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OMB Number:	3235-0504
Expires:	Oct. 31, 2022
Estimated average burden hours per response.....	3.60

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

The NASDAQ Stock Market LLC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open End Management Investment Company

3. Class of New Derivative Securities Product:

Exchange Traded Fund Shares under Nasdaq Rule 5704



4. Name of Underlying Instrument:

See Attachment A

20014915

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

See Attachment A

6. Ticker Symbol(s) of New Derivative Securities Product:

See Attachment A

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

See Attachment A

8. Settlement Methodology of New Derivatives Product:

Regular way trades settle on T + 2 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

Vice President

Telephone Number:

1-301-978-8088

Manual Signature of Official Responsible for Form:

William Slattery

Date: **August 24, 2020**

Attachment A

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
S&P SmallCap 600 Capped Consumer Discretionary Index	Broad-Based	PSCD	NASDAQ, NYSE
S&P SmallCap 600 Capped Consumer Staples Index	Broad-Based	PSCC	NASDAQ, NYSE
S&P SmallCap 600 Capped Energy Index	Broad-Based	PSCE	NASDAQ, NYSE, NYSE AMERICAN
S&P SmallCap 600 Capped Financials Index	Broad-Based	PSCF	NASDAQ, NYSE, NYSE AMERICAN
S&P SmallCap 600 Capped Health Care Index	Broad-Based	PSCH	NASDAQ, NYSE
S&P SmallCap 600 Capped Industrials Index	Broad-Based	PSCI	NASDAQ, NYSE
S&P SmallCap 600 Capped Information Technology Index	Broad-Based	PSCT	NASDAQ, NYSE
S&P SmallCap 600 Capped Materials Index	Broad-Based	PSCM	NASDAQ, NYSE
S&P SmallCap 600 Capped Utilities & Telecom Services Index	Broad-Based	PSCU	NASDAQ, NYSE
NASDAQ International BuyBack Achievers Index	Broad-Based	IPKW	BM&FBOVESPA S.A., EURONEXT AMSTERDAM, ASX, NASDAQ COPENHAGEN A/S, XETRA, JOHANNESBURG STOCK EXCHANGE, KOSDAQ, KOREA EXCHANGE (STOCK MARKET), LONDON STOCK EXCHANGE, SIX SWISS EXCHANGE, TAIWAN STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE
FTSE Developed ex US Low Beta Equal Weight Index	Broad-Based	IDLB	CBOE EUROPE, ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, ASX, EURONEXT BRUSSELS, NASDAQ COPENHAGEN A/S, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, KOSDAQ, KOREA EXCHANGE (STOCK MARKET), EURONEXT LISBON, LONDON STOCK EXCHANGE, BOLSA DE MADRID, NEW ZEALAND EXCHANGE LTD, OSLO BORS ASA, EURONEXT PARIS, SINGAPORE

			EXCHANGE, NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, TEL AVIV STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT, WARSAW STOCK EXCHANGE, WIENER BOERSE AG
Russell 1000 Low Beta Equal Weight Index	Broad-Based	USLB	CBOE BZX, NYSE AMERICAN, NASDAQ, NYSE
NASDAQ U.S. 1-30 Year Treasury Laddered Index	Broad-Based	PLW	OTC
Dorsey Wright Developed Markets Technical Leaders Index	Broad-Based	PIZ	ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, ASX, EURONEXT BRUSSELS, NASDAQ COPENHAGEN A/S, IRISH STOCK EXCHANGE - ALL MARKET, XETRA, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, LONDON STOCK EXCHANGE, NEW ZEALAND EXCHANGE LTD, OSLO BORS ASA, EURONEXT PARIS, NASDAQ STOCKHOLM AB, SIX SWISS EXCHANGE, TEL AVIV STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT
Dorsey Wright Emerging Markets Technical Leaders Index	Broad-Based	PIE	BM&FBOVESPA S.A., STOCK EXCHANGE OF THAILAND, BUDAPEST STOCK EXCHANGE, HONG KONG EXCHANGE, INDONESIA STOCK EXCHANGE, JOHANNESBURG STOCK EXCHANGE, BURSA MALAYSIA, BOLSA MEXICANA DE VALORES, NASDAQ, NYSE, PHILIPPINE STOCK EXCHANGE, TAIWAN STOCK EXCHANGE
Dorsey Wright SmallCap Technical Leaders™ Index	Broad-Based	DWAS	NASDAQ, NYSE, NYSE AMERICAN
NASDAQ OMX Global Water Index	Broad-Based	PIO	BM&FBOVESPA S.A., ELECTRONIC SHARE MARKET, EURONEXT AMSTERDAM, ASX, STOCK EXCHANGE OF

			THAILAND, HONG KONG EXCHANGE, KOREA EXCHANGE (STOCK MARKET), LONDON STOCK EXCHANGE, BOLSA DE MADRID, NASDAQ, NYSE, EURONEXT PARIS, SIX SWISS EXCHANGE, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, SIX SWISS EXCHANGE
KBW Nasdaq Bank Index	Broad-Based	KBWB	NASDAQ, NYSE
KBW Nasdaq Financial Sector Dividend Yield Index	Broad-Based	KBWD	NASDAQ, NYSE
KBW Nasdaq Premium Yield Equity REIT Index	Broad-Based	KBWY	NASDAQ, NYSE, NYSE AMERICAN
KBW Nasdaq Property & Casualty Index	Broad-Based	KBWP	NASDAQ, NYSE
KBW Nasdaq Regional Banking Index	Broad-Based	KBWR	NASDAQ, NYSE