



Clare F. Saperstein
Associate General Counsel

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Via Electronic Mail

December 31, 2020

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 7010
Washington, DC 20549

Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find the electronically signed Form 19b-4(e) with respect to the securities listed below.

Principal Active Income ETF (YLD)
Principal Investment Grade Corporate Active ETF (IG)
Principal Ultra-Short Active Income ETF (USI)
Principal Spectrum Tax-Advantaged Dividend Active ETF (PQDI)
Principal Spectrum Preferred Securities Active ETF (PREF)
AdvisorShares Q Portfolio Blended Allocation ETF (QTP)
AdvisorShares Q Dynamic Growth ETF (QPX)
Simplify Volt Fintech Disruption ETF (VFIN)
Simplify Volt Pop Culture Disruption ETF (VPOP)
Simplify Volt RoboCar Disruption ETF (VCAR)
Simplify Volt Cloud and Cybersecurity Disruption ETF (VCLO)
Gotham Enhanced 500 ETF (GSPY)
SP Funds S&P Global REIT Sharia ETF (SPRE)
SmartETFs Advertising & Marketing Technology ETF (MRAD)
Rayliant Quantamental China Equity ETF (RAYC)
Sound Enhanced Fixed Income ETF (SDEF)
Sound Equity Income ETF (SDEI)

If you have any questions, please do not hesitate to call me at (212) 656-2355.

Sincerely,

Clare F. Saperstein

Enclosures

For Internal Use Only		Submit 1 Original		OMB Approval No.:
Sec File No. 91 -		and 9 Copies		Expires:
Estimated average burden hours per response: 2.00				
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e)				
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934				
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM				
Part I		Initial Listing Report		
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:				
NYSE Arca, Inc.				
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):				
Open-end Management Investment Company				
3. Class of New Derivative Securities Product:				 20014848
Exchange-Traded Fund Shares				
4. Name of Underlying Instrument:				
n/a				
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:				
n/a				
6. Ticker Symbol(s) of New Derivative Securities Product:				
VFIN				
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:				
n/a				
8. Settlement Methodology of New Derivative Securities Product:				
Regular way trades settle on T+2/Book entry only held in DTC.				
9. Position Limits of New Derivative Securities Product (if applicable):				
Not applicable.				
Part II		Execution		
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.				
Name of Official Responsible for Form:				
Clare F. Saperstein				
Title:				
Associate General Counsel				
Telephone Number:				
(212) 656-2355				
Manual Signature of Official Responsible for Form:				
Clare F. Saperstein				
December 31, 2020				