



Clare F. Saperstein  
Associate General Counsel

New York Stock Exchange  
11 Wall Street  
New York, NY 10005  
T + 1 212 656 2355  
Clare.Saperstein@theice.com

**Via Electronic Mail**

December 31, 2020

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 7010  
Washington, DC 20549

**Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)**

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find the electronically signed Form 19b-4(e) with respect to the securities listed below.


**Principal Active Income ETF (YLD)**  
**Principal Investment Grade Corporate Active ETF (IG)**  
**Principal Ultra-Short Active Income ETF (USI)**  
**Principal Spectrum Tax-Advantaged Dividend Active ETF (PQDI)**  
**Principal Spectrum Preferred Securities Active ETF (PREF)**  
**AdvisorShares Q Portfolio Blended Allocation ETF (QTP)**  
**AdvisorShares Q Dynamic Growth ETF (QPX)**  
**Simplify Volt Fintech Disruption ETF (VFIN)**  
**Simplify Volt Pop Culture Disruption ETF (VPOP)**  
**Simplify Volt RoboCar Disruption ETF (VCAR)**  
**Simplify Volt Cloud and Cybersecurity Disruption ETF (VCLO)**  
**Gotham Enhanced 500 ETF (GSPY)**  
**SP Funds S&P Global REIT Sharia ETF (SPRE)**  
**SmartETFs Advertising & Marketing Technology ETF (MRAD)**  
**Rayliant Quantamental China Equity ETF (RAYC)**  
**Sound Enhanced Fixed Income ETF (SDEF)**  
**Sound Equity Income ETF (SDEI)**

If you have any questions, please do not hesitate to call me at (212) 656-2355.

Sincerely,

Clare F. Saperstein

Enclosures

For Internal Use Only		Submit 1 Original		OMB Approval No.:
Sec File No. 91 -		and 9 Copies		Expires:
Estimated average burden hours per response: 2.00				
<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b> Washington, D.C. 20549 <b>FORM 19b-4(e)</b>				
<b>Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934</b>				
<b>READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM</b>				
<b>Part I</b>		<b>Initial Listing Report</b>		
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:				
NYSE Arca, Inc.				
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):				
Open-end Management Investment Company				
3. Class of New Derivative Securities Product:				
Exchange-Traded Fund Shares				 20014852
4. Name of Underlying Instrument:				
n/a				
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:				
n/a				
6. Ticker Symbol(s) of New Derivative Securities Product:				
QPT				
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:				
n/a				
8. Settlement Methodology of New Derivative Securities Product:				
Regular way trades settle on T+2/Book entry only held in DTC.				
9. Position Limits of New Derivative Securities Product (if applicable):				
Not applicable.				
<b>Part II</b>		<b>Execution</b>		
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.				
Name of Official Responsible for Form:				
Clare F. Saperstein				
Title:				
Associate General Counsel				
Telephone Number:				
(212) 656-2355				
Manual Signature of Official Responsible for Form:				
Clare F. Saperstein				
<b>December 31, 2020</b>				