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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**The NASDAQ Stock Market LLC**
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**
3. Class of New Derivative Securities Product:  
**Exchange Traded Fund Shares under Nasdaq Rule 5704**
4. Name of Underlying Instrument:  
**N/A – Actively Managed**
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-Based**
6. Ticker Symbol(s) of New Derivative Securities Product:  
**UMMA**
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**MUMBAI STOCK EXCHANGE, KOREA EXCHANGE, NASDAQ, NYSE, OTC BULLETIN BOARD, SAUDI STOCK EXCHANGE, and TAIWAN STOCK EXCHANGE**
8. Settlement Methodology of New Derivatives Product:  
**Regular way trades settle on T + 2 (cash settled)**
9. Position Limits of New Derivative Securities Product (if applicable):  
**N/A**



Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Eun Ah Choi**

Title:  
**Vice President**

Telephone Number:  
**+ 1 301 978 8411**

Manual Signature of Official Responsible for Form:

*Eun Ah Choi*

Date: January 7, 2022