



Martha Redding
Associate General Counsel
Assistant Secretary

New York Stock Exchange
11 Wall Street
New York, NY 10005
T + 1 212 656 2938
F + 1 212 656 8101
Martha.Redding@theice.com

Via Electronic Mail

May 28, 2021

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 7010
Washington, DC 20549

Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find the electronically signed Form 19b-4(e) with respect to the securities listed below.


Fat Tail Risk ETF (FATT)
First Trust Innovation Leaders ETF (ILDR)
First Trust TCW ESG Premier Equity ETF (EPRE)
Defiance Next Gen Altered Experience ETF (PSY)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Martha Redding

Enclosures

| | | | | |
|---|--|-------------------------------|---|-------------------|
| For Internal Use Only | | Submit 1 Original | | OMB Approval No.: |
| Sec File No. 91 - | | and 9 Copies | | Expires: |
| Estimated average burden hours per response: 2.00 | | | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) | | | | |
| Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 | | | | |
| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM | | | | |
| Part I | | Initial Listing Report | | |
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: | | | | |
| NYSE Arca, Inc. | | | | |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): | | | | |
| Open-end Management Investment Company | | | | |
| 3. Class of New Derivative Securities Product: | | |  | |
| Exchange-Traded Fund Shares | | | 21000412 | |
| 4. Name of Underlying Instrument: | | | | |
| n/a | | | | |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: | | | | |
| n/a | | | | |
| 6. Ticker Symbol(s) of New Derivative Securities Product: | | | | |
| EPRE | | | | |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: | | | | |
| n/a | | | | |
| 8. Settlement Methodology of New Derivative Securities Product: | | | | |
| Regular way trades settle on T+2/Book entry only held in DTC. | | | | |
| 9. Position Limits of New Derivative Securities Product (if applicable): | | | | |
| Not applicable. | | | | |
| Part II | | Execution | | |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. | | | | |
| Name of Official Responsible for Form: | | | | |
| Martha Redding | | | | |
| Title: | | | | |
| Associate General Counsel and Assistant Secretary | | | | |
| Telephone Number: | | | | |
| (212) 656-2938 | | | | |
| Manual Signature of Official Responsible for Form: | | | | |
| Martha Redding | | | | |
| May 28, 2021 | | | | |