders
of Trinity Wealth Securities, LLC

CERTIFIED
PUBLIC
ACCOUNTANTS

We have reviewed management's statements, included in the accompanying Exemption Report, in which (1) Trinity Wealth Securities, LLC identified the following provisions of 17 C.F.R. §15c3-3(k) under which Trinity Wealth Securities, LLC claimed an exemption from 17 C.F.R. §240.15c3-3: (1) (exemption provisions) and (2) Trinity Wealth Securities, LLC stated that Trinity Wealth Securities, LLC met the identified exemption provisions throughout the most recent fiscal year without exception. Trinity Wealth Securities, LLC's management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about Trinity Wealth Securities, LLC's compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(1) of Rule 15c3-3 under the Securities Exchange Act of 1934.

Holt & Patterson, LLC
Chesterfield, MO
February 24, 2022



TWS

Trinity Wealth Securities
An FFA Company

Trinity Wealth Securities, LLC's Exemption Report
Exemption Provision Under Rule 15c3-3 of the Securities and Exchange Commission
As of December 31, 2021

Trinity Wealth Securities, LLC is a registered broker-dealer subject to Rule 17a-5 promulgated by the Securities and Exchange Commission (17 C.F.R. §240.17a-5, "Reports to be made by certain brokers and dealers"). This Exemption Report was prepared as required by 17 C.F.R. §240.17a-5(d)(1) and (4). To the best of its knowledge and belief, the Company states the following:

Trinity Wealth Securities, LLC. Claimed an exemption from 17 C.F.R. §240.15c3-3 under the following provisions of 17 C.F.R. §240.15c3-3(k)(1).

Trinity Wealth Securities, LLC met the identified exemption provisions in 17 C.F.R. §240.15c3-3(k)(1) throughout the most recent fiscal year without exception.

Trinity Wealth Securities, LLC

I, Jason Mickool, swear (or affirm) that, to my best knowledge and belief, this Exemption Report is true and correct.

Jason Mickool

Jason Mickool

Chief Compliance Officer

(813) 333-1683

401 S. 12th Street, Unit 2, Tampa, FL 33602

www.floridafa.com